



University of Colorado Boulder
Office of Conflicts of Interest & Commitment
Compliance Section of the Office of the Vice Chancellor for Research

www.colorado.edu/VCR/coi

Pamela A. Rosse, M.S.
Director

Pamela.Rosse@colorado.edu
(303) 492-3024

Conflict of Interest Monitoring Report for Year:

Discloser:

Department:

NOTE: For the remainder of this document the following terms will refer to items identified and defined in the discloser's MOU: (a) external entity(ies) and (b) conflict(s) of interest.

1. Has the conflict of interest been disclosed to all journals and in public presentations where your related university research is being featured? N/A NO YES
[If no, why not?]

2. What mechanism(s) have you utilized to notify students, postdoctoral associates, or other subordinates of the conflict(s) of interest? N/A

If applicable, have students been informed that they may discuss related concerns with the department chair, chair of the graduate committee, and/or dean of the graduate school?

NO YES

3. Please describe purchasing activities relevant to your COI MOU:

a. Have there been any purchases from your external entity(ies)? NO YES

b. Do you have a current Procurement Service Center (PSC) Conflict of Interest Form(s) on file? (<https://www.cu.edu/psc/forms/conflict-interest-evaluation-coi>)

N/A NO YES

If so, who at CU makes those decisions and who authorized the purchases?

4. Does your current DEPA report accurately name all other University-affiliated colleagues, subordinates, and students who have a relationship with the external entity(ies)?
YES
NO (If not, please update the DEPA report for the COIC Office's review.)
5. Have you been involved in any financial decisions on behalf of the external entity(ies) as a result of your work at CU-Boulder? NO YES

If yes, what kinds of decisions and was anyone else involved?

6. Have conclusions of your CU-Boulder research projects been financially advantageous to the external entity(ies)? NO YES
- Have conclusions been financially detrimental to the external entity(ies)? NO YES

If yes to either question, please explain.

7. Regarding intellectual property:
- a. Has intellectual property been disclosed to the Technology Transfer Office, per policy, and as specified in your MOU? N/A NO YES
- b. Has there been a change in licensing status? N/A NO YES

For (a) and (b) please explain, as applicable:

8. Has there been a significant change in the discloser's:
- | | | |
|--|-----------------------------|------------------------------|
| a. title/status/relationship with the external entity(ies) | <input type="checkbox"/> NO | <input type="checkbox"/> YES |
| b. business or research responsibilities at the external entity(ies) | <input type="checkbox"/> NO | <input type="checkbox"/> YES |
| c. status/title/appointment percentage at CU | <input type="checkbox"/> NO | <input type="checkbox"/> YES |
| d. research responsibilities at CU relevant to the COI MOU | <input type="checkbox"/> NO | <input type="checkbox"/> YES |

Please explain any affirmative answers.

I certify that the information I have provided is accurate and complete to the best of my knowledge. . I acknowledge that the Department Chair/ Director will receive a copy of this information. (Intermediary supervisors will also receive a copy, as applicable, e.g. students, some RAs, etc.).

Signature:

Date:
