

Future Investigators in NASA Earth and Space Science and Technology (FINESST) (Earth Science, Heliophysics, Planetary Science, Astrophysics, Biological/Physical Sciences, Science Activation/Citizen)

## **Important Information**

Link to solicitation	: <u>NNH24ZDA001N-FINESST</u>	*Link to CU OCG forms: NASA Fellowships
		Comments
Proposals due	February 5, 2025	<b>PI releases to OCG by 5 PM local time.</b> OCG will submit via NSPIRES by CU Boulder close-of-business in advance of the 9:59 pm MT deadline.
Start Date	August 15, 2025 (typical) No later than 2/5/2026. Award funds to Fl expected after 11/1/2025.	If the award for a selected FI is not received by the NASA approved start date, the department must follow standard procedures for <b>pre-award spending</b> . CU Boulder supports NASA's automatic approval of 90 days of pre-award spending per sections 4.1.10 and 13.1 in the solicitation.
Max Duration	36 months	Must demonstrate annual satisfactory progress.
Max Award	<b>\$50K/year</b> ; \$150K max.	Submit a budget for the anticipated grant period. Note: Students funded by a FINESST grant may receive funding from other sources for any expenses not covered by this award.
Eligibility Constraints	<ul> <li>Future Investigator (FI) is or will be pursuing a Master's and/or Ph.D. degree in a FINESST-relevant discipline. International students at CU are eligible.</li> <li>FI has never previously accepted a FINESST or NESSF award OR if FI did receive support, the original grant + this new request may not exceed 36 months OR if FI replaced another student on an award, the original grant + this new request may be 36 months, provided the FI received less than \$50K from the previous award.</li> <li>An FI may be listed on only 1 FINESST proposal per year. A PI has no limit on submissions.</li> <li>If project falls under ITAR or EAR restrictions, then only U.S. persons may be PI and FI.</li> <li>A PI may have FINESST and other (e.g., NASA, NSF, DoD) proposals with overlapping SOW submitted at the same time. If so, this should be acknowledged in the budget justification or noted in the current &amp; pending support section that funds are requested elsewhere.</li> </ul>	
OCG Submission Requirements	<ul> <li>PI must submit an online <u>Proposal Submission Request (PSR)</u> and must accept the NASA China Assurance of Compliance within the PSR.</li> <li>FI and PI must sign an OCG NASA FINESST Assurance Form* to acknowledge NASA required post-submission notifications.</li> </ul>	

#### **Summary of Application Procedures**

STEP	Completed By	Required Action	
1	FI & PI	• Register with NSPIRES - Affiliate with CU Boulder (UEI: SPVKK1RC2MZ3; CAGE	
		code: 4B475). To receive funding, Pls, Fl, and Co-Is must provide their digital	
		persistent identifier (e.g., ORCID) via NSPIRES under Account Management $ ightarrow$	
		Personal Profile.	
2	PI	• Create the Nspires proposal and grant the FI (Role: Graduate/Undergraduate	
		Student) view and edit permissions.	
		• Complete Nspires Proposal Team and Business Data pages. A non-PI mentor	
		need not be located at CU, but if named in proposal must be assigned as a Co-I.	
		• Request HEC resources, if applicable; send request receipt to FI for uploading.	
		Complete and submit a Proposal Submission Request (PSR) and Assurance For	



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3	FI (student)	• Complete Nspires Anonymized Summary (do not explicitly identify the names of
		the team members or their institutions) and Program Specific Data cover pages.
		• Coordinate budget* and budget narrative* with DRA and/or Proposal Analyst.
		*link to FINESST budget templates: <u>https://www.colorado.edu/ocg/fellowships</u>
		• Upload 2 proposal PDFs to Nspires. Upload HEC request PDF, if applicable.
4	PI	Nspires "Release to Organization" and notify PA
5	University AOR	Complete Budget cover page. Review proposal for compliance.
	(Proposal Analyst)	Submit application.

#### **Proposal Documents**

Notes:

- 1. The FI (student) must be the primary author of the project description and research readiness statement.
- \*\*2. <u>Format:</u> Main body text of proposal and captions no more than 15 characters per horizontal inch (typical of 12-point Times New Roman) and no more than 5.5 lines per vertical inch (i.e., single-spaced). At least one-inch margins on all sides; must be sized for 8.5x11 paper. No technical content may be put in the margins; page numbers and anonymous disclaimers are permitted.
  - 3. Do not include a Table of Work Effort or a separately uploaded "Total" Budget pdf.
  - **4.** *If the proposal contains information subject to export control (e.g., EAR, ITAR), add a front page clearly indicating the page numbers, sections, figures, etc., which contain the information.*

**Proposal Document #1 – Anonymized – 1 pdf** - No names or gendered pronouns of team members or their institutions. Ensure any PDF bookmarks are anonymous, and the document properties do not reveal names of author or organization. Additional dual anonymous peer review (DAPR) guidelines: <u>DAPR</u>

Sections – Include in this Order	Pages	Requirements
<i>i</i> . Table of Contents	1	Anonymous
1. Science/Technical/Management	6	• Well-defined problem, justification of scientific significance.
Section - anonymized		• Relevance to Science Mission Directorate division/program.
(authored by the FI)		<ul> <li>Description of approach to address problem.</li> </ul>
		<ul> <li>Period of performance, major milestones, planned</li> </ul>
		publications, differentiation from ongoing research.
		Reference callouts in the proposal text must be in numerical
		format (e.g. [1], [2], etc.). It is recommended that the
		callouts appear in numerical order in the text.
		<ul> <li>Must conform to format requirements (see above**).</li> </ul>
2. References – ensure proposer	No	• Easily understandable, standard abbreviations for journals
identity is not obvious. Order by	limit	and complete names for books. Full citations may include
callout number, e.g., [1], [2].		names of authors. URLs OK, but reviewers may not review.
3. Open Science and Data	2	• Describe how data created will be made public or explain
Management Plan (OSDMP) -		why an OSDMP is not needed given the nature of the work.
anonymized		Guidance: <a href="https://science.nasa.gov/oss-guidance">https://science.nasa.gov/oss-guidance</a>
4. Mentoring Plan or Agreement –	2	• Prepared by FI, PI, and any other mentor team members.
anonymized (no required template)		• Sets expectations for collaboration between Mentor and FI.
		• CU Grad School mentoring guidelines: <u>Advising Agreement</u>



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Sections – Include in this Order	Pages	Requirements
<b>1. Research Readiness Statement</b> (authored by the FI)	1	<ul> <li>How the degree program &amp; mentor prepare FI for project.</li> <li>Graduate study timeline, including est. graduation date.</li> <li>Other relevant experience.</li> </ul>
		Must conform to format requirements (see above**).
2. Biosketches		New NASA format encouraged: <u>NASA Biosketch template</u>
DO NOT use SciENcv to create		(New format will be required prior to award issuance.)
PI Biosketch     Si Biosketch	No	Mandatory
• FI Biosketch	Limit	Mandatory
Additional mentor(s) CV		Optional; don't include for non-mentor Co-I/collaborator.
3. Current and Pending Statements		New NASA format encouraged: <u>NASA C&amp;P template</u>
DO NOT use SciENcv to create		If the FI and/or PI have no C&P to report, include a joint statement or separate statements, if applicable, that there is "No current and pending funding to report."
• PI current & pending	No limit	• PI must list support for all ongoing and pending projects and proposals (regardless of salary support) in which they are performing or will perform any part of the work. List other pending FINESST proposals (zero FTE), but do not self-reference "this" proposal.
• FI current & pending		<ul> <li>FI must identify any external-to-CU funding, e.g., from U.S. federal, U.S. non-federal, and non-U.S. sources or active applications for grants, fellowships, etc. (including NSTGRO), particularly those that have overlap with the proposed work. This also may include teaching assistance funding that provides stipend. Do not self-reference this proposal.</li> <li>FI working as a contractor for NASA may list the applicable dates and briefly describe the nature of the work, identifying any potential conflicts or overlaps with the proposed FINESST work.</li> </ul>
4. Statements of Commitment and		Only if applicable, for example:
Letters of Resource Support		• If needed to demonstrate facility/resource is available.
		• If team member commitment cannot be made via Nspires.
5. Acknowledgements (authored by the FI)	1	<ul> <li>Statement of up to 150 words; affirm that the proposal is the work of the FI. Describe any other team member roles in preparing the proposal. Editorial and/or graphic support from a writing center, copy editor, colleagues, and peers to improve the proposal should be acknowledged.</li> <li>If a resubmission, there is no requirement to identify it as such; however, If the FI desires to identify the proposal as a resubmission, then put that information here.</li> </ul>
6. Budget and Narrative (See OCG templates)	2	<ul> <li>Budget: \$ by cost categories, broken down by year.</li> <li>Narrative: Provide justifications of the requested amount in each category.</li> </ul>



facility at the Ames Research

Center (ARC).

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Document #3 ( <i>optional</i> ) - High-End Computing (HEC) – Not Anonymized - 1 pdf		
NASA Center for Climate Simulation	No	PI submits HEC request in NASA's Request Management
(NCCS) at the Goddard Space Flight	limit	System (RMS) - <u>https://request.hec.nasa.gov</u> / - on behalf of
Center (GSFC) or the NASA		the FI and saves as a PDF. FI or PI uploads the HEC PDF to
Advanced Supercomputing (NAS)		Nspires separately from the proposal PDF files ("Appendix").

### **Budget Details**

# OCG has templates for the FINESST budget and FINESST budget narrative on the CU website: <a href="https://www.colorado.edu/ocg/fellowships">https://www.colorado.edu/ocg/fellowships</a>

FINESST student research grants are similar to what 2CFR200.1 Definitions calls a "Fixed Amount Award," a type of grant under which the Federal awarding agency provides a specific level of support without regard to actual costs incurred under the Federal award. This type of Federal award reduces some of the administrative burden and record-keeping requirements for both the non-Federal entity and Federal awarding agency. Accountability is based primarily on performance and results.

OCG supports the "participant support" cost option for Nspires proposal budget entry. At award phase departments administratively assign an account code for the fellowship stipend that is not subject to indirect costs. Departments who have reason to deviate from this approach in the application should coordinate with their Proposal Analyst.

- Maximum amount: \$50,000 per 12-months; up to \$150,000 total for 36 months.
- Propose partial years (less than 12 months) by prorating the stipend and allowance costs.
- NASA suggests a \$40K/\$10K allocation between stipend/allowance. If valid justification is provided, then amounts may be adjusted as long as the total amount requested does not exceed \$50,000 annually.
- If an FI's compensation will be less than \$40,000, then the amounts in the other budget categories may be adjusted/exchanged up to \$50,000. Proposers who request no stipend nor salary nor other direct compensation for the FI may request up to \$10,000 annually for travel and other allowable costs, including any allowable indirect costs.
- No salary, travel, or other costs shall be requested for the PI's, Co-I's, or Collaborator's use. Exceptions are made for joint publications.
- The purchase or lease of equipment or services in excess of \$10,000 for the entire period of performance is not permitted through FINESST awards.
- FIs may receive funding from other sources for expenses not covered by this award (e.g., to purchase equipment).
- When treating the FI as a "participant," costs are input on the NSPIRES coversheet under letter E. Direct Costs-Participant/Trainee Support Cost. NSPIRES subcategories to use are 1) Tuition/Fees/Health Insurance, 2) Stipends, 3) Travel, and 5) Other. With this approach, no indirect charges are applied.
- The allowance may include the following: tuition/fees, health insurance, FI travel and registration fees, textbooks or other instructional supports, expendable laboratory supplies, page charges for journal articles, printing of a thesis, computers, digital devices, and materials.