



Completed PSR, detailed budget, and proposal guidelines must be submitted to OCG at least **5 BUSINESS DAYS** before sponsor deadline.  
For information about form questions, refer to [PSR User Guide](#).

Proposal #

Proposal Analyst

### CU Personnel Information

Identify CU Investigators only. Contract information for PI and Co-PI/Co-Is is required for application preparation. To ensure correct project setup, the associated department org code(s) for each CU Investigator is required.

Principal Investigator (PI) Name

Unit

Primary Org #

Email

Phone

Other Org #

**Co-PI/Co-I Information** List CU Investigators only. Co-PI/Co-Is that are intended Subrecipients should be indicated in the Subrecipient section.

Co-PI/Co-I #1 Name

Unit(s)

Org #

Email

Phone

Org #

Co-PI/Co-I #2 Name

Unit(s)

Org #

Email

Phone

Org #

Co-PI/Co-I #3 Name

Unit(s)

Org #

Email

Phone

Org #

Co-PI/Co-I #4 Name

Unit(s)

Org #

Email

Phone

Org #

### Submission Information

Project Title

Funding Opportunity No./URL

Name of funder that will directly fund CU (lead institution if CU is sub)

Submission Deadline

Proposal Type

Project Topical Field

Prime Sponsor (if CU is sub)

Funder Contact

For NSF collaborative proposals, lead institution

Contact Email

### Budget Information

Development of separate budgets at time of proposal submission does not auto-generate intended splits for SpeedTypes or Indirect Cost Recovery (ICR). If this project will require multiple SpeedTypes for individual departments, a separate budget for each department must be prepared and provided to the Department Fiscal Manager, who will then coordinate with CCO during project setup to establish the appropriate SpeedType(s).

Project Start Date

Project End Date

☒ Yes ☐ No

Does the sponsor have an **indirect cost rate cap** lower than CU's on-campus rate?

Sponsor Rate

If CU Boulder's on or off-campus F&A rates are not being applied, you must provide one of the following: 1. For federal or non-profit sponsors with documented F&A rates, a copy of the sponsor's standard F&A policy and [IDC Addendum](#) signed by your department chair and dean; OR 2. For all other reduced F&A rates, including industry sponsors, a CU Boulder [Indirect Cost Waiver Request](#).

☒ Yes ☐ No

Are **cost share or matching** funds budgeted? If yes, select type(s) of cost share:

☒ Mandatory

☐ Voluntary

A Cost Share Budget and [Addendum](#) with approvals and signatures is required for all committed Cost Share at least 5 business days before submission. Note that any requests to RIO for cost share must be initiated 5 business days before a deadline to be considered for approval.

☒ Yes ☐ No

Does this project include the **fabrication** of equipment with a total cost of \$5,000 or more and useful life of at least one year?

A fabrication is the transformation of materials, non-consumable supplies, and hardware into a one-of-a-kind piece of equipment or scientific instrument that meets a unique research need and cannot be commercially obtained.

☒ Yes ☐ No

If "Yes" to above question, will the fabricated equipment be **delivered** to the sponsor or other entity external to CU upon completion? Title to fabricated deliverables is retained by the sponsor and not CU and is not considered capital equipment.

☒ Yes ☐ No

Is there an **indirect cost recovery (ICR) split** on this project that will be based on total budgeted costs?

OCG only documents the need for a split and associated units. If ICR will be based on budget splits, the primary department will need to prepare separate budgets for project setup. If a split is required per the PI/Co-PI/Co-I's multi-department appointments, be sure affected departments and their org code are identified in the CU Personnel section above. If an agreed-upon project split will be with participating non-investigator units, additional Org #s can be provided in the Other Information section. An [ICR Split Request Form](#) is required to establish split percentages. This form is collected and managed by the Campus Controller's Office (CCO) at the award stage. ICR negotiations and approvals are the responsibility of PIs and departments to complete. See the [ICR Split webpage](#) for information and forms.



**Project Information**

If "Yes" to any of the questions below, provide details, as appropriate to clarify the selection, in Other Information section below. Your Proposal Analyst may ask for clarifying information as needed for the proposal submission.

☐ Yes ☐ No Is this project a **research** activity, defined as follows: systematic studies (new scientific investigations or furtherance of prior studies); activities with intentions to publicize results, or activities which have the possibility to generate intellectual property; fabrication or purchase of equipment intended for research; conferences; or activities intended to train individuals in research techniques or methods. *Note: if any portion of the project includes a research activity, the project will be categorized as research.*

If "No" – and no part of your project includes research as defined above – **categorize the majority of the work** of your project by choosing one of the following:

- ☐ Sponsored Service Activity ☐ Digitization/Archiving ☐ Creative Project, Production, or Installation ☐ Instruction  
☐ Public Outreach (immediate benefit to a sector of the general public or the public at large) ☐ IPA: Intergovernmental Personnel Act

☐ Yes ☐ No Does this project require **space changes, renovations, or additional infrastructure**?  
*If yes, provide documentation describing the requested modifications and associated costs with signatures from affected and appropriate Chairs/Deans*

☐ Yes ☐ No Do any personnel working on this project (PI, Co-PIs/CO-Is, or other staff) or any personnel family members have a **financial interest in any entity outside the University of Colorado** that is providing goods, services, technologies, or other items for use in this proposed project?  
*If yes, information about the relationship(s) with the outside entity(ies) and the potential for conflict of interest concerns, as well as the existence of a Management Plan, must be disclosed to the sponsor. The disclosure should occur, where relevant, at proposal submission, contract negotiation, award acceptance, award continuation, or subaward issuance. Evidence of the sponsor's response must be provided upon request by university representatives. Sponsor approval of a proposal where disclosure language is clearly included may be deemed sufficient for the purpose of university business to progress. For more information, visit the [COIC webpage](#)*

☐ Yes ☐ No Does this project contain [Controlled Unclassified Information \(CUI\)](#), or will the project require the use and protection of information that has been designated as CUI? *If yes, ensure the application and proposal documents include the appropriate [identifications and markings](#) as applicable. Prior to contract award, projects that involve CUI must be able to acquire an information system authorized to process, store, and transmit CUI information per IAW NIST SP 800-171 and DoDI 8582.01. If computing infrastructure is needed for CUI, consult with [CU Boulder's Research Computing](#) on using the [Preserve](#). CU's established 800-171 compliant environment for managing CUI.*

☐ Yes ☐ No Has the scope of work been submitted or being **submitted to other sponsors**?

☐ Yes ☐ No Is the research performance site designated, or eligible to be designated, as a **historic place**?

☐ Yes ☐ No Does this project have an actual or potential **impact - positive or negative - on the environment**?

☐ Yes ☐ No Does this project involve activities outside of the United States or partnerships with **international collaborators**?

☐ Yes ☐ No Does the proposed research involve [human specimens and/or data](#) that is not considered human subjects research?

**Subrecipient(s)**

*For each subrecipient, complete information below and provide the required subrecipient documents to your Proposal Analyst at least 5 business days before the sponsor deadline. Required documents to be completed by subrecipient organizations include a [Subrecipient Commitment Form](#) or, for subrecipients who are FDP member organizations a [Subrecipient Statement of Collaborative Intent](#), a statement of work, budget and budget justification. For contracts only, a [Sole Source Justification](#) completed by CU Boulder PI is also required. Additional documentation may be required by the funding opportunity. If there are more than 3 participating subrecipients, indicate details in Other Information.*

**Institution #1 Name**  Technical Lead Role   
Technical Name  Email  Phone   
Admin Name  Email  Phone

**Institution #2 Name**  Technical Lead Role   
Technical Name  Email  Phone   
Admin Name  Email  Phone

**Institution #3 Name**  Technical Lead Role   
Technical Name  Email  Phone   
Admin Name  Email  Phone

**Other Information**

*As appropriate to the selections made above, provide additional information to support the response. If there are any unusual circumstances, requests, or items not covered by this form, note these here.*



### Human Subjects

The IRB must review and approve all research involving human subjects prior to the start of such activities. The IRB will make the final determination on whether projects involve human subjects research, as well as review, approval and oversight provisions. Information is available on the [IRB webpage](#).

☒ Yes ☐ No Is this a systematic research study that includes **human participants** with the intention to generalize the resulting information?

If "Yes," status of IRB protocol: ☒ Pending Approval ☐ Approved - Protocol No.  Approval Date

For exempt human subject research, provide [exemption number](#):

### Vertebrate Animals

IACUC must review and approve all projects involving animal work, including animal work conducted at another organization, prior to the start of project activities or release of award funds. This may require adding a new grant to an existing protocol so that a comparison between the protocol and grant can be made. Additional information on grant and protocol review available on the [IACUC webpage](#).

☒ Yes ☐ No Does this project, including any subrecipient activities, involve non-human **vertebrate animals**, including animal manipulations, generation of custom antibodies or field observations? If "Yes," complete the following vertebrate animal questions.

Check all that apply: ☒ Generating custom antibodies ☐ Use of animal tissue or blood sample ☐ Field observation studies ☐ Field animal studies

Status of IACUC protocol: ☒ Pending Approval ☐ Approved - Protocol No.  Approval Date

☒ Yes ☐ No Will the animal studies be conducted at CU Boulder?

☒ Yes ☐ No Will vertebrate animals be euthanized?

☒ Yes ☐ No If "Yes" to the question above, is the euthanasia consistent with American Veterinary Medical Association guidelines?

### Environmental Health and Safety (EH&S)

☒ Yes ☐ No Does the proposed research use any high-consequence pathogens or toxins that have the potential to be considered **dual use research of concern (DURC)**?  
*DURC is life sciences research that, based on current understanding, can be reasonably anticipated to provide knowledge, information, products, or technologies that could be directly misapplied to pose a significant threat with broad potential consequences to public health and safety, agricultural crops and other plants, animals, the environment, material, or national security. DURC research requires approval by CU Boulder's Institutional Biosafety Committee. See CU Boulder's Guidance on DURC, Federal DURC Policy and United States Government Policy for Institutional Oversight of Life Sciences DURC, which includes a list of 15 agents and toxins and 7 experimental effects within the scope of this policy.*

☒ Yes ☐ No Does the project involve the use of **lasers**?  
For more information about lasers and classifications, visit [EH&S's Laser Safety webpage](#).

If "Yes," check all that apply: ☐ Class I ☐ Class II ☐ Class IIa ☐ Class IIIa ☐ Class IIIb ☐ Class IV ☐ Other:

☒ Yes ☐ No Does the project involve the use of **radioactive materials or x-rays**?  
If yes, Radiation Safety Committee (RSC) approval is required prior to the start of the project activities. For further information and to obtain a radioactive materials license, contact RSC at [radsafety@colorado.edu](mailto:radsafety@colorado.edu) and visit [EH&S's Radiation Safety webpage](#).

If "Yes," status of Radiation Safety Committee (RSC) approval: ☐ Pending Approval ☐ Approved

☒ Yes ☐ No Does the project involve the use of **hazardous materials**?  
For definitions and links to lists of Acutely Toxic Chemicals, Toxic Gases, and Explosive Chemicals, see [Hazardous Materials Definitions](#). If yes, chemical use must follow Lab Safety Standards as determined by EH&S.

If "Yes," check all that apply: ☐ Acutely Toxic Chemicals ☐ Explosive Chemicals ☐ Perchloric Acid ☐ Other:   
☐ Carcinogens/Mutagens ☐ Hydrofluoric Acid ☐ Toxic Gases

☒ Yes ☐ No Does the project involve the use of any **biohazardous materials**?  
If yes, IBC must review and authorize research prior to initiation. Information is available at [EH&S's Lab & Research webpage](#).

If "Yes," check all that apply: As relevant to checked materials provide genus and species:   
☐ Biotoxins ☐ Infectious Agents ☐ Genetically-modified Agents ☐ Select Agents (regulated animal or plant pathogens)  
☐ Recombinant DNA ☐ Animal Tissue ☐ Human Tissue (including blood and immortal cells)  
☐ Human Embryonic Stem Cells (If yes, enter cells line(s))  ☐ Fetal Tissue

### Controlled Substances Used for Research

Controlled substances refers to DEA drugs, marijuana, industrial hemp, isolated cannabinoids, etc. Industrial Hemp is defined as a plant of the genus Cannabis and any part of that plant, whether growing or not, containing  $\leq 0.3\%$  THC on a dry weight basis or processed hemp in any form. For further controlled substance definitions and guidance, see [Controlled Substances webpage](#).

☒ Yes ☐ No Does the proposed research involve the use of **DEA or CDA Controlled Substances** whether on or off campus? If "Yes," check all that apply:

☐ DEA-Controlled Drugs and Other Substances ☐ Industrial Hemp or CBD ☐ Marijuana Observational Studies ☐ Other Marijuana Research

**Intellectual Property (IP) and Confidential/Proprietary Information (CI)**

Intellectual Property (IP) includes inventions, works of authorship (including software and code), data sets, mask works, tangible research property, tangible materials and other proprietary and confidential information. For information on IP or technology transfer, visit [Venture Partners at CU Boulder webpage](#) for further information on [IP Management](#).

- ☐ Yes ☐ No Does your proposal include **proprietary or privileged information** that should be protected from public use or disclosure? This does not include information kept confidential only for human subjects research.  
*If yes, ensure the application and proposal documents include the appropriate identifications and markings.*
- ☐ Yes ☐ No Do you have a **non-disclosure agreement (NDA)** associated with this subject matter or sponsor?
- ☐ Yes ☐ No Does this project involve the **transfer of tangible research materials** from another organization to the university or from the university to another organization?
- ☐ Yes ☐ No Does the scope of work for this project include **developing or modifying any software or code** (including open source software/code) that you will deliver to the sponsor?
- ☐ Yes ☐ No Does the project require the use of any **pre-existing inventions or software** (that is not open source software) to perform this project?

**Export Control Assessment**

If you answer "Yes" to any of the following questions, the project may require export control measures to be implemented before an award is accepted or project work begins. For steps you can take now to prevent potential funding delays, see [What to Do if Your Research is Export Controlled](#). For information on this PSR section and on how export controls and research intersect, see [Working with the Office of Contracts and Grants](#) and [Research and Export Controls](#).

- ☐ Yes ☐ No Will funding for this project, in whole or in part, come from **foreign sources**, including foreign governments, companies, organizations, universities, individuals, etc.? ("Funding" includes in kind contributions, including the use of foreign-owned equipment and/or researchers paid by foreign sources).
- ☐ Yes ☐ No Will this project involve **research collaboration** with any universities, businesses, government agencies, or other institutions located in the following countries: Iran, Cuba, Syria, North Korea, China, Cambodia, Burma, Russia, Ukraine?
- ☐ Yes ☐ No Will anyone participating in research activities associated with this project maintain **employment or receive direct financial benefit** from a foreign institution, company, government agency, or other foreign entity?
- ☐ Yes ☐ No Will this project include **both** of the following: **Funding from the U.S. Department of Defense (DoD)** (including affiliates such as AFRL, AFOSR, ONR, DARPA, etc.) and Development or advancement of **emerging technologies** that are of interest to U.S. national security?  
*Please reference [Emerging Technologies of Interest list](#) for more information.*
- ☐ Yes ☐ No Will this project involve developing **software or source code that will not be published** or otherwise made publicly available?
- ☐ Yes ☐ No Will this project involve the **use of proprietary or confidential information that will not be published** or otherwise made publicly available?

**Disclosure of External Professional Activities (DEPA) and Other Support**

The Principal Investigator (PI) is responsible for ensuring all project personnel responsible for the design, conduct, or reporting of the research currently being proposed, submit their Disclosure of External Professional Activities Form (DEPA) with the [University of Colorado Boulder's Conflicts of Interest and Commitment Office](#) for the current disclosure period, and appropriate representations are made to the sponsor in regards to external activities and other support. Project personnel subject to DEPA filings must have a current DEPA on file prior to proposal submission. It is the responsibility of PIs to ensure this requirement is met. Questions regarding the disclosure process can be directed to COIC Office.

- ☐ Acknowledge As Principal Investigator of this project, I will ensure all project personnel responsible for the design, conduct, or reporting of the research have filed a **Disclosure of External Professional Activities (DEPA)** prior to submission and will ensure their DEPA is current each calendar year. Disclosure of external professional activities is required, minimally, on an annual basis. Information on how to file your annual DEPA is available on the [DEPA Form webpage](#). DEPA's must be updated within 30 days of a status change in a discloser's conflict(s) of interest, including any changes related to this proposal/award. NIH conflicts of interest (COI) training is also required every four (4) years for PHS/NIH projects. NIH COI training information is available on the [PHS/NIH Requirements webpage](#). If a conflict of interest or commitment is identified through the DEPA process, the Compliance Director of the COIC Office will work with the reporting individual and his/her Chair or Director in order to develop a Management Plan to mitigate the negative appearance or impact of a conflict on the university.
- ☐ Acknowledge If **students**, such as Graduate or Undergraduate Research Assistants, will be responsible for the design, conduct, or reporting of research on this project, they will complete the required DEPA disclosures and **Responsible Conduct of Research (RCR) trainings**. Per federal regulation and university policy, students with these responsibilities are required to follow the DEPA reporting requirements as described on the [DEPA Form webpage](#). Additionally, all students or postdoc fellows paid from an NSF grant award and all trainees supported by most NIH awards are required to complete Responsible Conduct of Research (RCR) training as described on the [Responsible Conduct of Research training webpage](#).
- ☐ Acknowledge All senior key personnel (PI, Co-PIs/Co-Is, or other staff) are required to disclose, per sponsor requirements, in a **current and pending** document or as appropriate in another applicable proposal document, all affiliations and resources made available to the individual in support of and/or related to all of his/her research efforts, including external support, regardless of whether or not the support has monetary value. Review sponsor guidelines for required reporting details and/or templates. If a current and pending or other support document is not required, other appropriate disclosures should be contained within the proposal per sponsor guidelines. For more information, visit [Disclosing Other Support webpage](#). OCG encourages the use of [SciENcv](#) to track sponsored projects, unfunded collaborations and in-kind support, from all domestic and international sources.





**(NASA) National Aeronautics and Space Administration - China Assurance**

*This section is required for all projects that are affiliated with NASA funding, regardless if NASA is identified as CU's Sponsor. If subrecipients are participating on a NASA funded project, they will be required to provide a [China Assurance](#) signed by their institutional officials as part of their subcontract proposal.*

☒ Yes ☐ No

Is the proposal in support of an award that will be funded by NASA?

*If "Yes," review the Assurance of Compliance – China Funding Restriction below and confirm your acknowledgement.*

☒ Acknowledge

I (the Principal Investigator of this project) have read the Assurance of Compliance – China Funding Restriction below and attest to complying with the requirement; my signature in the Certifications section of the PSR form confirms my assurance.

**Assurance of Compliance – China Funding Restriction (DEVIATION FEB 2012)**

(iv) An Assurance of Compliance with the Department of Defense and Full-Year Appropriation Act, Public Law 112-10 Section 1340(a); the Consolidated and Further Continuing Appropriation Act of 2012, Public Law 112-55, Section 539; and future-year appropriations herein after referred to as "the Acts", whereas:

- (1) NASA is restricted from using funds appropriated in the Acts to enter into or fund any grant or cooperative agreement of any kind to participate, collaborate, or coordinate bilaterally with China or any Chinese-owned company, at the prime recipient level and at all subrecipient levels, whether the bilateral involvement is funded or performed under a no-exchange of funds arrangement.
- (2) Definition: "China or Chinese-owned Company" means the People's Republic of China, any company owned by the People's Republic of China, or any company incorporated under the laws of the People's Republic of China.
- (3) The restrictions in the Acts do not apply to commercial items of supply needed to perform a grant or cooperative agreement.
- (4) By submission of its proposal, the proposer represents that the proposer is not China or a Chinese-owned company, and that the proposer will not participate, collaborate, or coordinate bilaterally with China or any Chinese-owned company, at the prime recipient level or at any subrecipient level, whether the bilateral involvement is funded or performed under a no-exchange of funds arrangement.

*NASA's "Assurance of Compliance" does not impose participation restrictions based on factors such as citizenship, permanent residency, or ancestry. Rather, it prohibits certain activities and affiliations with the People's Republic of China, any company owned by the People's Republic of China, or any company incorporated under the laws of the People's Republic of China. To help ensure that your project is compliant, please contact OEC at [exportcontrolshelp@colorado.edu](mailto:exportcontrolshelp@colorado.edu) prior to submitting a PSR if one or more of the following might be true:*

- You or any person on your research team (to include foreign collaborators not present at CU) receive a direct benefit from the government of China, a Chinese university, or a Chinese-owned company.
  - A "direct benefit" includes compensation, employment, sponsorship, in-kind contributions, non-passive ownership interests, as well as honorary appointments and other professional privileges.
  - Owning publicly-traded securities in or having other business dealings with a Chinese-owned company—provided these activities are separate from the subject matter of your research—does not, by itself, amount to collaboration for this purpose.
- You or any person on your research team conducts or intends to conduct research on behalf of, or for the direct benefit of, the government of China, a Chinese university, or a Chinese-owned company.
  - All such research projects in which team members will be participating while they are participating in this project should be reviewed to ensure complete separation from the NASA-funded aspects of this project, including the use of equipment and physical space.
- You have reason to believe that a person on your research team (to include foreign collaborators) is under the influence or control of the government of China.

**(NIH) National Institutes of Health - Individual Fellowship Assurance**

*This section is required only for NIH F series (e.g., F30, F31, F32, F33) proposals. The assurance is required in accordance with [NIH Grants Policy Statement](#), Section 2.3.7.6 Program Director/Principal Investigator, Individual Fellowship and Sponsor Assurance.*

☒ Yes ☐ No

Is the proposal in support of an NIH Individual Fellowship activity?

*If "Yes," review the Individual Fellowship Assurance below and confirm your acknowledgement.*

☒ Acknowledge

The NIH Fellow (PI) and Fellow's Academic Sponsor (Co-I) as named in the Personnel section certify to the following NIH Individual Fellowship Assurance; their signatures in the Certifications section of the PSR form confirms their assurance.

**NIH Individual Fellowship Assurance**

- (1) The Academic Sponsor will provide appropriate training, adequate facilities, and supervision if a grant is awarded as a result of the application.
- (2) The Fellow has read the Ruth L. Kirschstein National Research Service Award Payback and will abide by the Assurance if an award is made. (See [NRSA Payback Service Center Home Page](#) and [FAQs](#)).
- (3) If an award is made, the award will not support residency training.

**Intentionally left blank.**

**Certifications and Signatures required on following page.**

**Certifications**

**My signature below confirms my review of the proposal. It also certifies that:**

1. The information submitted within this application is true, complete, and accurate to the best of my knowledge;
2. Any false, fictitious, or fraudulent statements or claims may subject me, as the PI, to criminal, civil, administrative penalties;
3. I agree to accept responsibility for the scientific and fiscal conduct of the project and to provide the required progress reports if an award is made as a result of this application.

**To the best of my knowledge at the time of proposal, I certify that:**

1. All personnel on this project, including myself, are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from current transactions by a federal department or agency.
2. I accept the responsibility of adhering to suspension and debarment regulations during the life of the award, including notifying the Office of Contracts and Grants (OCG) immediately if there is any change in debarment status of project personnel, including Co-PI's, graduate students, other project personnel, and vendors.
3. All personnel on this project, including myself, have not and will not lobby any federal agency on behalf of this award.
4. I am familiar with the requirements of the University of Colorado's Procurement Policy and will report any violations to the Office of Contracts and Grants and/or the appropriate party per [University APS 4012 Fiscal Misconduct Policy](#). (Procurement policies are available on the [Procurement Services Center website](#).)
5. I am familiar with and will uphold the University of Colorado's Code of Conduct. (See [University Policy APS 2027 Code of Conduct](#).)
6. I will ensure that all project expenditures be made in accordance with the terms and conditions of the award and approved project budgets and that any project salaries, wages and expenditures are reasonable, essential, and appropriate. (See [University Policy APS 4014 Fiscal Roles and Responsibilities](#) and [University Policy APS 4016 Fiscal Code of Ethics](#).)
7. If a subaward/subrecipient is included in this proposal, I confirm that I have reviewed all included subawards/subrecipients. All subawards/subrecipients' direct costs have been reviewed and appear reasonable given the proposed statement of work. All fringe benefit and indirect cost rates have been verified with the subawardees/subrecipients' organization as being current for the proposed duration of the project. (Verification may be in the form of a letter from an authorized official of the organization.)
8. I acknowledge that I am aware of, understand, and will conform to campus and University policies and procedures governing conflicts of interest and commitment. (Conflict of interest policies are available on the [Conflicts of Interest and Commitment website](#).) In addition:
  - a. I accept responsibility for ensuring that anyone responsible for the design, conduct, or reporting of research or educational activities funded or proposed for funding - including PIs, Co-PIs, research associates, postdocs, students, volunteers, collaborators, and contractors - has disclosed any financial or other potential conflicts of interest or commitment with the activities encompassed by this proposal;
  - b. For NIH/PHS-funded projects, I accept responsibility for ensuring that anyone responsible for the design, conduct, or reporting of research or educational activities funded or proposed for funding - including PIs, Co-PIs, research associates, postdocs, students, volunteers, collaborators, and contractors - has completed the required educational training before work begins.
9. I acknowledge that I am aware of, understand, and will conform to all applicable sponsoring agency and University policies regarding misconduct in research and authorship. (See [Responsible Research](#) for more information.)
10. I acknowledge that I am aware of CU Boulder's compliance with all applicable regulations regarding Combating Trafficking in Persons, including FAR 52.222-50 Combating Trafficking in Persons for Federally funded contracts and 2 CFR Part 175 Trafficking in Persons, for Federally funded Grants and Cooperative Agreements. If a funded award is subject to FAR 52.222-50(c), as the Principal Investigator I will: a) Notify all employees and volunteers working under the award of the U.S. Government's zero tolerance policy regarding trafficking in persons and the actions that will be taken against its employees or subrecipients for violation of this policy, which may include but are not limited to removal from the contract, reduction in benefits, or termination of employment; b) Immediately report any information received from any source that alleges an employee or subcontractor employee has violated the policy; and c) Work with CU Boulder administration to take any appropriate actions up to and including termination of employees or subrecipients who violate the policy. (See [Anti-Human Trafficking Compliance](#) Plan for more information.)
11. I am familiar with the [University Policy APS 2005](#) regarding Contracting Authority and the Signature Authority Delegation guidelines for CU Boulder. (See [Signature Authority webpage](#).)
12. I agree to comply with all current and subsequently adopted University intellectual property policies ("Policies"), including any that may be applicable to this proposal and any resulting award document. I will assign and do hereby assign to the Regents of the University of Colorado, a body corporate, all inventions, discoveries, and intellectual property in which the University has an interest under the Policies, whether made in the performance of the award or otherwise, and agree to promptly disclose to the University all such inventions, discoveries, and intellectual property. Furthermore, I agree to execute all necessary documents to perfect the assignment of rights or licenses as required by the award and/or Policies and to abide by all other terms and conditions of the award.

**The Principal Investigator (PI) must sign and submit the PSR form to OCG at least 5 business days before the proposal deadline. For student and postdoctoral fellows serving as the PI on a fellowship proposal, the fellow's primary mentor must sign as an Other Responsible Party. Other signatures may be provided according to Department, Institute or Sponsor policies.**

PI's Signature

Date

Other Responsible Party

Date

Other Responsible Party

Date

Other Responsible Party

Date

*Notice: If OCG receives this form and required proposal documents with less than 5 business days before a proposal deadline, the proposal may be submitted with an abbreviated review or no review. If in subsequent review after submission, the proposal is found to be incomplete or does not conform to institutional or sponsor requirements, OCG may withdraw the proposal from consideration on behalf of the institution. Any budget errors on such submissions resulting in additional costs will be the responsibility of the PI and/or the supporting department or college.*