

2020-2021 ANNUAL REPORT



FIRST PEOPLES WORLDWIDE



First Peoples Worldwide
UNIVERSITY OF COLORADO **BOULDER**



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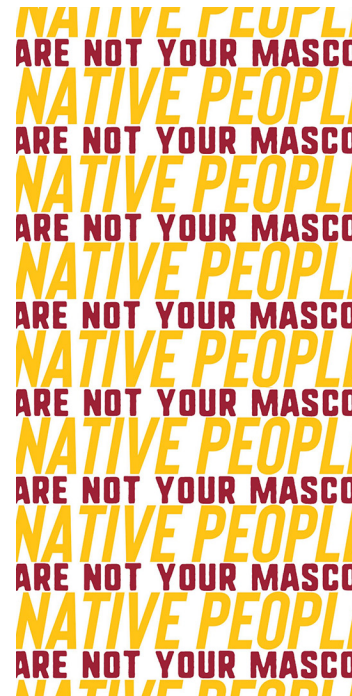
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Cover Image: The Coastal Plain of the Arctic National Wildlife Refuge, under threat from oil and gas development, is sacred to the Gwich'in Nation and other Indigenous Peoples in the region. See more on pg. 17. Photo by Lisa Hupp/USFWS, public domain.

Table of Contents Images (l-r): Bernadette Demientieff, Executive Director of the Gwich'in Steering Committee, courtesy of the subject; the Menominee River, sacred to the Menominee Indian Tribe of Wisconsin, is under threat from proposed mining 150 ft away, photo from the Bureau of Land Management; NativesVote2020.com, in collaboration with IllumiNative and Native Organizers Alliance; the United Nations Permanent Forum on Indigenous Issues; Not Your Mascot campaign by IllumiNative.

Images Pgs. 16 and 18: Porcupine Caribou Herd on the Coastal Plain of the Arctic Refuge and Gwich'in people, photos by Florian Shultz courtesy of the Arctic Refuge Defense Campaign.



MESSAGE FROM FIRST PEOPLES WORLDWIDE EXECUTIVE DIRECTOR

DEAR FRIENDS:

I am delighted to present to you our Annual Report, and I am so grateful for our continued partnership with Indigenous Peoples, investors, and organizations around the world that make our work to drive change in the capital markets possible every day. Through these partnerships, we at First Peoples Worldwide have a unique view of the many ways that the ecosystem of corporate accountability is experiencing a tectonic shift in real-time.

First, for the last three decades the work of our founder Rebecca Adamson and others has mainstreamed corporate advocacy and shareholder advocacy strategies for Indigenous Peoples. After Rebecca's retirement, Carla Fredericks, who led the organization through the end of 2020, recognized the opportunity to harness parallel strategies in the corporate, legal, and international human rights sector to create powerful channels of effective advocacy for Indigenous Peoples to decision-makers at all levels. When we field questions today, they are about *how* to deploy a campaign, *what* priorities to set, and *which* message to send. Thanks to these visionary women, and the work of so many Indigenous leaders, we have moved past the question of whether this strategy will work, but rather how best to point precious resources towards success.

Second, we are watching the waves of advocacy begin to create change across multiple sectors. In the banking sector especially, the questions have moved beyond *what* is free, prior and informed consent (FPIC), to *how* does FPIC work, *what* can we do to move this inherent right forward, and *who* else is operationalizing FPIC. The field is shifting, and our role is vital as a leader for sector-based movement in recognition of Indigenous Peoples' rights and sovereignty.

Third, there is an appetite among sector leaders for *more depth, more details* and *quick forward movement*. We have continued to provide capacity building through one-on-one and workshop engagements about core issues such as FPIC, and the nexus between environmental, economic, and social sustainability. We have also seen an increase in desire for information about not just screening out bad practices, but how to move forward in a good way. More companies are asking *how* to build deal structures consonant with Indigenous values; *which* criteria to include in an investment thesis; and *where* to start activating metrics on the “S” in ESG.

Given our unique role at the intersection of business, law, and finance, this report highlights the many strategies that First Peoples Worldwide deployed to uplift Indigenous Peoples from July 2020 through June 2021.

In brief, we were honored to lead on the investor campaign that pushed the Washington Football Team to remove its racist name, and we continue to bring together the investors and Indigenous organizations to mobilize positive change and show that racist branding has no place in corporate culture. We also saw the power of integrating international advocacy and corporate engagement when 29 global financial institutions refused to finance oil and gas drilling in the Coastal Plain of the Arctic Refuge, sacred to the Gwich'in. Our work to support the Gwich'in Steering Committee continues to build on this success. We've also seen traction among investors and Native enterprise alike after the release of our paper *Harnessing Private Equity for Indigenous Peoples*. Finally, through our coordinating role for the Investors & Indigenous Peoples Working Group, the group drove action to uplift the priorities and concerns of Indigenous Peoples in investor circles, shareholder advocacy, and regulatory mechanisms.

I am grateful for the support of our partners, programmatic participants, and all who have come alongside this critical work in the last year and over the decades. We at First Peoples Worldwide look forward to continuing the work with you in the years ahead to build a more just, equitable, and sustainable future from a foundation of Indigenous values.

Warmly,



Kate R. Finn, Executive Director
First Peoples Worldwide



When we field questions today, they are about *how* to deploy a campaign, *what* priorities to set, and *which* message to send... We have moved past whether this strategy will work, but rather how best to point precious resources towards success.

First Peoples Worldwide works from a foundation of Indigenous values to achieve a sustainable future for all.

MISSION

MISSION & APPROACH

First Peoples Worldwide is an Indigenous-led organization that translates on-the-ground impacts of investment affecting Indigenous Peoples to corporate decision-makers. We do this by deploying multiple strategies that build the business case for respect for the rights of Indigenous Peoples.

Having started the flywheel of Indigenous-centered corporate engagement in the 1990s, First Peoples Worldwide is now a leader in deploying strategies to move the market towards respect for the rights of Indigenous Peoples. Through the intersection of business, law, and finance, First Peoples Worldwide increases corporate accountability.

We build the business case for respect for Indigenous Peoples through the following strategies:



CAPACITY BUILDING

- Shareholder Advocacy Leadership Training (SALT) workshops and other trainings for Indigenous Peoples, corporations, financial institutions, and investors.
- Creating resources, as well as providing access and context for existing resources.
- Connecting and integrating partners across sectors and fields for collaboration, information sharing, and growth.



CORPORATE ENGAGEMENT & INTERNATIONAL ADVOCACY

- Working directly with Indigenous leadership and organizations to design and deploy targeted corporate engagement campaigns.
- Executing parallel international advocacy to elevate ongoing human rights violations at an international level.
- Facilitating high-level dialogue between Indigenous Peoples and corporations where there is a direct impact on Indigenous lands, territories, resources, and rights.



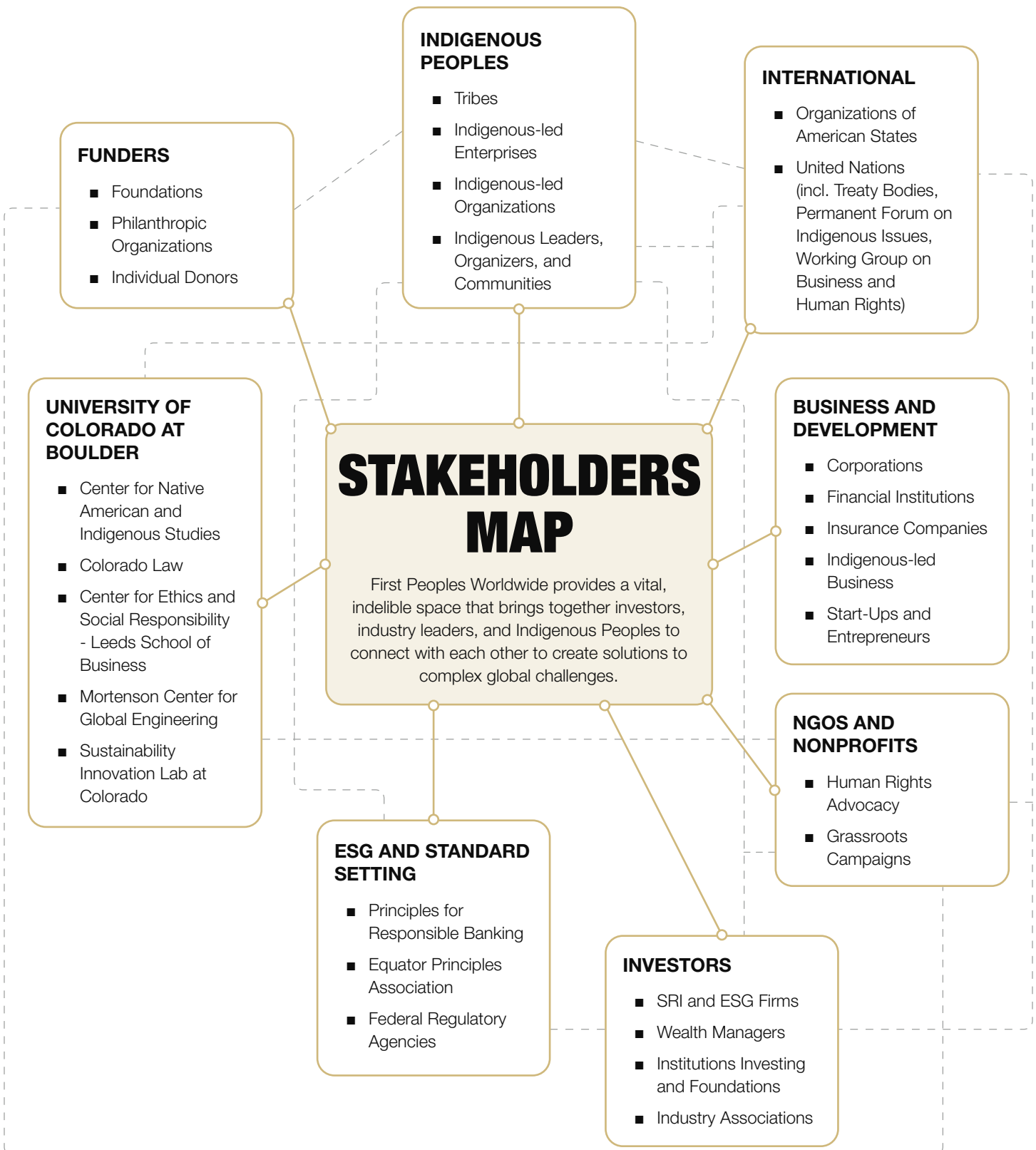
NETWORK

- Serving as Secretariat for the Investors and Indigenous Peoples Working Group to mainstream Indigenous knowledge as a critical factor in decision-making.
- Presenting and engaging in convenings to integrate ongoing initiatives and the Indigenous perspective.



RESEARCH AND TOOLS

- Providing tools, resources, and other mechanisms for corporations, financial institutions, and investors to better integrate Indigenous rights, as enumerated in the United Nations Declaration on the Rights of Indigenous Peoples, into routine business operations.
- Developing and publishing research and case studies to draw out overarching patterns and themes in the field.



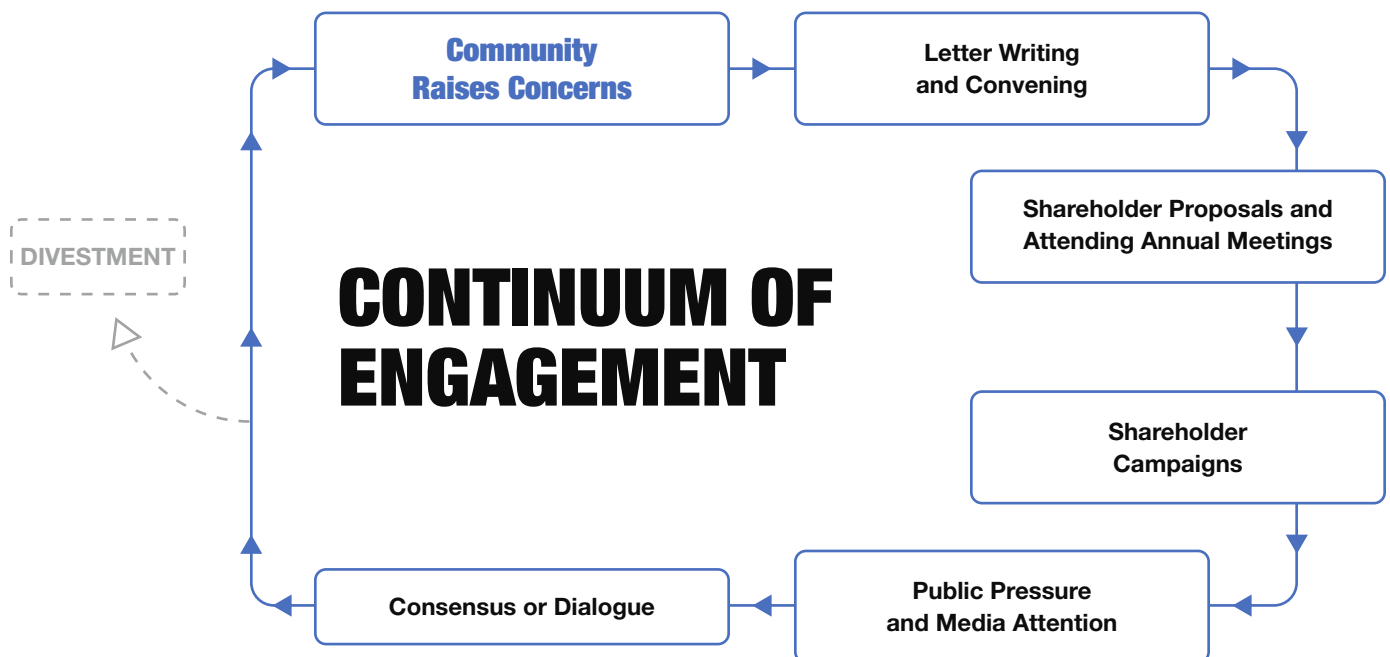




CORPORATE ENGAGEMENT

Each year First Peoples Worldwide consults with numerous corporations, investors, and industry leaders to ensure that the rights and wellbeing of Indigenous Peoples are being respected in routine business operations. This is both at the behest of corporations looking to improve behavior, and from Indigenous Peoples facing deep and often long-standing challenges where development is impacting or threatening to impact them negatively.

In working directly with Indigenous leaders and enterprises, First Peoples Worldwide ensures that Indigenous Peoples' self-determined goals are articulated and put forward in ways that best resonate with (and potentially disrupt) capital systems that have traditionally excluded them. Utilizing a continuum of engagement directly with corporations and through shareholder action is a key strategy in this work.



The priority for First Peoples Worldwide's ongoing engagements is to build capacity for corporations to move closer to fulsome respect for Indigenous Peoples' rights over time. While this graphic shows a circular strategy, each of these actions can take place at any time during engagement. Calls for divestment are typically utilized as a "nuclear option" when engagement has been ignored or not yielded the desired result.

In FY 2020-2021, First Peoples Worldwide partnered with a number of groups to move specific goals forward through corporate engagement, including:

-  Protecting the Coastal Plain of the Arctic Refuge, sacred to the **Gwich'in Nation**, from oil and gas development. To date, 29 global financial institutions – including every major U.S. and Canadian bank – have committed to protecting the Coastal Plain. Additionally, and with ongoing engagement, seven international insurance companies have stepped forward with commitments. (Project partner: the **Gwich'in Steering Committee**)
-  Supporting Indigenous communities globally to engage with mining companies, big tech, and suppliers to raise awareness at the intersection of mining impacts and the rise in demand for transition minerals in the low-carbon economy.
-  Protecting the water supply and culturally significant territories of the **Menominee Indian Tribe of Wisconsin**, and limiting consumption of metal and minerals mined from Indigenous territories without consent.

Of note, while First Peoples Worldwide comes alongside Indigenous partners to provide direct strategic expertise and coordination assistance, many of these engagements are brought forward, supported, and deployed through the **Investors & Indigenous Peoples Working Group** (read more on page 24).

Additionally, First Peoples Worldwide engages to improve the conceptualization and realization of Indigenous Peoples' concerns and rights with international standard setting apparati such as the **Equator Principles** and **Principles of Responsible Investment**, as well as potential new regulatory policies from domestic bodies, such as the **Securities Exchange Commission**.



Committee on the
Elimination of
Racial Discrimination
UNITED NATIONS

NEXT DECADE | 10+

**BUSINESS AND
HUMAN RIGHTS**
UN GUIDING PRINCIPLES

INTERNATIONAL ADVOCACY

First Peoples Worldwide brings together the strategies of corporate engagement with the tools of international advocacy to magnify the strength and priorities of Indigenous Peoples and drive action towards respect of their human rights.

When international bodies such as the United Nations' Committee on the Elimination of Racial Discrimination (CERD) or the Organization of American States' Inter-American Commission on Human Rights (IACHR), issue statements or hold hearings on violations of Indigenous rights, corporate actors pay attention. In turn, this international pressure leads to communications with global industry leaders about the intersection of Indigenous rights with their work.

Major projects in FY 2020-2021 included:

- Filing with CERD on human rights violations of the Gwich'in, which resulted in two inquiries to the U.S. government. To date oil and gas development has not occurred. (Project partner: the **Gwich'in Steering Committee**.)
- Filing petitions with CERD and IACHR to protect Anishinaabe water, manoomin, and treaty land from construction and operation of Enbridge Line 3, as well as to elevate the ongoing human rights violations attendant of Indigenous Water Protectors. (Project partners: **Honor the Earth** and **Giniw Collective**.)
- Supporting Indigenous-led engagements during the UN Universal Periodic Review (UPR) of the United States. (Project partner: **US Human Rights Network**.)
- Convening Indigenous leaders for a virtual dialogue in support of updates and revisions ten years after the publication of the UN Guiding Principles on Business and Human Rights. (Project partner: **UN Working Group on Business and Human Rights**.)
- Organizing *Shareholder Advocacy for Indigenous Communities*, part of the 20th Session of the UN Permanent Forum on Indigenous Issues (UNPFII). (Project partners: **Aborigin Forum**, **Gwich'in Steering Committee**, **Cultural Survival**, and **Business & Human Rights Resource Centre**.)
- Organizing a closed meeting on behalf of IACHR's forthcoming thematic report on Indigenous self-determination with Indigenous experts and leaders in North America.



CAPACITY BUILDING THROUGH TRAININGS, CONSULTATIONS, PANELS, AND MORE

As the world closed due to the COVID-19 pandemic, First Peoples Worldwide transitioned its in-person engagements to creating online content and participating in virtual convenings. This enabled outreach to more communities and organizations.

For instance, in June 2021, First Peoples Worldwide moderated a dialogue with Indigenous Peoples impacted by the extraction of transition minerals (see side bar), allowing for a conversation between Indigenous leaders situated in Russia, South America, and North America to share strategy and to build global solidarity.

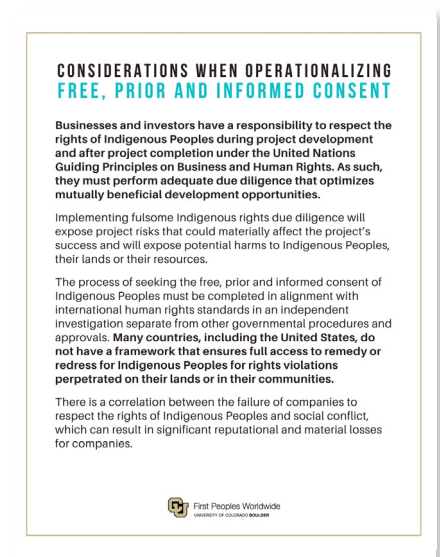
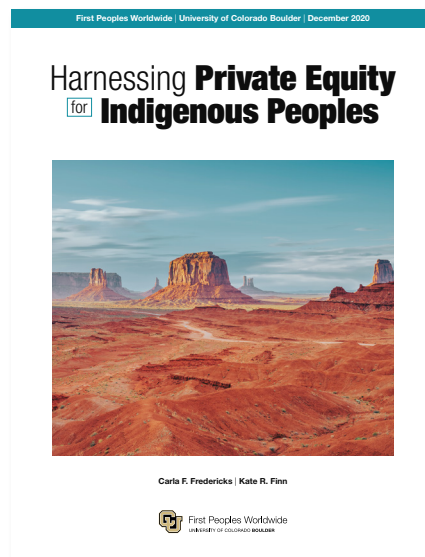
First Peoples Worldwide also presented a number of Shareholder Advocacy Leadership Training (SALT) workshops to tribes and Indigenous leaders, and lent support to many panels and conferences. Highlights from 2020-2021:

- Stanford Symposium's *Indigenous Leadership in the Emerging Green Economy: Mining, Silicon Valley, and Global Environmental Justice*
- USSIF Conference 2021 panel *Investing to Advance Racial Justice* and an Indigenous-focused table talk
- Background presentation for Indigenous leaders in Europe and Russia on the OAS system and recognition of collective Indigenous rights within the Inter-American Court and Commission on Human Rights
- Resource Work's Indigenous Partnership Success Showcase panel *International Perspectives on Indigenous Partnerships*
- Vanderbilt University's *Indigenous Speakers Series: Conversations on the MMIWG Crisis and Resource Development*
- Producing the Investors & Indigenous Peoples Working Group webinar *Collaboration and Consent: Prioritizing Indigenous Rights in Investment*
- Banking industry workshops concerning Indigenous Rights in partnership with WECAN International
- SHARE Investor Summit 2021's panel *Indigenous Trustee Workshop: Investing for Today and Future Generations*
- Center for Economic and Social Rights' panel *Exploring the Future of Energy: Access and Equity*
- Bank of America's Native employees' network panel *Celebrating Indigenous Peoples Day - Education and Changing Stereotypes about Native Peoples in American Society*, organized by IllumiNative
- Native American Financial Officers Association's 2020 conference panel *Financing and Investing in Tribal Energy Projects*



Transition Minerals

Transition minerals are metals and minerals necessary to drive the shift to a low-carbon economy through production of electric vehicles, solar panels, and medical devices, among other technologies. These minerals include lithium, cobalt, copper, zinc, manganese, and nickel, and they are generally mined using water-intensive and/or open-pit mining practices. As demand for these minerals grows, Indigenous Peoples, shareholders and investors are working to ensure that mining practices and supply chain sourcing do not replicate rights violations or harms historically prevalent in fossil-fuel extraction. First Peoples Worldwide is currently working with partners to develop a coordinated campaign to elevate this emerging and urgent issue to investors.



RESEARCH AND TOOLS

Homed at the University of Colorado Boulder, First Peoples Worldwide is uniquely situated to conduct and support a variety of timely and implementation-critical research projects, case studies, and scholarly articles.

Since its publication in 2018, First Peoples Worldwide has used its landmark case study [Social Cost and Material Loss: The Dakota Access Pipeline](#) to demonstrate material risk when businesses ignore or fail to consider impacts on Indigenous communities. The paper has been cited widely in academic scholarship and industry reports, as well as media sources.

The [Free, Prior and Informed Consent Due Diligence Questionnaire](#), developed through findings from the Dakota Access Pipeline case study and using the United Nations Declaration on the Rights of Indigenous Peoples (UNDRIP) as the foundation for FPIC standards, is a sought-after tool for investors, developers, Indigenous Peoples, and organizations working to build evergreen frameworks to protect Indigenous rights.

Additional publications, articles, and research projects FY 2020-2021 included:

- [Harnessing Private Equity for Indigenous Peoples](#) (see more on page 19)
- [“Indigenous Peoples’ Human Rights as a Minimum Standard for Corporate Practice”](#) in the *Stanford Social Innovation Review*
- Carla Frederick’s [“The \(Indigenous\) Case for Shareholder Primacy and its Role in Climate Justice”](#) in the *Harvard Law Review*
- [“Strategies to Prevent Harm from Development on Sacred and Ancestral Lands”](#) by Senior Fellow Dave Archambault II
- [Research support for the Natives Vote 2020](#) project.



SUPPORT OF THE GWICH'IN STEERING COMMITTEE'S CORPORATE AND INTERNATIONAL CAMPAIGNS

Leading parallel strategies to defend sacred land

By executing an international strategy and contributing to the corporate strategy of the Gwich'in Steering Committee (GSC) and its partners in the Arctic Refuge Defense Coalition, First Peoples Worldwide supports the work of GSC to protect the Coastal Plain of the Arctic National Wildlife Refuge from destructive oil and gas development. The land is sacred to the Gwich'in because it is the birthing grounds of the Porcupine Caribou Herd, which is integral to the culture, spirituality, and subsistence of the Gwich'in.

Since 2019, First Peoples Worldwide has implemented international advocacy on behalf of the GSC, including petitioning the UN Committee on the Elimination of Racial Discrimination (CERD) and the Inter-American Commission on Human Rights about the potential violations of the Gwich'in's human rights should drilling occur. This elevated the issue globally and resulted in [two rare responses from CERD](#), explicitly stating the human rights violations that would occur should drilling be allowed and asking the United States to answer the allegations.

The letters provided powerful evidence of the potential complicity of financial institutions in human rights violations. First Peoples Worldwide married the international response with ongoing corporate engagements, asking banks and insurers to refuse to fund development in the Arctic National Wildlife Refuge, and organizing and attending many of these meetings. Often members of banks remembered First Peoples Worldwide's involvement in the Standing Rock Sioux Tribe's opposition to DAPL.

The result: [29 financial institutions](#) to date, including all major U.S. and Canadian banks, have refused to fund oil and gas development in the Coastal Plain. The work continues in the insurance sector, where a [growing list of international insurers](#) have similar commitments. While the Biden Administration temporarily halted development in the Arctic in early 2020, GSC and First Peoples Worldwide continue to seek permanent protections for the Refuge.

This work has continued to inform new initiatives and partnerships such as support to Honor the Earth and Giniw Collective to uphold the rights of the Anishinaabe in the fight against Enbridge's Line 3 pipeline; support to the Aborigin Forum and the Batani Foundation in their efforts to protect Indigenous land from extractive transition minerals mining; and coalition support and capacity building to the Menominee Indian Tribe of Wisconsin as they seek to stop the development of the Back Forty mine.

”

Each of these initiatives is a product of partnership and intentional coalition-building. First Peoples Worldwide uses its success and experiences to inform new strategies that aligns the priorities of Indigenous leaders and investors.

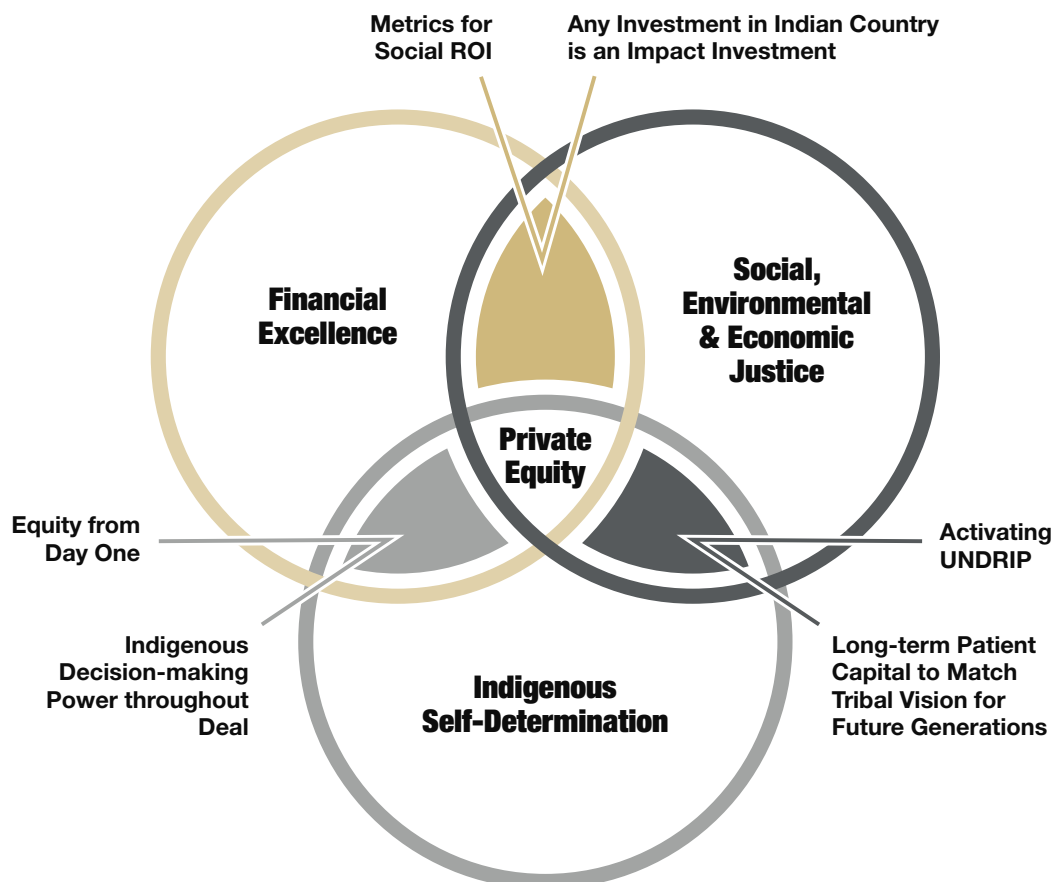


PUBLICATION: HARNESSING PRIVATE EQUITY FOR INDIGENOUS PEOPLES

Capacity Building through Consultation,
Research, and Publication

First Peoples Worldwide capped 18 months of research and consultations with tribes, investors, and energy sector entrepreneurs to better understand how to scale enterprise in Indian Country through private equity with the report [*Harnessing Private Equity for Indigenous Peoples*](#).

Released in December 2020, and penned by Carla F. Fredericks and Kate R. Finn, the report collates and presents core design tenets of a private equity strategy as a targeted solution to activating the rights of Indigenous Peoples within the private markets.



Key Findings

- **Tribes are rapidly building infrastructure and readiness to participate in the private capital markets at a large scale.**
- **There is a need for new models of deal-making that can be shared widely so that both tribes and investors can see successes and build their deals in new ways that are truly mutually beneficial.** Private equity is happening in Indian Country, but usually in one-off deals. Research participants reported that every time an equity deal moves forward, it is like the first time a deal has ever happened.
- **Any investment in Indian Country is an impact investment.** The history of extractive deal-making in Indian Country has often meant that tribes and Native entrepreneurs received an unequal share of the benefits of the deal. Sometimes they only received rents from land use or ownership of capital after a long period of time.
- **A new framework based on equity in participatory rights and decision-making is the best way to move forward with deal-making so that the tribe can realize meaningful value.** Models of investment should be developed to ensure that financial benefits are not skewed towards outside developers and companies, and that tribes' sovereignty and participatory rights to design a project or its impacts are considered when their resources and land are being used.
- **Private equity, or any deal structures, can be made more inclusive and mutually beneficial with attention to three key pillars:**
 - Any investment in Indian Country is an impact investment. This engages a new sector of impact investors that seek to align both social and financial returns.
 - Tribes should get equity from day one. This means both equity in a financial sense and also equity in decision-making and participatory rights. This focus can ensure that a tribe's concerns are considered equally throughout the lifecycle of the project from feasibility, to construction, to implementation, to end-stage management.
 - Any private equity strategy or fund design must consider flexibility around how tribal equity is captured, understood, and baked into the deal. Equity at early points must be considered on equal footing as financial contributions since, but for these resources, the project could not be developed.

Core Values

Significant economic opportunity within Indian Country is ready to be scaled to the benefit of tribes, Native entrepreneurs and investors alike, utilizing the following core values that are embedded throughout this research.

Indigenous Self-Determination



Equity in Power and Decision-Making



Financial Excellence



Positive Social Impact



The report is available on the First Peoples Worldwide website at colorado.edu/program/fpw/publications-resources.

ENGAGEMENT TO RETIRE THE WASHINGTON FOOTBALL TEAM'S RACIST NAME AND MASCOT

Leading sponsor engagements through the Investors & Indigenous Peoples Working Group, as well as community support, to shift harmful industry behavior

Following years of advocacy and coalition-building, First Peoples Worldwide was honored to be involved in the tide-changing shift in the racial justice movement marked by the change of the Washington Football Team's racist name and mascot. The story of this accomplishment and the ripples of change that continue demonstrate the power of consensus when brought together for a common mission. First Peoples Worldwide's role with the Investors & Indigenous Peoples Working Group (IIPWG) was critical to harness the moment in 2020 to make this enormous shift.

In June 2020, First Peoples Worldwide and IIPWG members [called on sponsors](#) to terminate business with the Washington D.C. Football Team if it did not change its name, a racial slur against Native Americans. In letters that went to FedEx, Nike, and PepsiCo, First Peoples Worldwide marshalled over 100 signatories, including investor groups and foundations with over \$620 billion in assets under management. Simultaneously, First Peoples Worldwide helped steer a letter to the National Football League, calling for [immediate name change and eradication of all Native names, logos and mascots](#). This letter was signed by over 1,400 individuals representing hundreds of tribes and Native-led organizations.

On July 13, 2021, the [Washington team announced](#) it would retire its name. This followed nearly five decades of work by Native leaders and was undoubtedly influenced by the summer of racial reckoning in the United States after the murder of George Floyd and other Black citizens, and a national consensus that racist behavior must face accountability.

Change has followed. In December 2020, the Cleveland Major League Baseball Team announced they would be retiring their name and later announced it would be renaming to the Cleveland Guardians. In the Canadian Football League, the Edmonton Elks have newly rebranded their formerly racist name.

And beyond these new – and hopefully continuing – commitments to removing racist mascots and names from professional sports teams, the momentum from these changes have spurred other actions. Over 100 K-12 schools eliminated their Native-themed mascots between July 2020 and July 2021. States themselves are taking action, with Colorado, Nevada, Washington, and Connecticut banning the use of the mascots and fining institutions who continue to use them.

Studies show that the use of Native mascots creates real psychological harm for Native youth. With the unearthing of the stories that Indigenous Peoples all knew to be true about the harm perpetuated against them by residential boarding school systems in the United States and Canada, it could not be clearer that we must take care of our Indigenous youth.

Eradicating a history of racist mascots is one important step towards creating a future where visibility and respect is possible for all Native Peoples. First Peoples Worldwide's role in marshalling concerned investors was and continues to be a critical component in moving corporations to make real and lasting change that reflects these cultural shifts.



These actions are not siloed changes in the sports industry; they indicate a broader cultural and social shift that seeks to address outdated portrayals of Native peoples that have perpetuated bias, invisibility, and harm to Natives for decades.

~~R*DSK*NS~~
~~WARRIORS~~
~~BRAVES~~
~~INDIANS~~
~~CHIEFS~~
~~BLACKHAWKS~~

**ELIMINATE
ALL NATIVE MASCOTS.**

Image from IllumiNative's [Change the Name](#) campaign.

The New York Times

For Native American Activists, Washington Name Change Was 'a Long Time Coming'

The Washington N.F.L. team has long been a target of protests but now that its owner has budged, activists are pushing for other teams to follow suit.



Earlier this month, Native American activists sent a letter to N.F.L. Commissioner Roger Goodell that made a number of demands. "We are not going to give up ensuring that our humanity and dignity be respected," said Carla Fredericks, the director of First Peoples Worldwide. Richard Tsong-Tsantari/Star Tribune, via Associated Press

By Kevin Draper and Gillian R. Brassil
July 13, 2020



The **Investors & Indigenous Peoples Working Group (IIPWG)** brings together investors and Indigenous Peoples to forward the human rights of Indigenous Peoples through the capital markets. The Native name of IIPWG is **Yethiya wihe'**, meaning "We all give to them/ We all invest in them" in the Oneida language.

IIPWG's work centers on four priority areas:

- **Ensuring Indigenous Peoples' right to free, prior and informed consent;**
- **Addressing the impact of extractive industries on the environment and Indigenous communities;**
- **Ending the use of racist images, stereotypes, and cultural appropriation; and**
- **Building corporate and investor support for Indian Country.**

IIPWG hosts a monthly call that serves as a clearinghouse for information, news, and joint action to bring together Native and non-Native communities on issues related to sustainable and responsible investing. Action encompasses shareholder advocacy, corporate engagement, and development of expert resources and tools. The broad coalition comprises finance professionals who work to address contemporary challenges facing Indigenous Peoples globally and to mainstream Indigenous rights in ESG criteria. IIPWG also prioritizes participation by Indigenous leaders at investment and finance industry events.

Having been active in the coalition since its founding in the early 2000s, [First Peoples Worldwide was named Secretariat of IIPWG](#) in August 2020. In this capacity, First Peoples Worldwide has supported Indigenous leaders to connect directly with investors on time-critical engagements, and spotlighted partners such as IllumiNative to combat Native invisibility by ensuring that racism has no place in corporate branding.

ADDITIONAL PROJECTS IN DEVELOPMENT AND UNDERWAY FOR FY 2021-2022

- Indigenous Rights Investor Screen
- Transition Minerals Coalition and Campaign
- Native Business Incubator with Focus on Food Systems Research
- First Nations Major Project Coalition's *Toward Net Zero by 2050 Conference 2022*
- Menominee Indian Tribe of Wisconsin Campaign to Protect Resources and Sacred Sites
- Enbridge Line 3 Case Study
- Dakota Access Pipeline Case Study Update
- Gwich'in Steering Committee Campaign for Permanent Protection for the Arctic Refuge

In addition to ongoing and upcoming projects, the research agenda includes:

- Developing new models for transition mineral extraction that respect Indigenous land and human rights;
- Evaluating how catalytic capital is being deployed in Indigenous communities and to Indigenous-led enterprises and entrepreneurs; and
- Native supply chain analysis and surveying Native food suppliers in the United States to develop incubation models.



LEGACY STAFF FELLOWSHIP FUNDERS



FROM A FOUNDATION OF INDIGENOUS LEADERSHIP

First Peoples Worldwide was founded as a non-profit organization in 1997 by Cherokee economist **Rebecca Adamson**, who had the foresight to mobilize investors and Indigenous Peoples to shift corporate behavior towards robust consideration of Indigenous Peoples' rights. Working with many organizations, companies and communities, Adamson has spent almost 50 years harnessing traditional Indigenous knowledge for contemporary application.

Following Adamson's retirement in 2017, **Carla F. Fredericks** (Mandan, Hidasta, and Arikara Nation) rehoused First Peoples Worldwide at the University of Colorado Boulder's Center for Native American and Indigenous Studies, in partnership between the University of Colorado Law School and the Center for Ethics and Social Responsibility at the Leeds School of Business. Fredericks served as Faculty Director until her departure to lead The Christensen Fund in December 2020.

Under the direction of **Kate R. Finn** (Osage), who was appointed Executive Director in December 2020, First Peoples Worldwide continues its legacy as an Indigenous-led organization and expands upon the original vision to uphold and further the rights, sovereignty and economic wellbeing of Indigenous Peoples in traditionally exclusionary and too often destructive systems.

OUR LEGACY



REBECCA
ADAMSON



CARLA F.
FREDERICKS



KATE R.
FINN



First Peoples Worldwide is one of the only international organizations led by Indigenous Peoples and dedicated to the mission of promoting Indigenous economic determination and strengthening Indigenous communities through asset control and the dissemination of knowledge. [...] Many of the world's Indigenous cultures are endangered and their lands and natural resources are increasingly threatened by external economic and development pressures. Without an active campaign to protect them, the world's Indigenous Peoples are in danger of disappearing altogether in the next century. With them will go generations of Indigenous knowledge, valuable medical research, vibrant cultures, and worldviews that are unique and infinitely sustainable.

—Rebecca Adamson

First Peoples Worldwide has maintained its reputation as a trusted influencer in national and global Indigenous rights spaces. We have expanded the mainstreaming of Indigenous Peoples' rights and considerations in the contexts of CSR, ESG and risk assessment practices, and furthered our deep traction with Indigenous Peoples and investors to discuss the material impacts related to social risks. We continue to purposefully engage and amplify areas where Indigenous communities are at the forefront, from solutions to climate change and stewarding biodiversity, to building sustainable, community-centered business and resource development.

—Carla F. Fredericks

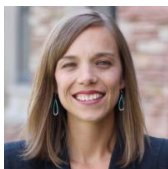
Today First Peoples Worldwide is a thought-leader, connector and hub that brings together investors and Indigenous Peoples to deploy market-based strategies that accelerate respect for the rights of Indigenous Peoples into the capital markets. The wide scope and depth of the work of First Peoples Worldwide is designed and deployed with the time, enthusiasm, and profound expertise of our staff, partners, supporters, and program participants throughout the world.

—Kate R. Finn

STAFF & FELLOWSHIP

As of November 1, 2021, unless otherwise noted.

Staff



KATE R. FINN

Executive Director



CHRISTINA STANTON

Director of Operations



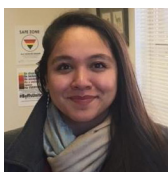
SHANNON JOWETT

Director of Communications and Public Engagement



NOA SHAPIRA

Community and Outreach Coordinator



CIBONET SALAZAR

Program Coordinator

Fellows



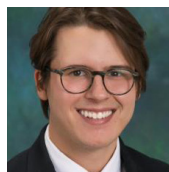
DAVE ARCHAMBAULT II

Senior Fellow (through April 2021)



SAM BARTH

Social Justice Fellow (through April 2021)



LOGAN R. BIG EAGLE

Social Justice Research Fellow



BRIANA NEZBAH EDMO

Social Justice Research Fellow



MELANIE MATTELIANO

Sustainable Development Fellow

FIRST PEOPLES WORLDWIDE ADVISORY BOARD

As of June 30, 2021

First Peoples Worldwide is grateful for the guidance and expertise of its Advisory Board, which encompasses original leaders of the program and all who are on the vanguard of activating and shaping industry and capital markets towards the rights of Indigenous Peoples.

Rebecca Adamson

Founder
First Peoples Worldwide

Becky Albert-Breed

Executive Director
First Nations Community Financial

James E. Brumm

President
Glastonbury Commons

Jan Bryan CFP® AIF®

Founding Member and Advisor
Sustainable Advisors Alliance LLC

Jason Campbell

Consultant
Arete Development

Carla F. Fredericks

Executive Director
The Christensen Fund (also Faculty Director, First Peoples Worldwide 2017-2020)

Paloma Muñoz Quick

Lead, Human Rights & Financial Service
BSR

Chris James

President & CEO
National Center for American Indian Enterprise Development

Mark Sevestre

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National Aboriginal Trust Officers Association

Nick Tilsen

President & CEO
NDN Collective

Richard “Rick” B. Williams

Indigenous Consultant
Johnson Scholarship Foundation

FUNDERS

First Peoples Worldwide extends its gratitude to funders for program, operational and project-specific support during FY 2020-2021.

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University of Colorado Boulder

Office for Outreach and Engagement

DIVISION OF CONTINUING EDUCATION



APPENDIX

Full List of Projects and Engagement Highlights FY 2020-2021

PUBLICATIONS

[“Standing Rock Protests and the Struggle for Tribal Sovereignty”](#) - Columbia University SIPA Journal of International Affairs “Politics of Protest” (October 12, 2020) - interview with former Senior Fellow Dave Archambault II

[Harnessing Private Equity for Indigenous Peoples](#) - First Peoples Worldwide (December 2020) - written by former Faculty Director Carla F. Fredericks and Executive Director Kate R. Finn

[“Indigenous Peoples’ Human Rights as a Minimum Standard for Corporate Practice”](#) - *Stanford Social Innovation Review* (February 18, 2021) - written by Ms. Fredericks and Ms. Finn as part of NDN Collective series on [‘Decolonization and Radical Indigenous Futures’](#)

[“The \(Indigenous\) Case for Shareholder Primacy and its Role in Climate Justice”](#) - *Harvard Law Review* (April 20, 2021) - written by Ms. Fredericks

[“SEC Takes a Step for Corporate Accountability”](#) - Women’s Media Center (April 28, 2021) - written by Founder Rebecca Adamson

PRESENTATIONS AND CONVENINGS

July 8, 2020 - Ms. Fredericks presented at IllumiNative’s panel *The Time is Now Town Hall on Mascots, Native Rights, and Justice*

July 27, 2020 - Mr. Archambault participated in a panel at RightsCon, *Investing in our shared humanity: how investors and human rights defenders can work better together to exercise civic freedoms and protect human rights*

August 5, 2020 - Mr. Archambault participated in a webinar with The University of Iowa Center for Human Rights, [Racial Equity & Human Rights: Moving Forward On Native American Rights](#)

August 18, 2020 - Mr. Archambault participated on an environmental justice panel during the Democratic National Convention Native American Caucus Meeting

September 14, 2020 - Mr. Archambault and former Staff Attorney Zoe Osterman presented *Lessons Learned from DAPL* to the Arctic Refuge Defense Campaign

September 25, 2020 - Ms. Osterman presented during the Gwich’in Steering Committee’s [Together, Apart](#) Gathering on international human rights advocacy

September 28, 2020 - Mr. Archambault participated in Earthjustice panel, *The Power of the People: Fighting for Climate Justice*

October 6, 2020 - Ms. Fredericks moderated NAFOA panel, *Financing and Investing in Tribal Energy Projects*

October 7, 2020 - Ms. Fredericks participated in Bank of America Native employees network panel, *Celebrating Indigenous Peoples Day - Education and Changing Stereotypes about Native Peoples in American Society*, organized by IllumiNative

October 12, 2020 - Ms. Fredericks participated in City of Boulder's Indigenous Peoples Day panel

October 14, 2020 - Ms. Fredericks participated in *Exploring the Future of Energy: Access and Equity*, presented by Center for Economic and Social Rights

October 15, 2020 - Ms. Fredericks participated in *R*Skins, Uncle Ben and the Butter Maiden: Diversity and Decision-Making When IP Law is Not Enough*, part of the Minority Bar

November 6, 2020 - Mr. Archambault and Ms. Osterman spoke at the United Nations Universal Periodic Review panel for permanent missions on Indigenous issues in the United States, including the threat of lease sale in the Arctic Refuge

November 11, 2020 - Mr. Archambault spoke at Harvard Law School Midwest Club (co-sponsored by the Environmental Law Society & Native American Law Students Association) about tribal law, with a focus on environmental issues

December 4, 2020 - First Peoples Worldwide organized a [virtual dialogue](#) between Indigenous Peoples, United Nations Working Group on Business & Human Rights, investors, and relevant stakeholders

January 25, 2021 - Ms. Finn presented at the [Unified Solutions Community of Practice Virtual Gathering for Human Trafficking Awareness Month](#)

February 16, 2021 - Ms. Finn presented at the panel/workshop [Indigenous Trustee Workshop: Investing for Today and Future Generations](#), part of SHARE Investor Summit 2021

March 11, 2021 - Ms. Finn appeared on workshops for banks organized by Women's Earth and Climate Action Network

March 18, 2021 - First Peoples Worldwide organized and produced the IIPWG webinar [Collaboration and Consent: Prioritizing Indigenous Rights in Investment](#) and Ms. Finn presented on the panel

April 15, 2021 - Ms. Finn appeared on Vanderbilt University's *Indigenous Speakers Series: Conversations on the MMIWG Crisis and Resource Development*

April 22, 2021 - Ms. Finn moderated [Shareholder Advocacy for Indigenous Communities](#), a side event for UNPFII 20th Session produced in partnership between First Peoples Worldwide, Gwich'in Steering Committee, Cultural Survival and Business & Human Rights Resource Centre

May 17, 2021 - Ms. Finn organized and moderated a closed meeting on behalf of the Inter-American Commission on Human Rights' report on Indigenous self-determination with Indigenous experts and leaders in North America

May 28, 2021 - Ms. Finn appeared on the panel [International Perspectives on Indigenous Partnerships](#), part of Resource Work's Indigenous Partnership Success Showcase

May 28, 2021 - Ms. Finn and Ms. Stanton presented to the Batani Foundation, in partnership with the Aborigin Forum, background on the Organization of the American States system, specifically on the recognition of collective Indigenous rights within the Inter-American Court and Commission on Human Rights

June 15, 2021 - Ms. Finn and Ms. Stanton presented a Shareholder Advocacy and Leadership Training (SALT) to the Menominee Tribe of Wisconsin, including members of the tribal council and environmental task force

June 16, 2021 - Ms. Finn spoke on a panel at USSIF's 2021 Forum titled *Investing to Advance Racial Justice* and was invited to give an intimate Table Talk on the intersection of social investing and Indigenous Peoples

June 24, 2021 - Ms. Finn spoke on free, prior, and informed consent in transitional mineral development at Stanford Symposium [Indigenous Leadership in the Emerging Green Economy: Mining, Silicon Valley, and Global Environmental Justice](#)

ARTICLES, TOOLKITS AND RESOURCES

Written and produced by First Peoples Worldwide staff unless otherwise noted.

[Indigenous Peoples and the 2020 Human Rights Review of the U.S. during a Global Pandemic](#) (May 1, 2020)

[Community Policing is Critical to Accountability, Peace and Culturally Connected Justice](#) (June 9, 2020)

[First Peoples Worldwide Leads Investors' Call for NFL Washington Team Name Change](#) (June 30, 2020)

[Engagement Toolkit: Investors Demand Washington Team #ChangeTheName](#) (July 2020, Updated 10/28/20)

[Native Leaders and Investors Respond to Washington D.C. Football Team Name 'Review'](#) (July 6, 2020)

[Material Losses Continue for ETP and Investors as Dakota Access Pipeline Ordered to Shutdown](#) (July 6, 2020)

[Toolkit: UN Investigates the U.S. for Violating Gwich'in's Human Rights](#) (August 2020)

[First Peoples Worldwide Named Secretariat of the Investors and Indigenous Peoples Working Group](#) (August 19, 2020)

[UN Investigates Allegations that the U.S. Violates the Human Rights of the Gwich'in with Proposed Oil and Gas Development](#) (September 1, 2020)

[DAPL Engagement Today: The Need for a Proper Environmental Review](#) (September 14, 2020) written by Mr. Archambault

[Toolkit: Indigenous Rights and the Universal Periodic Review of the U.S.](#) (October 2020, Updated 11/10/20)

[The COVID-19 Pandemic Intensifies Shortcomings of Arbitrary Country Distinction in Equator Principles' Social and Environmental Risk Assessment](#) (October 1, 2020)

[Social Justice and Voter Enfranchisement in Indian Country](#) (October 30, 2020)

[20 Recommendations on Indigenous Rights for the 2020 U.S. UPR](#) (November 5, 2020)

[The Inter-American Commission on Human Rights & Requests for 'Precautionary Measures'](#) (November 20, 2020)

[Gwich'in Petition the Inter-American Commission on Human Rights for Remedy to Imminent U.S. Oil & Gas Development](#) (November 20, 2020)

[First Peoples Worldwide 2020 in Review](#) (December 9, 2020)

[Talking Points: Investor Group Asks Sponsors and Retailers to Eliminate All Racist Names and Mascots in Sports in 2021 - DRAFT](#) (January 12, 2021)

[Executive Actions: Revoking Keystone XL, Reviewing Development on Sacred Lands](#) (January 20, 2021)

[Strategies to Prevent Harm from Development on Sacred and Ancestral Lands](#) (February 1, 2021) written by Mr. Archambault

[Toolkit: IIPWG Indigenous Rights in Investment Webinar - March 18, 2021](#) (March 24, 2021)

[Indigenous Peoples' Free, Prior and Informed Consent: A Priority for Human Rights Due Diligence](#) (April 21, 2021)

[Press Release: Tocabe Indigenous Marketplace](#) (June 14, 2021)

[Toolkit: Eradicating Native Mascots in Sports One Year after the Washington Football Team Name Retirement](#) (June 15, 2021)

[Toolkit: Concerned Investors Ask AT&T to End Native Erasure at CNN](#) (June 15, 2021)

FIRST PEOPLES WORLDWIDE

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