Subcontract Number:	
Subrecipient Legal Name:	
Address:	
Contact Name:	

Email:		Phone:	

PURPOSE OF FORM:

To be completed for subcontracts subject to DFARS 252.204-7019 and DFARS 252-204-7020. This form ensures that the Subrecipient is in compliance with DFARS 252.204-7019 Notice of NIST SP 800-171 DOD Assessment Requirements, and 252.204-7020 NIST SP 800-171 DOD Assessment Requirements.

Beginning November 30, 2020, the Department of Defense includes the DFARS 252.204-7019 provision and DFARS 252.204-7020 clause in all solicitations and contracts, with certain exceptions. Pursuant to 252.204-7020, contractors such as the University of Colorado Boulder may not award a subcontract that is subject to the implementation of NIST SP 800-171 security requirements, in accordance with DFARS 252.204-7012, unless the Subrecipient has:

1. Completed at least a Basic Assessment in accordance with NIST SP 800-171 DOD Assessment Methodology (or in the alternative the Government performed Medium or High Assessment) within the last three years for all covered contractor information systems relevant to its offer that are not part of an information technology system operated on behalf of the Government; and

2. To the extent the supplier completed a Basic Assessment, it submitted its summary level scores, and other information required by paragraph (d) of DFARS 252.204-7020, either directly into the Supplier Performance Risk System (SPRS) or via encrypted email to webptsmh@navy.mil for posting to the SPRS.

CERTIFICATION:

Subrecipient has completed at least a Basic Assessment in accordance with NIST SP 800-171 DOD Assessment Methodology for all covered contractor information systems relevant to this offer.

The assessment was completed within the last three years.

Date Assessment Completed:

Summary level scores and other information required by paragraph (d) of DFARS 252.204-7020 are posted in the Supplier Performance Risk System (SPRS).

Other:

SIGNATURE OF SUBRECIPIENT AUTHORIZED OFFICIAL:

Date:

Authorized Official Name: Title: