Conflict of Interest Monitoring Report for Year:

Discloser:
Department:

NOTE: For the remainder of this document the following terms will refer to items identified and defined in the discloser’s MOU: (a) external entity(ies) and (b) conflict(s) of interest.

1. Has the conflict of interest been disclosed to all journals and in public presentations where your related university research is being featured?  □ N/A   ☒ NO   □ YES

[INSERT]

2. What mechanism(s) have you utilized to notify students, postdoctoral associates, or other subordinates of the conflict(s) of interest?  □ N/A

[INSERT]

If applicable, have students been informed that they may discuss related concerns with the department chair, chair of the graduate committee, and/or dean of the graduate school?  □ NO   □ YES

3. Please describe purchasing activities relevant to your COI MOU:

   a. Have there been any purchases from your external entity(ies)?  □ NO   □ YES

   b. Do you have a current Procurement Service Center (PSC) Conflict of Interest Form(s) on file?  (https://www.cu.edu/psc/forms/conflict-interest-evaluation-coi)  □ N/A   □ NO   □ YES

   If so, who at CU makes those decisions and who authorized the purchases?

   [INSERT]

4. Does your current DEPA report accurately name all other University-affiliated colleagues, subordinates, and students who have a relationship with the external entity(ies)?

   YES   □
   NO   □ (If not, please update the DEPA report for the COIC Office’s review.)
5. Have you been involved in any financial decisions on behalf of the external entity(ies) as a result of your work at CU Boulder? ☐ NO ☐ YES

If yes, what kinds of decisions and was anyone else involved?

[INSERT]

6. Have conclusions of your CU Boulder research projects been financially advantageous to the external entity(ies)? ☐ NO ☐ YES

Have conclusions been financially detrimental to the external entity(ies)? ☐ NO ☐ YES

If yes to either question, please explain?

[INSERT]

7. Regarding intellectual property and technology transfer:
   a. Has intellectual property been disclosed to the office that has oversight for matters involving technology transfer? ☐ N/A ☐ NO ☐ YES
   b. Has there been a change in licensing status? ☐ N/A ☐ NO ☐ YES

For (a) and (b) please explain, as applicable:

[INSERT]

8. Has there been a significant change in the discloser’s:
   a. title/status/relationship with the external entity(ies) ☐ NO ☐ YES
   b. business or research responsibilities at the external entity(ies) ☐ NO ☐ YES
   c. status/title/appointment percentage at CU ☐ NO ☐ YES
   d. research responsibilities at CU relevant to the COI MOU ☐ NO ☐ YES

Please explain any affirmative answers.

[INSERT]

I certify that the information I have provided is accurate and complete to the best of my knowledge. I acknowledge that the Department Chair/ Director will receive a copy of this information. (Intermediary supervisors will also receive a copy, as applicable, e.g. students, some RAs, etc.).

Signature: __________________________ Date: ______________

Click here to enter a date.