Conflicts of Interest & Commitment
Office of Research Integrity, Research & Innovation Office
University of Colorado Boulder
colorado.edu/researchinnovation/coi
Samantha Hertzog, MSL
Director
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Conflict of Interest Monitoring Report for Year:

Discloser:
Department:

NOTE: For the remainder of this document the following terms will refer to items identified and defined in the discloser’s MOU: (a) external entity(ies) and (b) conflict(s) of interest.

1. Has the conflict of interest been disclosed to all journals and in public presentations where your related university research is being featured? ☐ N/A ☐ NO ☐ YES
   [If no, why not?]
   [INSERT]

2. What mechanism(s) have you utilized to notify students, postdoctoral associates, or other subordinates of the conflict(s) of interest? ☐ N/A
   [INSERT]

   If applicable, have students been informed that they may discuss related concerns with the department chair, chair of the graduate committee, and/or dean of the graduate school? ☐ NO ☐ YES

3. Please describe purchasing activities relevant to your COI MOU:
   a. Have there been any purchases from your external entity(ies)? ☐ NO ☐ YES
   b. Do you have a current Procurement Service Center (PSC) Conflict of Interest Form(s) on file? (https://www.cu.edu/psc/forms/conflict-interest-evaluation-coi)
      ☐ N/A ☐ NO ☐ YES

   If so, who at CU makes those decisions and who authorized the purchases? [INSERT]

4. Does your current DEPA report accurately name all other University-affiliated colleagues, subordinates, and students who have a relationship with the external entity(ies)?
   YES ☐
   NO ☐ (If not, please update the DEPA report for the COIC Office’s review.)
5. Have you been involved in any financial decisions on behalf of the external entity(ies) as a result of your work at CU Boulder? □ NO □ YES

If yes, what kinds of decisions and was anyone else involved?

[INSERT]

6. Have conclusions of your CU Boulder research projects been financially advantageous to the external entity(ies)? □ NO □ YES

Have conclusions been financially detrimental to the external entity(ies)? □ NO □ YES

If yes to either question, please explain?

[INSERT]

7. Regarding intellectual property and technology transfer:

   a. Has intellectual property been disclosed to the office that has oversight for matters involving technology transfer? □ N/A □ NO □ YES

   b. Has there been a change in licensing status? □ N/A □ NO □ YES

For (a) and (b) please explain, as applicable:

[INSERT]

8. Has there been a significant change in the discloser’s:

   a. title/status/relationship with the external entity(ies) □ NO □ YES
   b. business or research responsibilities at the external entity(ies) □ NO □ YES
   c. status/title/appointment percentage at CU □ NO □ YES
   d. research responsibilities at CU relevant to the COI MOU □ NO □ YES

Please explain any affirmative answers.

[INSERT]

I certify that the information I have provided is accurate and complete to the best of my knowledge. I acknowledge that the Department Chair/ Director will receive a copy of this information. (Intermediary supervisors will also receive a copy, as applicable, e.g. students, some RAs, etc.).

Signature: Date: Click here to enter a date.