

**University of Colorado Boulder Notification Process
in fulfillment of NSF Requirements regarding
Findings of Harassment or Sex Assault (and interim measures)**

The University of Colorado Boulder (CU Boulder) has adopted the following process in order to meet the National Science Foundation's (NSF) Award Term and Condition Entitled, "*Notification Requirements Regarding Sexual Harassment, Other Forms of Harassment, or Sexual Assault*" December 7, 2018 (hereinafter, the "NSF Notice Term").

CU Boulder has implemented a process, pursuant to which, the Office of Institutional Equity and Compliance (OIEC) will promptly notify the Authorized Organizational Representative (AOR) within CU Boulder's Office of Contracts and Grants Compliance Team (OCG) whenever, as a result of an investigation by OIEC, a CU Boulder employee or affiliate: (1) is found to have violated a policy enforced by OIEC, or (2) is placed on administrative leave or subject to another interim measure that may impact his/her ability to carry out the research on his/her NSF project.

The AOR will use OCG records to determine whether the individual identified by OIEC is named as a PI or Co-PI in an NSF Notice of Award, and whether that NSF Notice of Award includes the NSF Notice Term.

If so, the AOR will use the [online reporting form](#) to provide notice to the NSF. The notice will not include individually identifiable information with respect to any individual(s) other than the applicable PI/Co-PI.

Justin Mack, the Office of Contracts and Grant's Sr. Compliance Officer, has been appointed as the Authorized Organizational Representative (AOR) tasked with reporting to the NSF hereunder. The AOR is the only individual on campus that can file notice with the NSF although the AOR is authorized to designate another OCG senior staff member who can submit on his behalf.

For more information, see the language of the new reporting requirement in [Chapter XI: A.1.g. of NSF's Proposal and Award Policies and Procedures Guide](#) (PAPPG, NSF 19-1) effective February 25, 2019, [related FAQs](#), and the [NSF Requirements Notice posted in the Federal Register on 9/21/2018](#).