



## Atomic Layer Etching of HfO<sub>2</sub> Using Sequential, Self-Limiting Thermal Reactions with Sn(acac)<sub>2</sub> and HF

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The atomic layer etching (ALEt) of HfO<sub>2</sub> was performed using sequential, self-limiting thermal reactions with tin(II) acetylacetonate (Sn(acac)<sub>2</sub>) and HF as the reactants. The HF source was a HF-pyridine solution. The etching of HfO<sub>2</sub> was linear with atomic level control versus number of Sn(acac)<sub>2</sub> and HF reaction cycles. The HfO<sub>2</sub> ALEt was measured at temperatures from 150–250°C. Quartz crystal microbalance (QCM) measurements determined that the mass change per cycle (MCPC) increased with temperature from –6.7 ng/(cm<sup>2</sup> cycle) at 150°C to –11.2 ng/(cm<sup>2</sup> cycle) at 250°C. These MCPC values correspond to etch rates from 0.070 Å/cycle at 150°C to 0.117 Å/cycle at 250°C. X-ray reflectivity analysis confirmed the linear removal of HfO<sub>2</sub> and measured an HfO<sub>2</sub> ALEt etch rate of 0.11 Å/cycle at 200°C. Fourier transform infrared (FTIR) spectroscopy measurements also observed HfO<sub>2</sub> ALEt using the infrared absorbance of the Hf-O stretching vibration. FTIR analysis also revealed absorbance features consistent with HfF<sub>4</sub> or HfF<sub>x</sub> surface species as a reaction intermediate. The HfO<sub>2</sub> etching is believed to follow the reaction: HfO<sub>2</sub> + 4Sn(acac)<sub>2</sub> + 4HF → Hf(acac)<sub>4</sub> + 4SnF(acac) + 2H<sub>2</sub>O. In the proposed reaction mechanism, Sn(acac)<sub>2</sub> donates acac to the substrate to produce Hf(acac)<sub>4</sub>. HF allows SnF(acac) and H<sub>2</sub>O to leave as reaction products. The thermal ALEt of many other metal oxides, as well as metal nitrides, phosphides, sulfides and arsenides, should be possible by a similar mechanism.

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Atomic layer etching (ALEt) is a thin film removal technique based on sequential, self-limiting surface reactions.<sup>1–3</sup> ALEt can be viewed as the reverse of atomic layer deposition (ALD).<sup>4</sup> ALEt is able to remove thin films with atomic layer control. ALD and ALEt are able to provide the necessary processing techniques for surface engineering at the atomic level.<sup>5,6</sup> This atomic level control is needed for the nanofabrication of a wide range of nanoscale devices.<sup>7</sup>

Until recently, ALEt processes have been reported using only ion-enhanced or energetic noble gas atom-enhanced surface reactions.<sup>1–3</sup> In these ALEt processes, a halogen is adsorbed on the surface of the material. Subsequently, ion or noble gas atom bombardment is used to desorb halogen compounds that etch the material. Using this approach, ALEt has been reported for Si,<sup>2,3,8–12</sup> Ge,<sup>6,13</sup> and compound semiconductors.<sup>14–17</sup> ALEt has also been demonstrated for a variety of metal oxides.<sup>7,18–20</sup> Additional ALEt studies have been conducted on various carbon substrates.<sup>21–23</sup>

The ALEt of Al<sub>2</sub>O<sub>3</sub> was recently reported using sequential, self-limiting thermal reactions with Sn(acac)<sub>2</sub> and HF as the reactants.<sup>24</sup> The linear removal of Al<sub>2</sub>O<sub>3</sub> was observed at temperatures from 150–250°C without the use of ion or noble gas atom bombardment. Al<sub>2</sub>O<sub>3</sub> ALEt etch rates varied with temperature from 0.14 Å/cycle at 150°C to 0.61 Å/cycle at 250°C.<sup>24</sup> The Sn(acac)<sub>2</sub> and HF thermal reactions were both self-limiting versus reactant exposure. In addition, the Al<sub>2</sub>O<sub>3</sub> films were smoothed by Al<sub>2</sub>O<sub>3</sub> ALEt cycles.<sup>24</sup> The overall Al<sub>2</sub>O<sub>3</sub> etching reaction was proposed to be: Al<sub>2</sub>O<sub>3</sub> + 6Sn(acac)<sub>2</sub> + 6HF → 2Al(acac)<sub>3</sub> + 6SnF(acac) + 3H<sub>2</sub>O.<sup>24</sup>

HfO<sub>2</sub> is an important high k dielectric material that is a replacement for SiO<sub>2</sub> in gate oxides in metal oxide semiconductor field effect transistors (MOSFET).<sup>25,26</sup> The HfO<sub>2</sub> films used in the gate stack have been grown using ALD.<sup>27</sup> The HfO<sub>2</sub>-based gate oxide was introduced in 2007 for the 45 nm node in complementary MOSFET technology.<sup>28</sup> HfO<sub>2</sub> is also being employed as the gate dielectric in FinFET structures.<sup>29,30</sup> Etching of HfO<sub>2</sub> films may be needed to define the gate dielectric thickness.<sup>7</sup> To meet these needs, HfO<sub>2</sub> ALEt has been previously developed using BCl<sub>3</sub> to adsorb chlorine on the

HfO<sub>2</sub> substrate and then energetic Ar beams to desorb Cl-containing compounds that etch the HfO<sub>2</sub> material.<sup>7</sup>

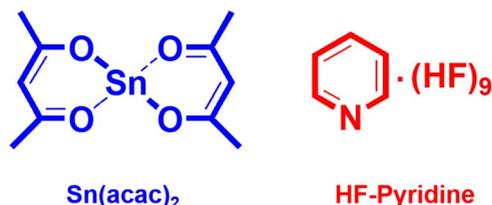
In this study, a new approach for HfO<sub>2</sub> ALEt is demonstrated using sequential, self-limiting thermal reactions with Sn(acac)<sub>2</sub> and HF as the reactants. Quartz crystal microbalance (QCM) measurements are used to study HfO<sub>2</sub> ALEt. The QCM analysis obtains the HfO<sub>2</sub> etch rates and the mass changes after individual Sn(acac)<sub>2</sub> and HF exposures. The mass change per cycle (MCPC) and the individual mass changes during the Sn(acac)<sub>2</sub> and HF exposures are used to develop a mechanism for HfO<sub>2</sub> ALEt. In addition, Fourier transform infrared (FTIR) analysis is able to monitor HfO<sub>2</sub> ALEt and characterize the Sn(acac)<sub>2</sub> and HF reaction products on the surface. This new approach for HfO<sub>2</sub> ALEt offers an alternative and may have advantages relative to ALEt methods based on ions or energetic neutrals.

### Experimental

*Viscous flow reactor equipped for in situ QCM measurements.*—The ALEt reactions were performed in a viscous flow ALD reactor.<sup>31</sup> The reaction temperatures varied from 150–250°C. A proportional-integral-derivative (PID) temperature controller (2604, Eurotherm) maintained the temperature to within ±0.04°C. The pressure was measured in the reactor using a capacitance manometer (Baratron 121A, MKS). The ALD reactor was equipped with an in situ quartz crystal microbalance (QCM).<sup>31</sup> An RC-cut quartz crystal<sup>32</sup> (gold coated and polished, 6 MHz, Colnatec) was positioned in a sensor head (BSH-150, Inficon). The sensor head was then sealed with high temperature epoxy (Epo-Tek H21D, Epoxy technology). A thin film deposition monitor (Maxtek TM-400, Inficon) was used to record the QCM measurements.

Sequential exposure of tin(II) acetylacetonate (Sn(acac)<sub>2</sub>, 37–38% Sn, Gelest) and HF-pyridine (70 wt% HF, Sigma-Aldrich) were employed for the HfO<sub>2</sub> ALEt reactions. These precursors are shown in Figure 1. Use of gaseous HF from HF-pyridine enables the safe handling of anhydrous HF. HF-pyridine is a liquid at room temperature and is known as Olah's reagent.<sup>33</sup> The HF-pyridine solution has an equilibrium with gaseous HF. Our mass spectrometer analysis has shown that HF dominates the vapor pressure of HF-pyridine. Our

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**Figure 1.** Pictures of  $\text{Sn}(\text{acac})_2$  and HF-pyridine precursors.

measurement of the HF pressure over the HF-pyridine solution was 90–100 Torr at room temperature.

$\text{Sn}(\text{acac})_2$  and HF-pyridine were transferred to stainless steel bubblers in a dry  $\text{N}_2$ -filled glove bag. The bubbler containing the HF-pyridine was electrochemically coated with gold to prevent HF reaction with the stainless steel. The  $\text{Sn}(\text{acac})_2$  precursor was held at  $100^\circ\text{C}$  to produce a vapor pressure of 15–20 mTorr. The HF-pyridine precursor was maintained at room temperature. The  $\text{HfO}_2$  films were grown by  $\text{HfO}_2$  ALD using tetrakisdimethylamido hafnium (TDMAH) ( $\geq 99.99\%$ , Sigma-Aldrich) and  $\text{H}_2\text{O}$  (Chromasolv for HPLC, Sigma-Aldrich). For the QCM experiments, the  $\text{HfO}_2$  ALD films were grown at the same temperature as the  $\text{HfO}_2$  ALEt experiments. TDMAH was transferred to a stainless steel bubbler and maintained at  $67^\circ\text{C}$  to produce a vapor pressure of 20 mTorr. The  $\text{H}_2\text{O}$  precursor was held at room temperature.

A mechanical pump (Pascal 2015SD, Alcatel) was used to pump the reactor. A constant total flow of 150 sccm of ultra high purity (UHP)  $\text{N}_2$  carrier gas into the reactor was provided by three separate mass flow controllers (Type 1179A, MKS). Additional  $\text{N}_2$  gas flow of 20 sccm was provided using a metering bellows-sealed valve (SS-4BMG, Swagelok) to prevent deposition on the backside of the QCM crystal.<sup>31</sup> A base pressure of  $\sim 1$  Torr in the reactor was produced by the total  $\text{N}_2$  gas flow of 170 sccm.

*Si wafers, X-ray reflectivity, X-ray photoelectron spectroscopy and spectroscopic ellipsometry.*— The X-ray reflectivity (XRR), X-ray photoelectron spectroscopy (XPS) and spectroscopic ellipsometry (SE) experiments were performed on boron-doped Si (100) wafers (p-type, Silicon Valley Microelectronics). These wafers were cut into samples with dimensions of 2.5 cm by 2.5 cm. These substrates were used for  $\text{HfO}_2$  ALD deposition at  $200^\circ\text{C}$  and then for subsequent  $\text{HfO}_2$  ALEt experiments. Prior to  $\text{HfO}_2$  ALD, the Si wafers were rinsed with acetone, isopropanol, and deionized water and then dried with UHP  $\text{N}_2$  gas.

The ex situ XRR scans were recorded using a high resolution X-ray diffractometer (Bede D1, Jordan Valley Semiconductors) employing  $\text{Cu K}\alpha$  ( $\lambda = 1.540 \text{ \AA}$ ) radiation. The filament voltage and current in the X-ray tube were 40 kV and 35 mA, respectively. A 10 arcsec step size and a 5 s acquisition time were used for recording all XRR scans with a range of 300 to 6000 arcsec. The analysis software (Bede REFS, Jordan Valley Semiconductors) fitted the XRR scans to determine film thickness, film density and surface roughness.

X-ray photoemission spectroscopy (XPS) analysis was performed using a PHI 5600 X-ray photoelectron spectrometer using a monochromatic Al  $\text{K}\alpha$  source. The XPS data were collected using Auger Scan (RBD Instruments). The XPS data were analyzed in CASA XPS (Casa Software Ltd.).

SE analysis determined the film thicknesses and refractive index. The measurement of  $\Psi$  and  $\Delta$  were recorded using a spectroscopic ellipsometer (M-2000, J. A. Woollam) with a spectral range of 240 to 1700 nm and an incidence angle of  $75^\circ$ . The analysis software (CompleteEASE, J. A. Woollam) fitted  $\Psi$  and  $\Delta$  based on a Sellmeier model to determine the thicknesses and refractive index of the film.<sup>34</sup>

*FTIR spectroscopy measurements.*— The in situ transmission FTIR measurements of  $\text{HfO}_2$  ALEt were performed in a reactor equipped with an FTIR spectrometer.<sup>35</sup> The FTIR spectrometer (Nicolet 6700 FTIR from Thermo Scientific) utilized a high-sensitivity liquid- $\text{N}_2$ -cooled mercury cadmium telluride (MCT-B) detector. The spectrometer, mirror, and detector were purged with dry,  $\text{CO}_2$ -free air.

A total of 100 scans at  $4 \text{ cm}^{-1}$  resolution from 400 to  $4000 \text{ cm}^{-1}$  were recorded for each collected spectrum.

The transmission FTIR measurements were performed on high surface area  $\text{SiO}_2$  nanoparticles (99.5%, US Research Nanomaterials Inc.) with an average diameter of 15–20 nm. The high surface area of these particles improved the signal-to-noise ratio compared with a flat sample.<sup>36</sup> Sample preparation involved pressing the  $\text{SiO}_2$  nanoparticles into a tungsten grid support (Tech-Etch).<sup>36,37</sup> The tungsten grids were  $2 \times 3 \text{ cm}^2$ . Each grid was 50  $\mu\text{m}$  thick with 100 grid lines per inch.

The tungsten grid could be resistively heated using a DC power supply (6268B, 20V/20A, Hewlett-Packard). The voltage output of the power supply was controlled by a PID temperature controller (Love Controls 16B, Dwyer Instruments, Inc.). A type K thermocouple was attached to the bottom of the tungsten grid with Epoxy (Ceramaxbond 571, Aremco) that served to attach and electrically isolate the thermocouple during the experiment.

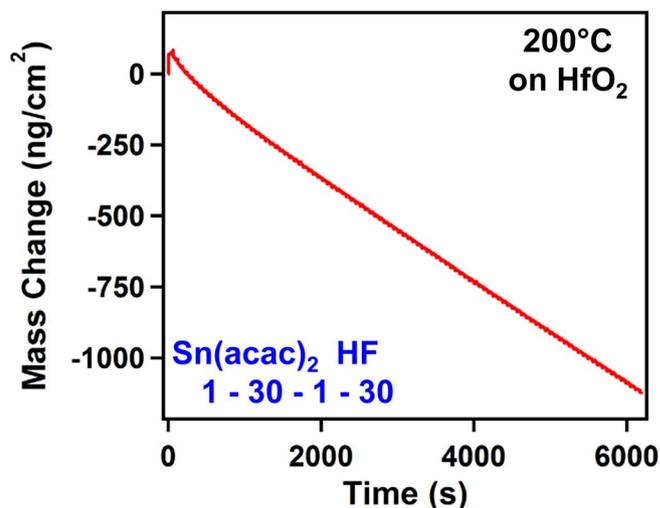
The  $\text{HfO}_2$  films were grown with  $\text{HfO}_2$  ALD using TDMAH and  $\text{H}_2\text{O}$  at  $200^\circ\text{C}$ . The  $\text{HfO}_2$  ALEt reactions were performed using sequential exposures of  $\text{Sn}(\text{acac})_2$  and HF. Static dosing of both the ALD and ALEt precursors was utilized to achieve self-limiting behavior on the high surface area  $\text{SiO}_2$  particles. During  $\text{HfO}_2$  ALD, each TDMAH exposure consisted of a  $\sim 1.0$  Torr static dose for 30 s followed by a 240 s purge. For the  $\text{H}_2\text{O}$  reaction, each exposure consisted of a  $\sim 1.0$  Torr static dose for 30 s followed by a 240 s purge.

During  $\text{HfO}_2$  ALEt, each  $\text{Sn}(\text{acac})_2$  exposure consisted of a  $\sim 1.0$  Torr static dose for 30 s followed by a 240 s purge. For the HF reaction, each HF exposure consisted of a  $\sim 1.0$  Torr static dose for 30 s followed by a 240 s purge. For these FTIR experiments, the HF-pyridine and  $\text{H}_2\text{O}$  precursors were maintained at room temperature. The stainless steel bubbler containing  $\text{Sn}(\text{acac})_2$  was held at  $100^\circ\text{C}$ . The stainless steel bubbler containing TDMAH was held at  $70^\circ\text{C}$ .

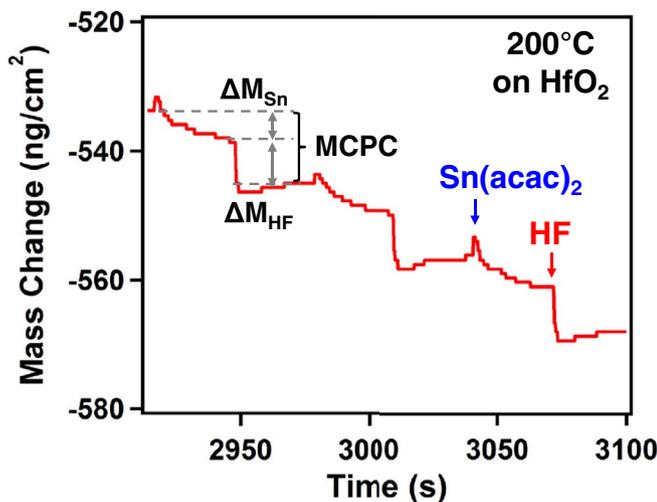
$\text{HfF}_4$  films were deposited using  $\text{HfF}_4$  ALD with sequential exposures of TDMAH and HF at  $150^\circ\text{C}$ . The  $\text{HfF}_4$  films were grown on a fresh  $\text{HfO}_2$  ALD film that was prepared using three  $\text{HfO}_2$  ALD cycles with TDMAH and  $\text{H}_2\text{O}$  as the reactants. Static dosing of the reactants was utilized to achieve self-limiting behavior of the surface reactions on the high surface area  $\text{SiO}_2$  particles. During  $\text{HfF}_4$  ALD, each TDMAH exposure consisted of a  $\sim 1.0$  Torr static dose for 30 s followed by a 240 s purge. Each HF exposure consisted of a  $\sim 1.0$  Torr static dose for 30 s followed by a 240 s purge.

## Results and Discussion

*QCM measurements.*— Figure 2 displays the mass change during 100 ALEt cycles of sequential  $\text{Sn}(\text{acac})_2$  and HF reactions on an



**Figure 2.** Mass change versus time for  $\text{HfO}_2$  ALEt using sequential  $\text{Sn}(\text{acac})_2$  and HF exposures at  $200^\circ\text{C}$ .



**Figure 3.** Expansion of linear region of Figure 2 showing the individual mass changes during the sequential Sn(acac)<sub>2</sub> and HF exposures at 200°C.

HfO<sub>2</sub> surface at 200°C. The initial HfO<sub>2</sub> film on the QCM sensor was deposited using 100 cycles of HfO<sub>2</sub> ALD with TDMAH and H<sub>2</sub>O as the reactants at 200°C. One ALEt cycle was defined by a Sn(acac)<sub>2</sub> dose of 1.0 s, a N<sub>2</sub> purge of 30 s, a HF dose of 1.0 s, and a second N<sub>2</sub> purge of 30 s. This reaction sequence is designated as 1-30-1-30. The pressure transients during the Sn(acac)<sub>2</sub> and HF exposures were 20 mTorr and 80 mTorr, respectively.

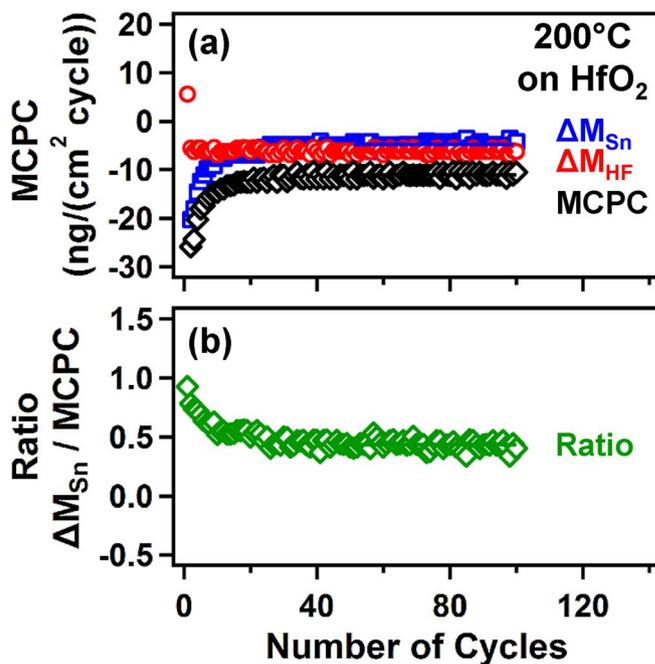
The etching of the HfO<sub>2</sub> film in Figure 2 is linear and is consistent with a mass change per cycle (MCPC) = -11.1 ng/(cm<sup>2</sup> cycle). This MCPC corresponds to an etch rate of 0.116 Å/cycle based on the HfO<sub>2</sub> ALD film density of 9.6 g/cm<sup>3</sup> that was measured by XRR analysis. All of the ALEt cycles display a mass loss as a result of etching the HfO<sub>2</sub> film except during the first ALEt cycle. The first cycle shows mass gains of ΔM<sub>Sn</sub> = 71 ng/cm<sup>2</sup> and ΔM<sub>HF</sub> = 6 ng/cm<sup>2</sup>.

The mass gain for ΔM<sub>Sn</sub> on the first cycle is assigned to Sn(acac)<sub>2</sub> adsorption on the HfO<sub>2</sub> surface. The Sn(acac)<sub>2</sub> could either adsorb associatively as Sn(acac)<sub>2</sub>\* or dissociatively as Sn(acac)\* and (acac)\*. The asterisks designate a surface species. The mass gain for ΔM<sub>HF</sub> on the first cycle is explained by HF adsorption or the formation of HfF<sub>4</sub> or HfF<sub>x</sub> species by the reaction of HF with the underlying HfO<sub>2</sub> surface. The reaction HfO<sub>2</sub> + 4HF → HfF<sub>4</sub> + 2H<sub>2</sub>O is spontaneous with ΔG = -19 kcal at 200°C.<sup>38</sup> This first cycle establishes the initial Sn(acac)<sub>2</sub> and HF, HfF<sub>4</sub> or HfF<sub>x</sub> species on the HfO<sub>2</sub> substrate.

Figure 3 displays an enlargement of the mass changes versus time at 200°C for three cycles in the steady state linear etching regime in Figure 2. There is a gradual mass decrease after a small mass gain coinciding with the Sn(acac)<sub>2</sub> exposure. This behavior suggests Sn(acac)<sub>2</sub> adsorption followed by either Sn(acac)<sub>2</sub> desorption and/or the removal of reaction products. A mass change of ΔM<sub>Sn</sub> = -4.8 ng/cm<sup>2</sup> was observed after 1.0 s of Sn(acac)<sub>2</sub> exposure. A mass change of ΔM<sub>HF</sub> = -6.3 ng/cm<sup>2</sup> was observed after 1.0 s of HF exposure. The experimental variation of these mass changes was measured over 50 HfO<sub>2</sub> ALEt cycles. The standard deviation on the ΔM<sub>Sn</sub> and ΔM<sub>HF</sub> mass changes was <0.1 ng/cm<sup>2</sup>.

Figure 4 shows the MCPC and the ΔM<sub>Sn</sub>/MCPC ratio during 100 cycles of HfO<sub>2</sub> ALEt at 200°C. The MCPC is defined by MCPC = ΔM<sub>Sn</sub> + ΔM<sub>HF</sub>. The standard deviation on the MCPC was also <0.1 ng/cm<sup>2</sup>. Figure 4a displays ΔM<sub>Sn</sub>, ΔM<sub>HF</sub> and MCPC for the same 100 cycles of HfO<sub>2</sub> ALEt on the HfO<sub>2</sub> film at 200°C as shown in Figure 2. The MCPC varies from -26 ng/(cm<sup>2</sup> cycle) to -17 ng/(cm<sup>2</sup> cycle) over the second to fifth ALEt cycles. The MCPC decreases to a steady-state value of -11.1 ng/(cm<sup>2</sup> cycle) after ~20 HfO<sub>2</sub> ALEt cycles. These first 20 HfO<sub>2</sub> ALEt cycles prior to reaching the steady state values define the nucleation regime.

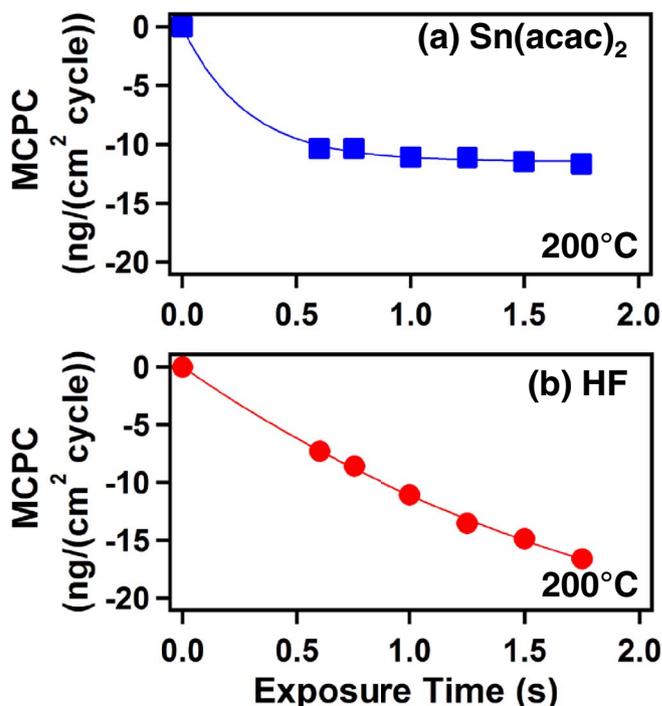
Figure 4b displays the ΔM<sub>Sn</sub>/MCPC ratio during the same 100 cycles as shown in Figure 4a. This ΔM<sub>Sn</sub>/MCPC ratio varies from



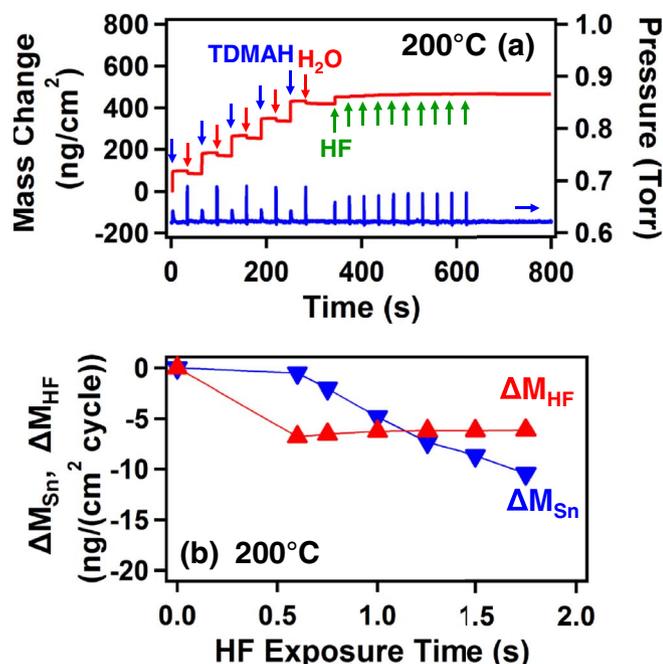
**Figure 4.** (a) Mass change after the Sn(acac)<sub>2</sub> exposure (ΔM<sub>Sn</sub>), mass change after the HF exposure (ΔM<sub>HF</sub>) and mass change per cycle (MCPC) versus number of ALEt cycles at 200°C. (b) ΔM<sub>Sn</sub>/MCPC ratio versus number of ALEt cycles.

0.78 to 0.64 over the second to fifth ALEt cycles. The ratio then slowly decreases to a steady-state value of 0.43 after the first ~20 HfO<sub>2</sub> ALEt cycles in the nucleation regime. This ΔM<sub>Sn</sub>/MCPC ratio will be used to determine the stoichiometry of the ALEt surface reactions. The standard deviations on the ΔM<sub>Sn</sub>/MCPC ratio was <0.005.

Figure 5 examines the self-limiting nature of the Sn(acac)<sub>2</sub> and HF reactions during HfO<sub>2</sub> ALEt at 200°C. These MCPC values were measured versus different reactant exposure times. Figure 5a shows



**Figure 5.** Mass change per cycle (MCPC) versus exposure time for (a) Sn(acac)<sub>2</sub> and (b) HF.



**Figure 6.** (a) Mass change versus time during five cycles of HfO<sub>2</sub> ALD and then ten HF exposures on the HfO<sub>2</sub> ALD film. (b)  $\Delta M_{\text{Sn}}$  and  $\Delta M_{\text{HF}}$  during HfO<sub>2</sub> ALEt for a Sn(acac)<sub>2</sub> exposure of 1.0 s and variable HF exposures.

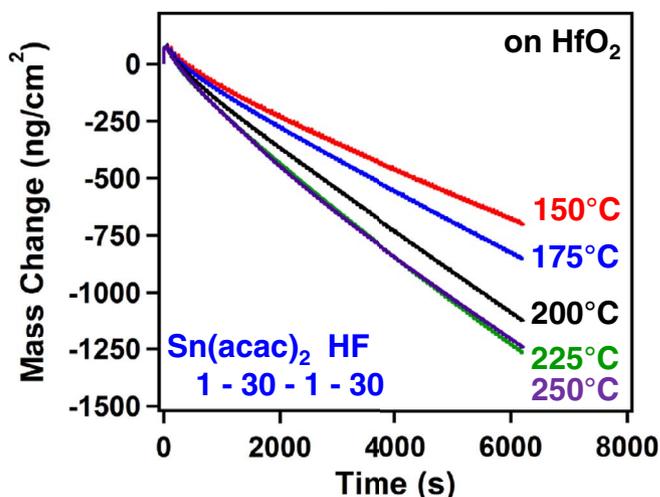
the self-limiting behavior of the Sn(acac)<sub>2</sub> reaction using different Sn(acac)<sub>2</sub> exposure times with a single 1.0 s exposure of HF. A constant N<sub>2</sub> purge of 30 s was used after each exposure. This reaction sequence can be denoted as x-30-1-30. The MCPC versus Sn(acac)<sub>2</sub> exposure time decreases quickly and levels off at MCPC = -11 ng/(cm<sup>2</sup> cycle).

Figure 5b examines the self-limiting behavior of the HF reaction using different HF exposure times with a single 1.0 s exposure of Sn(acac)<sub>2</sub>. This reaction sequence can be denoted as 1-30-x-30. The MCPC versus HF exposure time decreases and does not level off after longer HF exposure times. The HF reaction does not appear to be self-limiting versus HF exposure. The lack of self-limiting behavior for the HF exposure may indicate that the HF reaction has not reached saturation. Much larger HF exposures may be necessary to complete the surface reaction.

Another possibility is that the larger HF exposures lead to larger HF background pressures and longer HF residence times in the reactor. If some HF residual pressure remains during the Sn(acac)<sub>2</sub> exposure, then the HfO<sub>2</sub> substrate can be continuously etched with HF and Sn(acac)<sub>2</sub> by chemical vapor etching (CVE). CVE is the reverse of chemical vapor deposition (CVD) and occurs when the two precursors required for ALEt are present at the same time.<sup>39</sup>

Additional experiments were performed to understand the lack of self-limiting behavior for the HF exposure. Figure 6a shows five cycles of HfO<sub>2</sub> ALD followed by ten individual HF exposures at 200°C. The first two HF exposures display a mass gain of  $\Delta M_{\text{HF}} = 37.8$  ng/cm<sup>2</sup>. Subsequent HF exposures display no additional mass change. These results indicate that the HF reaction has reached saturation. This behavior is consistent with the self-limiting adsorption of HF on HfO<sub>2</sub>. In addition, HF does not spontaneously etch HfO<sub>2</sub>.

Figure 6b shows the  $\Delta M_{\text{Sn}}$  and  $\Delta M_{\text{HF}}$  values for variable HF exposure times with a Sn(acac)<sub>2</sub> exposure time of 1.0 s. The  $\Delta M_{\text{HF}}$  values are fairly constant at approximately -6 ng/(cm<sup>2</sup> cycle). This behavior indicates that the  $\Delta M_{\text{HF}}$  values are not responsible for the lack of self-limiting behavior observed in Figure 5b. In contrast, the  $\Delta M_{\text{Sn}}$  values increase progressively with HF exposure time for a fixed Sn(acac)<sub>2</sub> exposure time of 1.0 s. This increasing mass loss during the Sn(acac)<sub>2</sub> exposure is attributed to HfO<sub>2</sub> CVE caused by the presence of both HF and Sn(acac)<sub>2</sub>. At longer HF exposure times, more HF residual pressure is believed to be present during the Sn(acac)<sub>2</sub>



**Figure 7.** Mass change versus time for HfO<sub>2</sub> ALEt using sequential Sn(acac)<sub>2</sub> and HF exposures at 150, 175, 200, 225 and 250°C.

exposure. This HF residual pressure together with the fixed Sn(acac)<sub>2</sub> exposure time of 1.0 s leads to HfO<sub>2</sub> CVE.

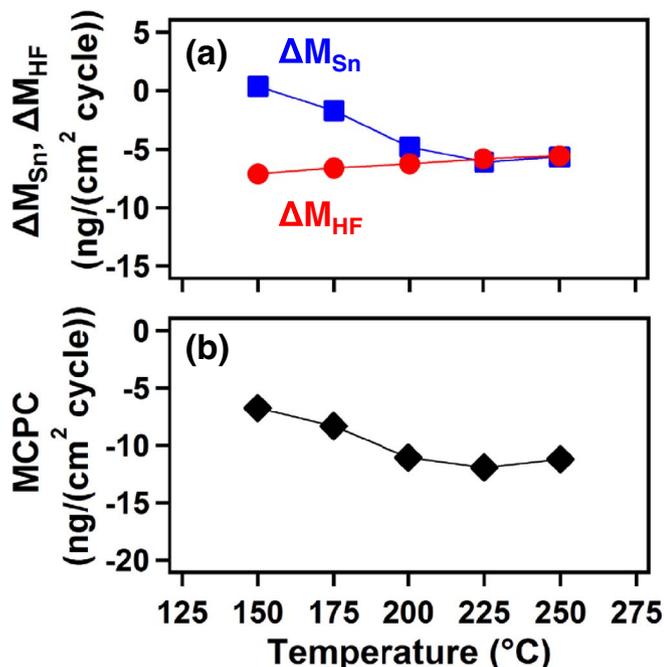
Additional experiments performed at longer purge times than 30 s after the HF exposures did lead to more self-limiting behavior. However, the MCPC continued to increase versus HF exposure time. HF is difficult to purge completely after long HF exposures. The results in Figure 5b should be self-limiting with effective HF purging after HF exposures.

Figure 7 displays the mass change during 100 HfO<sub>2</sub> ALEt cycles using sequential Sn(acac)<sub>2</sub> and HF reactions at 150°C, 175°C, 200°C, 225°C, and 250°C. The initial HfO<sub>2</sub> films were grown before HfO<sub>2</sub> ALEt at the same temperatures. These HfO<sub>2</sub> films were deposited by 100 cycles of HfO<sub>2</sub> ALD using TDMAH and H<sub>2</sub>O with a sequence of 1-20-1-20. Using the same reaction conditions as employed for the results in Figures 2 and 3, one HfO<sub>2</sub> ALEt cycle consisted of a Sn(acac)<sub>2</sub> exposure of 1.0 s, a N<sub>2</sub> purge of 30 s, a HF exposure of 1.0 s, and a second N<sub>2</sub> purge of 30 s.

The HfO<sub>2</sub> ALEt mass changes are linear for all temperatures. The MCPC was determined at steady state after the first 20 HfO<sub>2</sub> ALEt cycles. The MCPC increased at higher temperatures. The MCPC was -6.7, -8.3, -11.1, -11.9, and -11.2 ng/(cm<sup>2</sup> cycle) at 150, 175, 200, 225, and 250°C, respectively. These MCPCs correspond to etch rates of 0.070, 0.087, 0.116, 0.124, and 0.117 Å/cycle at 150, 175, 200, 225 and 250°C, respectively. These etch rates in Å/cycle are based on the HfO<sub>2</sub> ALD film density of 9.6 g/cm<sup>3</sup>. The MCPC values are essentially equivalent at 200, 225 and 250°C. The differences in the mass change after 100 cycles for these temperatures are determined mostly by the mass changes in the nucleation regime during the first 20 HfO<sub>2</sub> ALEt cycles.

The  $\Delta M_{\text{Sn}}$ ,  $\Delta M_{\text{HF}}$ , and MCPC values at the various reaction temperatures are shown in Figure 8. All HfO<sub>2</sub> ALEt reactions were performed using a reaction sequence of 1-30-1-30 on initial HfO<sub>2</sub> films. Figure 8a shows that  $\Delta M_{\text{Sn}}$  displays a slight mass gain at 150°C and progressively larger mass losses at higher temperatures. In contrast,  $\Delta M_{\text{HF}}$  displays mass losses over the entire temperature range. The mass losses are slightly less at higher temperatures.

Figure 8b reveals that the MCPC increases with temperature between 150 and 200°C. The MCPC values are nearly equivalent at 200, 225 and 250°C. The MCPC in Figure 8b correlates with  $\Delta M_{\text{Sn}}$  in Figure 8a. This correspondence indicates that the mass change during the Sn(acac)<sub>2</sub> reaction is primarily responsible for the temperature dependence of the mass loss during HfO<sub>2</sub> ALEt. The  $\Delta M_{\text{Sn}}$ ,  $\Delta M_{\text{HF}}$ , MCPC and  $\Delta M_{\text{Sn}}/\text{MCPC}$  values at the different reaction temperatures are summarized in Table I. Based on previous results for Al<sub>2</sub>O<sub>3</sub> ALEt,<sup>24,40</sup> the temperature dependence of the MCPC values is believed to be dependent on the amount of acetylacetonate surface species remaining after the Sn(acac)<sub>2</sub> and HF exposures.



**Figure 8.** Temperature dependence of (a)  $\Delta M_{\text{Sn}}$  and  $\Delta M_{\text{HF}}$  and (b) MCPC for  $\text{HfO}_2$  ALEt.

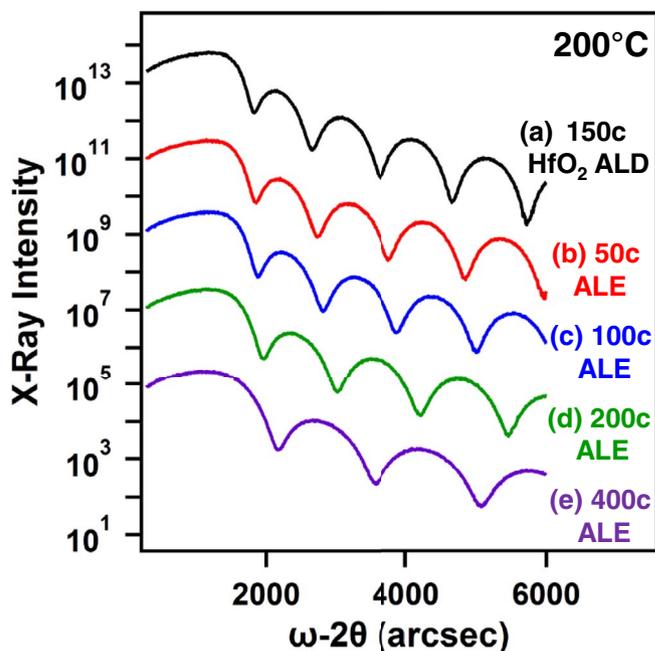
*XRR, XPS and SE measurements.*— Ex situ XRR studies also examined  $\text{HfO}_2$  ALEt. These XRR experiments employed  $\text{HfO}_2$  ALD films with a thickness of 144 Å that were grown on Si(100) wafers. These  $\text{HfO}_2$  ALD films were deposited using 150 cycles of TDMAH and  $\text{H}_2\text{O}$  at 200°C with a reaction sequence of 1-20-1-20. Figure 9 shows XRR scans of the  $\text{HfO}_2$  ALD films on the Si wafers versus number of  $\text{Sn}(\text{acac})_2$  and HF reaction cycles at 200°C. The XRR scans have been displaced from each other for clarity. These XRR scans reveal uniform and smooth  $\text{HfO}_2$  films.

Figure 9a displays the XRR scan of the initial  $\text{HfO}_2$  ALD film grown on Si(100) wafers. The  $\text{HfO}_2$  ALD film thickness of 144 Å can be obtained by fitting the reflected X-ray intensity versus incident angle. Figure 9b, 9c, 9d, and 9e show XRR scans of the etched  $\text{HfO}_2$  film after 50, 100, 200, and 400 ALEt cycles at 200°C, respectively. The  $\text{HfO}_2$  thicknesses decrease with increasing number of ALEt cycles. This decreasing film thickness leads to the decrease in the modulation of the X-ray intensity versus angle with higher numbers of ALEt cycles.

The etched  $\text{HfO}_2$  films are smooth and do not roughen versus  $\text{HfO}_2$  ALEt. The XRR measurements yielded a roughness of the initial  $\text{HfO}_2$  ALD film of  $\sim 6$  Å. The surface roughness then decreased to  $\sim 3$ –4 Å after 50, 100, 200, and 400 ALEt cycles. The ALEt process is able to smooth the surface of the initial  $\text{HfO}_2$  films. The error in these XRR surface roughness measurements is  $\sim 1$  Å. The position of the critical angle of all the etched  $\text{HfO}_2$  films is also constant. This constant critical angle indicates that there is no change of the film density during the ALEt reactions.

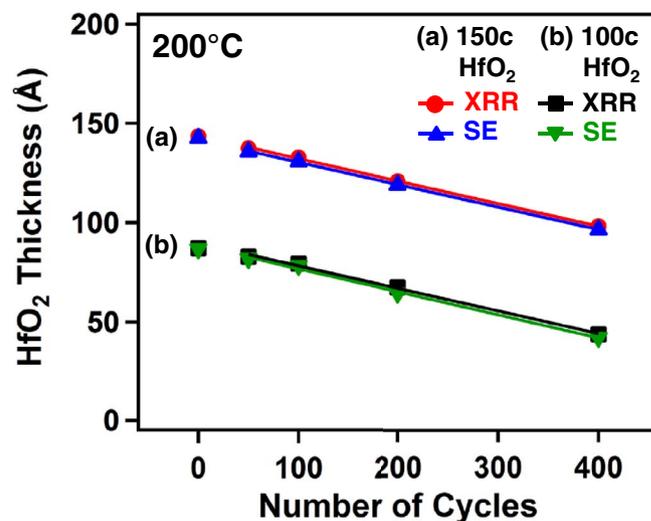
**Table I.**  $\Delta M_{\text{Sn}}$ ,  $\Delta M_{\text{HF}}$ , MCPC,  $\Delta M_{\text{Sn}}/\text{MCPC}$ ,  $x$ , and  $x(\text{MCPC})$  for  $\text{HfO}_2$  ALEt at different temperatures.  $\Delta M_{\text{Sn}}$ ,  $\Delta M_{\text{HF}}$ , MCPC and  $x(\text{MCPC})$  are expressed in units of  $\text{ng}/(\text{cm}^2 \text{ cycle})$ .

Temperature (°C)	MCPC	$\Delta M_{\text{Sn}}$	$\Delta M_{\text{HF}}$	$\Delta M_{\text{Sn}}/\text{MCPC}$	$x$	$x(\text{MCPC})$
150	-6.7	0.37	-7.1	-0.058	1.1	-7.6
175	-8.3	-1.7	-6.6	0.20	0.90	-7.4
200	-11.1	-4.8	-6.3	0.43	0.69	-7.7
225	-11.9	-6.1	-5.8	0.51	0.62	-7.4
250	-11.2	-5.6	-5.6	0.50	0.63	-7.0

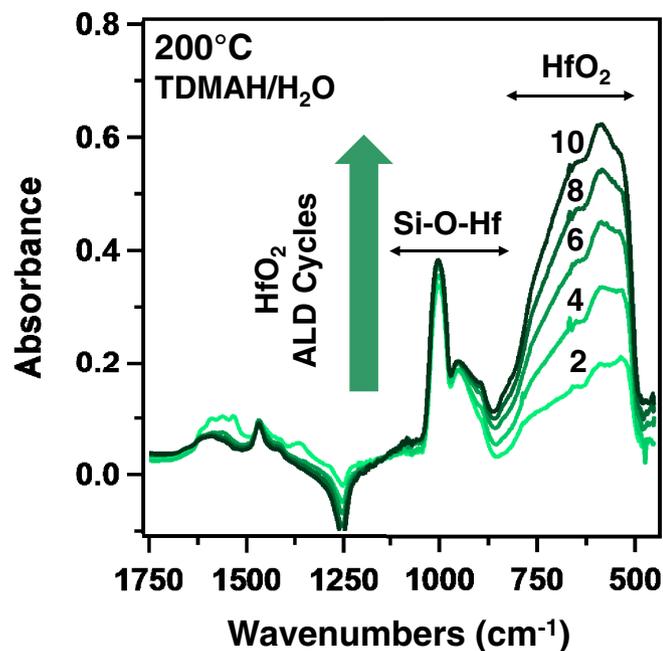


**Figure 9.** X-ray reflectivity scans showing X-ray intensity versus incident angle for  $\text{HfO}_2$  films on Si(100). (a) Initial  $\text{HfO}_2$  film grown using 150  $\text{HfO}_2$  ALD cycles; and  $\text{HfO}_2$  films after various numbers of  $\text{HfO}_2$  ALEt cycles: (b) 50 cycles, (c) 100 cycles, (d) 200 cycles and (e) 400 cycles.

Figure 10 shows the XRR measurements of the initial  $\text{HfO}_2$  film thickness and the  $\text{HfO}_2$  film thicknesses after 50, 100, 200, and 400 ALEt cycles at 200°C. For the  $\text{HfO}_2$  films with an initial thickness of 144 Å in Figure 10a, the film thickness versus number of ALEt cycles is linear and yields an etch rate of 0.11 Å/cycle. The SE measurements on these same samples yield an etch rate of 0.11 Å/cycle with an initial  $\text{HfO}_2$  ALD film thickness of 143 Å. The initial thickness of the  $\text{HfO}_2$  film was not used to obtain the etch rate because of the mass gain on the first cycle and the nucleation regime that occurs during first 20 ALEt cycles. The SE analysis also determined a refractive index of  $n = 2.07$  for the  $\text{HfO}_2$  film at a wavelength of 589 nm. This refractive



**Figure 10.** X-ray reflectivity (XRR) and spectroscopic ellipsometry (SE) measurements of  $\text{HfO}_2$  film thickness versus number of  $\text{HfO}_2$  ALEt cycles for initial  $\text{HfO}_2$  ALD films grown using (a) 150  $\text{HfO}_2$  ALD cycles and (b) 100  $\text{HfO}_2$  ALD cycles.



**Figure 11.** Absolute infrared absorbance showing the growth of Hf-O stretching vibrations in bulk HfO<sub>2</sub> versus number of HfO<sub>2</sub> ALD cycles at 200°C. These FTIR spectra were referenced to the initial SiO<sub>2</sub> particles.

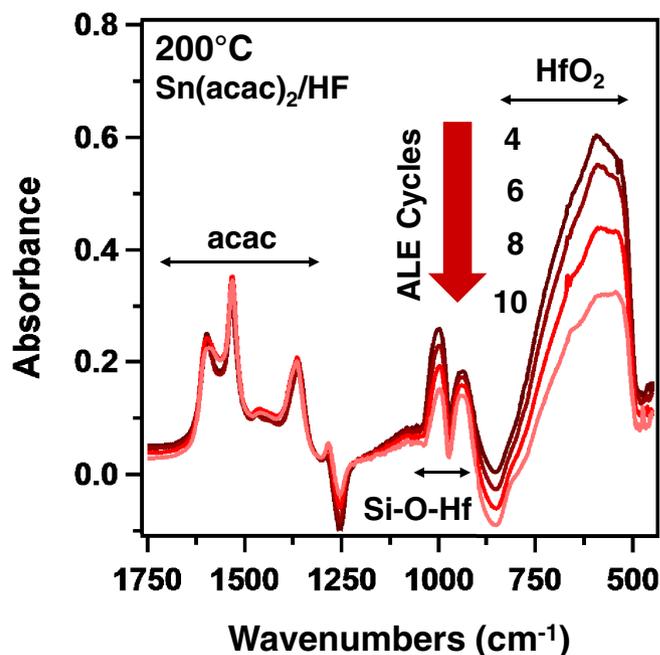
index for the HfO<sub>2</sub> film remained at  $n = 2.07$ - $2.09$  after 50, 100, 200, and 400 ALEt cycles.

XRR measurements were also performed on HfO<sub>2</sub> ALD films with a thickness of 87 Å that were grown on Si(100) wafers. These HfO<sub>2</sub> ALD films were deposited at 200°C using 100 cycles of TDMAH and H<sub>2</sub>O with a reaction sequence of 1-20-1-20. Figure 10b displays the film thickness versus number of Sn(acac)<sub>2</sub> and HF reaction cycles at 200°C. The XRR measurements yield an HfO<sub>2</sub> ALEt etch rate of 0.11 Å/cycle. The SE measurements also yield an etch rate of 0.12 Å/cycle with an initial HfO<sub>2</sub> ALD film thickness of 87 Å. The initial thickness of the HfO<sub>2</sub> film is again not employed to determine the etch rate because of the mass gain that occurs on the first ALEt cycle and the nucleation regime that occurs during the first 20 ALEt cycles.

X-ray photoelectron spectroscopy (XPS) analysis was used to determine the elements on the HfO<sub>2</sub> film after HfO<sub>2</sub> ALEt. The XPS analysis measured Sn 3d<sub>5/2</sub> XPS signals of 0.47-0.61 at% and F 1s XPS signals of 4.0-4.1 at% after 200 or 400 Al<sub>2</sub>O<sub>3</sub> ALEt cycles after the HF exposure. These XPS signals are consistent with residual Sn(acac)<sub>2</sub> adsorption products and the formation of HfF<sub>4</sub> or HfF<sub>x</sub> surface species. The Sn and F XPS signals were removed to below the XPS detection limit after Ar ion sputtering for 2 minutes. This sputtering time also removes adventitious carbon from the surface.

**FTIR Spectroscopy measurements.**— In situ FTIR spectra of HfO<sub>2</sub> ALD films grown using 10 cycles of TDMAH and H<sub>2</sub>O at 200°C are shown in Figure 11. These FTIR spectra are difference spectra referenced to the initial SiO<sub>2</sub> nanoparticle substrate. The prominent absorbance feature between 500–800 cm<sup>-1</sup> is attributed to the Hf-O stretching vibrations in bulk HfO<sub>2</sub>.<sup>41,42</sup> The absorbance of the Hf-O stretching vibrations in amorphous HfO<sub>2</sub> is broad and ranges from ~200-750 cm<sup>-1</sup>.<sup>41-43</sup> The breadth of this expected absorbance feature is not observed in Figure 11. The strong absorption from the SiO<sub>2</sub> nanoparticles at ≤500 cm<sup>-1</sup> obscures the infrared absorbance of the Hf-O stretching vibrations at ≤500 cm<sup>-1</sup>.

The infrared absorbance of the Hf-O stretching vibration between 500–800 cm<sup>-1</sup> increases progressively versus number of HfO<sub>2</sub> ALD cycles. In addition to these Hf-O vibrational features, a negative absorption feature appears in Figure 11 at ~1250 cm<sup>-1</sup> along with a positive absorption feature at ~1000 cm<sup>-1</sup>. The negative absorption



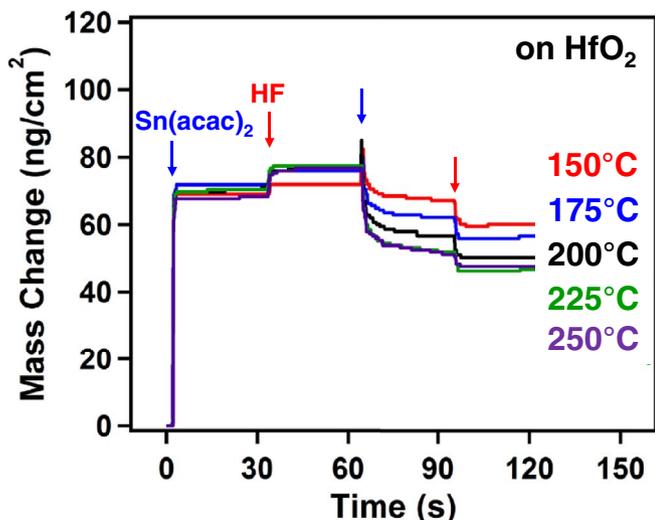
**Figure 12.** Absolute infrared absorbance showing the loss of Hf-O stretching vibration in bulk HfO<sub>2</sub> versus number of HfO<sub>2</sub> ALEt cycles at 200°C. These FTIR spectra were referenced to the initial SiO<sub>2</sub> particles.

feature at ~1250 cm<sup>-1</sup> is assigned to the loss of absorbance from Si-O stretching vibrations in the SiO<sub>2</sub> substrate.<sup>44</sup> The positive absorption feature at ~1000 cm<sup>-1</sup> is attributed to the gain of absorbance from Si-O-Hf stretching vibrations in hafnium silicate.<sup>41,44,45</sup> The hafnium silicate forms almost entirely during the first two HfO<sub>2</sub> ALD cycles on the SiO<sub>2</sub> nanoparticles at 200°C.

Figure 12 shows the FTIR spectra after 4, 6, 8, and 10 ALEt cycles at 200°C. These spectra were recorded after the Sn(acac)<sub>2</sub> exposures. Decreasing absorbance for the Hf-O stretching vibration at 500–800 cm<sup>-1</sup> versus ALEt cycles is consistent with HfO<sub>2</sub> etching. There is also a corresponding decrease in absorbance of the Si-O-Hf vibrations in hafnium silicate at ~1000 cm<sup>-1</sup>. Infrared absorbance for the Sn(acac)<sub>2</sub> adsorption products is also observed in Figure 12 in the range between 1250–1750 cm<sup>-1</sup>. These vibrational features are derived from the acetylacetonate (acac) constituents.<sup>46,47</sup> These features are consistent with either Sn(acac)<sub>2</sub><sup>\*</sup>, SnF(acac)<sup>\*</sup> or acac<sup>\*</sup> adsorbed on the HfO<sub>2</sub> substrate.

**Nucleation behavior and proposed HfF<sub>4</sub> formation.**— Figure 13 displays an enlargement of the mass changes from Figure 7 during the first two ALEt cycles on HfO<sub>2</sub> films. The first Sn(acac)<sub>2</sub> exposure shows mass gains of  $\Delta M_{\text{Sn}} = 68$ – $72$  ng/cm<sup>2</sup> at 150–250°C resulting from adsorption products of Sn(acac)<sub>2</sub> such as Sn(acac)<sup>\*</sup> and acacH<sup>\*</sup>. To estimate the coverage of Sn(acac)<sub>2</sub><sup>\*</sup> species on the surface, the sites on the HfO<sub>2</sub> surface can be approximated using the HfO<sub>2</sub> density of 9.6 g/cm<sup>3</sup>. This mass density is equivalent to a number density of  $\rho = 2.7 \times 10^{22}$  “HfO<sub>2</sub> units”/cm<sup>3</sup>. This number density yields an estimate for the number of “HfO<sub>2</sub> units” on the HfO<sub>2</sub> surface of  $\rho^{2/3} = 9.10 \times 10^{14}$  “HfO<sub>2</sub> units”/cm<sup>2</sup> assuming a square lattice. This coverage of “HfO<sub>2</sub> units” represents an HfO<sub>2</sub> mass of 320 ng/cm<sup>2</sup>.

The coverage of Sn(acac)<sub>2</sub><sup>\*</sup> can then be approximated based on the mass gain of 72 ng/cm<sup>2</sup>. This mass gain is equivalent to  $1.37 \times 10^{14}$  Sn(acac)<sub>2</sub> molecules/cm<sup>2</sup>. The normalized coverage of Sn(acac)<sub>2</sub><sup>\*</sup> species relative to “HfO<sub>2</sub> units” on the surface is  $1.37 \times 10^{14}$  Sn(acac)<sub>2</sub> molecules/cm<sup>2</sup> /  $9.10 \times 10^{14}$  “HfO<sub>2</sub> units”/cm<sup>2</sup> = 0.15 Sn(acac)<sub>2</sub> species/HfO<sub>2</sub> unit. This coverage is reasonable given that Sn(acac)<sub>2</sub> may dissociate into Sn(acac)<sup>\*</sup> and acacH<sup>\*</sup>. In addition, the acac ligand is bulky and expected to occupy more than one “HfO<sub>2</sub> unit” on the HfO<sub>2</sub> surface.



**Figure 13.** Expansion of first two ALEt cycles in Figure 6 showing the individual mass changes during the sequential Sn(acac)<sub>2</sub> and HF exposures at 150, 175, 200, 225 and 250°C.

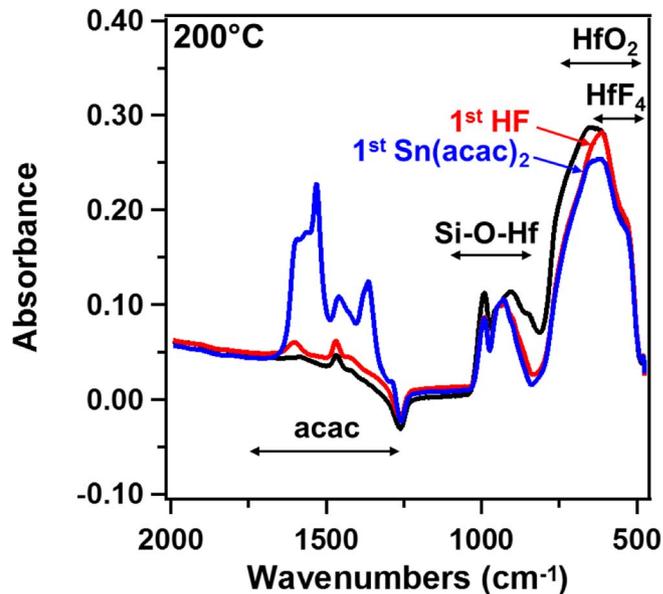
The first HF exposure on the surface previously exposed to Sn(acac)<sub>2</sub> shows mass gains of  $\Delta M_{\text{HF}} = 3\text{--}8 \text{ ng/cm}^2$  at 150–250°C. These mass gains may be affected by the loss of Sn(acac)<sub>2</sub> adsorption products. The mass gains following HF exposures on an initial HfO<sub>2</sub> film can be used to estimate the coverage of HF or HF reaction products. A mass gain of 37.8 ng/cm<sup>2</sup> is observed after HF exposures on an initial HfO<sub>2</sub> film at 200°C in Figure 6a. Assuming that HF is the adsorption product, this mass gain is equivalent to  $1.14 \times 10^{15}$  HF molecules/cm<sup>2</sup>. The normalized coverage of HF species relative to “HfO<sub>2</sub> units” on the surface is  $1.14 \times 10^{15} \text{ HF molecules/cm}^2 / 9.10 \times 10^{14} \text{ “HfO}_2 \text{ units”/cm}^2 = 1.25 \text{ HF/HfO}_2 \text{ unit}$ .

The HF may also react with the HfO<sub>2</sub> substrate by the reaction:  $\text{HfO}_2 + 4\text{HF} \rightarrow \text{HfF}_4 + 2\text{H}_2\text{O}$ . This reaction is predicted to be spontaneous over the temperature range from 150–250°C. The Gibbs free energy changes are negative and decrease slightly in absolute magnitude from  $\Delta G = -22 \text{ kcal}$  at 150°C to  $\Delta G = -16 \text{ kcal}$  at 250°C.<sup>48</sup> Assuming that HfF<sub>4</sub> is the reaction product, the mass gain of 37.8 ng/cm<sup>2</sup> on an initial HfO<sub>2</sub> film at 200°C is equivalent to a HfF<sub>4</sub> coverage of  $5.17 \times 10^{14} \text{ HfF}_4 \text{ molecules/cm}^2$ . The normalized coverage of HfF<sub>4</sub> relative to “HfO<sub>2</sub> units” on the surface is  $5.17 \times 10^{14} \text{ HfF}_4 \text{ molecules/cm}^2 / 9.10 \times 10^{14} \text{ “HfO}_2 \text{ units”/cm}^2 = 0.57 \text{ HfF}_4/\text{HfO}_2 \text{ unit}$ .

Assuming either HF or HfF<sub>4</sub> products, the estimated coverages after the HF exposure are comparable with the number of “HfO<sub>2</sub> units” on the HfO<sub>2</sub> substrate. These coverages are consistent with the rapid and self-limiting fluorination of the HfO<sub>2</sub> surface. In contrast, slow fluorination kinetics of bulk HfO<sub>2</sub> were observed during HF exposures.<sup>48</sup> Thermogravimetric studies showed that bulk HfO<sub>2</sub> did not begin fluorination under HF exposure until >350°C.<sup>48</sup> In addition, HfF<sub>4</sub> was not observed as a product by X-ray diffraction analysis until higher temperatures between 450–580°C.<sup>48</sup> The fluorination of the HfO<sub>2</sub> surface occurs much more readily than the fluorination of bulk HfO<sub>2</sub> substrates.

After the HF exposure, the next Sn(acac)<sub>2</sub> exposure reacts with the HfF<sub>4</sub> or HF adlayer on the HfO<sub>2</sub> substrate. Figure 13 shows that mass losses begin with this second Sn(acac)<sub>2</sub> exposure and continue with the subsequent HF exposure. Except for the first ALEt cycle shown in Figure 13, all subsequent Sn(acac)<sub>2</sub> and HF exposures lead to mass loss resulting from the etching of the HfO<sub>2</sub> film.

The nucleation of the HfO<sub>2</sub> ALEt process was also observed by the FTIR studies. Figure 14 shows FTIR spectra during the first HfO<sub>2</sub> ALEt cycle that are referenced to the starting SiO<sub>2</sub> substrate. For these FTIR spectra, HF was exposed first to the initial HfO<sub>2</sub> film to monitor the surface fluorination to form HfF<sub>4</sub> or HfF<sub>x</sub> surface species.



**Figure 14.** Absolute infrared absorbance showing the Hf-O stretching vibrations in bulk HfO<sub>2</sub> and the acac vibrational features for the first HF and Sn(acac)<sub>2</sub> exposures at 200°C. These FTIR spectra were referenced to the initial SiO<sub>2</sub> nanoparticles.

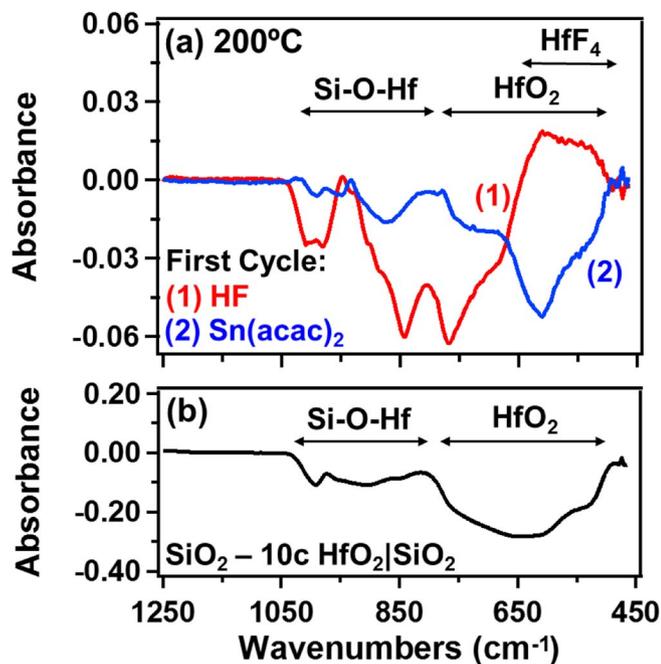
With the first HF exposure, a portion of the Hf-O absorbance feature decreases between 625–800 cm<sup>-1</sup>. There is also another absorbance decrease between ~800–900 cm<sup>-1</sup>. These changes may correspond with the conversion of Hf-O stretching vibrations to Hf-F stretching vibrations.

The infrared absorbance between 500–650 cm<sup>-1</sup> decreases after the first Sn(acac)<sub>2</sub> exposure. This decrease is attributed to the removal of HfF<sub>4</sub> or HfF<sub>x</sub> surface species. For an HfF<sub>4</sub> adlayer, this removal may occur by the reaction  $\text{HfF}_4 + 4\text{Sn(acac)}_2 \rightarrow \text{Hf(acac)}_4 + 4\text{SnF(acac)}$ . Sn(acac)<sub>2</sub> may also adsorb on the thin HfO<sub>2</sub> film and perturb the Hf-O vibrational modes. In addition, pronounced vibrational features associated with acac species on the HfO<sub>2</sub> substrate at 1250–1750 cm<sup>-1</sup> are observed in Figure 14 after the Sn(acac)<sub>2</sub> exposure.

Because the loss in absorbance from HfO<sub>2</sub> and the gain in absorbance from HfF<sub>4</sub> or HfF<sub>x</sub> surface species occur in the same frequency range, difference FTIR spectra were examined during the first HF and first Sn(acac)<sub>2</sub> exposures on the initial HfO<sub>2</sub> film to distinguish the HfF<sub>4</sub> or HfF<sub>x</sub> surface species produced by the first HF exposure. Figure 15a shows the difference spectrum after the first HF exposure. The first HF exposure produces a gain in absorbance between 500–650 cm<sup>-1</sup> and a loss in absorbance between 650–925 cm<sup>-1</sup>. The reference spectrum for this FTIR spectrum was the initial HfO<sub>2</sub> film on the SiO<sub>2</sub> nanoparticles. The loss of absorbance between 650–925 cm<sup>-1</sup> and the gain in absorbance between 500–650 cm<sup>-1</sup> is attributed to the conversion of HfO<sub>2</sub> to HfF<sub>4</sub> or HfF<sub>x</sub> surface species.

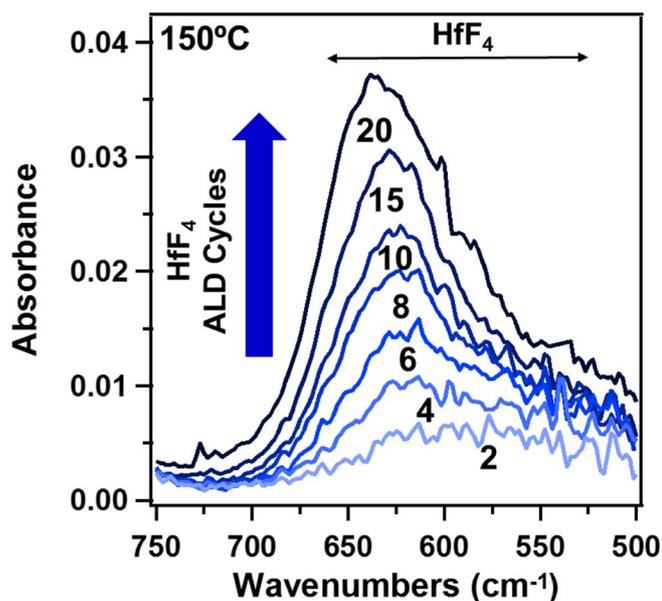
Figure 15a also shows that the subsequent Sn(acac)<sub>2</sub> exposure removes the absorbance feature associated with the HfF<sub>4</sub> or HfF<sub>x</sub> surface species. The reference spectrum for this FTIR spectrum was the previous FTIR spectrum recorded after the HF exposure. This loss of absorbance is expected from the Sn(acac)<sub>2</sub> reaction with the HfF<sub>4</sub> or HfF<sub>x</sub> surface species. There is also only a small absorbance loss at 650–925 cm<sup>-1</sup> associated with the higher frequency portion of the absorbance for the Hf-O stretching vibration. The Sn(acac)<sub>2</sub> removes the HfF<sub>4</sub> or HfF<sub>x</sub> surface species without significantly perturbing the underlying HfO<sub>2</sub> film. For comparison, Figure 15b displays the absorbance loss expected after the removal of an HfO<sub>2</sub> ALD film that was grown using ten HfO<sub>2</sub> ALD cycles.

The absorbance feature between 500–650 cm<sup>-1</sup> that is centered at ~585 cm<sup>-1</sup> is attributed to HfF<sub>4</sub> or HfF<sub>x</sub> surface species. The frequency of this absorbance feature is slightly lower than the expected frequency of 645–650 cm<sup>-1</sup> for Hf-F stretching vibrations



**Figure 15.** (a) 1. Difference spectrum after HF exposure on an initial  $\text{HfO}_2$  film. The reference spectrum was the initial  $\text{HfO}_2$  film on the  $\text{SiO}_2$  nanoparticles. 2. Difference spectrum after the subsequent  $\text{Sn}(\text{acac})_2$  exposure. The reference spectrum was the previous FTIR spectrum after the HF exposure. (b) Difference spectrum after removal of 10 cycles of  $\text{HfO}_2$  ALD from the  $\text{SiO}_2$  nanoparticles. The reference spectrum was the FTIR spectrum after 10 cycles of  $\text{HfO}_2$  ALD on the  $\text{SiO}_2$  nanoparticles.

in  $\text{HfF}_4$ .<sup>49,50</sup> To determine the Hf-F stretching vibrational frequency in ultrathin  $\text{HfF}_4$  films,  $\text{HfF}_4$  ALD films were grown on a thin  $\text{HfO}_2$  ALD film on  $\text{SiO}_2$  nanoparticles using TDMAH and HF. The FTIR spectra versus number of  $\text{HfF}_4$  ALD cycles are displayed in Figure 16. These FTIR spectra are referenced to the initial  $\text{HfO}_2$  film on the  $\text{SiO}_2$  nanoparticles.



**Figure 16.** Absolute infrared absorbance showing the growth of Hf-F stretching vibrations in  $\text{HfF}_4$  films versus number of  $\text{HfF}_4$  ALD cycles at  $150^\circ\text{C}$  after the HF exposures. These FTIR spectra are referenced to the initial  $\text{HfO}_2$  film on the  $\text{SiO}_2$  nanoparticles.

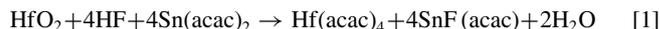
The initial  $\text{HfF}_4$  ALD film after two  $\text{HfF}_4$  ALD cycles displays increased absorbance in a broad peak centered at  $\sim 585\text{ cm}^{-1}$ . The absorbance from these Hf-F stretching vibrations then shifts to higher frequencies with increasing number of  $\text{HfF}_4$  ALD cycles. After  $\sim 20$   $\text{HfF}_4$  ALD cycles, the absorbance peak shifts to  $\sim 640\text{ cm}^{-1}$ . This frequency is very close to the frequency of  $645\text{--}650\text{ cm}^{-1}$  for the Hf-F stretching vibrations in  $\text{HfF}_4$ .<sup>49,50</sup> These results argue that the absorbance feature in Figure 15a between  $500\text{--}650\text{ cm}^{-1}$  that is centered at  $\sim 585\text{ cm}^{-1}$  is derived from  $\text{HfF}_4$  or  $\text{HfF}_x$  surface species. The Hf-F stretching vibration has a lower frequency in  $\text{HfF}_x$  surface species or in ultrathin  $\text{HfF}_4$  films.

*Proposed  $\text{HfO}_2$  ALEt reactions.*— Figure 17 shows the schematic for the proposed  $\text{HfO}_2$  ALEt surface chemistry. This possible picture for  $\text{HfO}_2$  ALEt is derived from the mass changes during the  $\text{Sn}(\text{acac})_2$  and HF exposures as determined by the QCM measurements and the vibrational absorbances observed by the FTIR spectroscopy analysis. This scheme includes only surface species that change during the  $\text{Sn}(\text{acac})_2$  and HF exposures. This scheme also assumes that the HF reaction produces  $\text{HfF}_4$  on the  $\text{HfO}_2$  film.

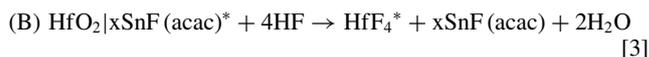
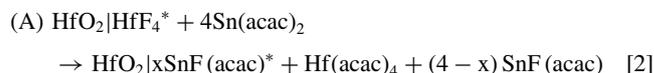
During the  $\text{Sn}(\text{acac})_2$  reaction (A),  $\text{Sn}(\text{acac})_2$  reacts with the  $\text{HfF}_4$  layer on the  $\text{HfO}_2$  substrate to form volatile  $\text{SnF}(\text{acac})$  and  $\text{Hf}(\text{acac})_4$  reaction products and  $\text{SnF}(\text{acac})^*$  surface species. After the  $\text{HfF}_4$  layer is lost resulting from  $\text{Hf}(\text{acac})_4$  and  $\text{SnF}(\text{acac})$  product formation, there may be a strong interaction between  $\text{SnF}(\text{acac})^*$  surface species and the underlying  $\text{HfO}_2$  substrate. This interaction may lead to some  $\text{SnF}(\text{acac})^*$  species adsorbed to the  $\text{HfO}_2$  substrate.

During the HF reaction (B), HF reacts with some of the  $\text{SnF}(\text{acac})^*$  surface species and the underlying  $\text{HfO}_2$  surface to form a  $\text{HfF}_4$  layer. In addition, HF also provides hydrogen to form  $\text{H}_2\text{O}$  as a reaction product. This reaction removes the oxygen in  $\text{HfO}_2$ . The  $\text{HfF}_4$  layer is then ready for the next  $\text{Sn}(\text{acac})_2$  reaction.

The overall proposed reaction can be expressed as:



This overall reaction can be divided into the  $\text{Sn}(\text{acac})_2$  and HF reactions:



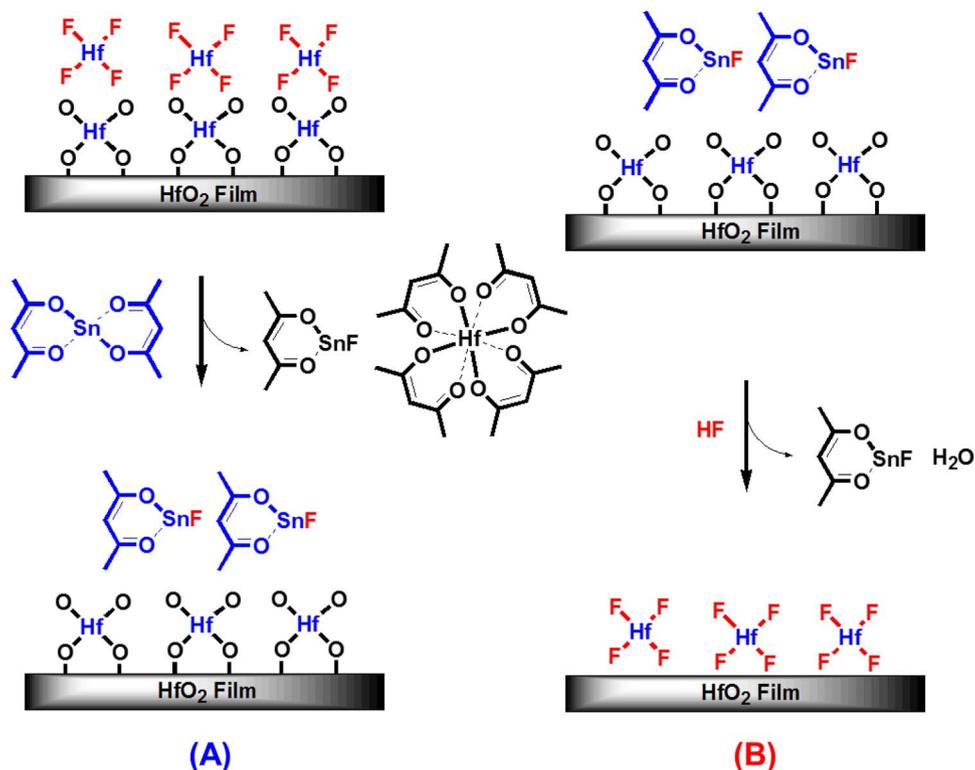
These reactions include only the species that change during the  $\text{Sn}(\text{acac})_2$  and HF exposures. The asterisks indicate the surface species and the vertical lines are used to separate the various surface species. Note that  $\text{HfF}_4$  is the key reaction intermediate. The production of all the  $\text{Hf}(\text{acac})_4$  is assumed to occur during reaction (A).  $\text{Hf}(\text{acac})_4$  is a stable metal  $\beta$ -diketonate with a vapor pressure of  $\sim 0.1$  Torr at  $150^\circ\text{C}$ .<sup>51,52</sup>

The  $\text{HfO}_2$  shown in Equations 2 and 3 is the amount of  $\text{HfO}_2$  that is etched in one  $\text{HfO}_2$  ALEt cycle.  $x$  quantifies the coverage of  $\text{SnF}(\text{acac})^*$  on the surface after the  $\text{Sn}(\text{acac})_2$  exposures relative to the amount of  $\text{HfO}_2$  that is etched in one  $\text{HfO}_2$  ALEt cycle.  $x$  can be determined from the  $\Delta M_{\text{Sn}}/\text{MCPC}$  ratio using the equation:

$$x = (254.5 - 210.5(\Delta M_{\text{Sn}}/\text{MCPC}))/236.8 \quad [4]$$

where 254.5, 210.5 and 236.8 are the molecular weights for  $\text{HfF}_4$ ,  $\text{HfO}_2$  and  $\text{SnF}(\text{acac})$ , respectively. The  $x$  values are 1.1, 0.90, 0.69, 0.62, and 0.63 at 150, 175, 200, 225 and  $250^\circ\text{C}$ , respectively. Table I lists all the  $x$  and MCPC values.

$x$  defines the  $\text{SnF}(\text{acac})^*$  species after the  $\text{Sn}(\text{acac})_2$  exposures relative to the amount of  $\text{HfO}_2$  that is etched in one  $\text{HfO}_2$  ALEt cycle. Consequently, the product  $x(\text{MCPC})$  provides a measure of the  $\text{SnF}(\text{acac})^*$  surface species after the  $\text{Sn}(\text{acac})_2$  exposures. The  $x(\text{MCPC})$  values are included in Table I. These  $x(\text{MCPC})$  values are fairly constant at all the temperatures. This behavior indicates that the  $\text{SnF}(\text{acac})^*$  coverage is nearly constant at all the temperatures after



**Figure 17.** Schematic of proposed surface chemistry for HfO<sub>2</sub> ALEt showing (A) Sn(acac)<sub>2</sub> reaction and (B) HF reaction.

the Sn(acac)<sub>2</sub> exposures. This coverage may represent a saturated monolayer for SnF(acac)\* on the HfO<sub>2</sub> surface.

The proposed reactions for HfO<sub>2</sub> ALEt are similar to the reactions proposed earlier for Al<sub>2</sub>O<sub>3</sub> ALEt.<sup>24</sup> Both HfO<sub>2</sub> ALEt and Al<sub>2</sub>O<sub>3</sub> ALEt display etching rates that increase at higher temperatures. These temperature dependent etching rates are believed to be correlated inversely with the acetylacetonate coverage remaining on the substrate after the Sn(acac)<sub>2</sub> exposure.<sup>24,40</sup> The Hf(acac)<sub>4</sub> and Al(acac)<sub>3</sub> etching products are both volatile. The vapor pressure of Hf(acac)<sub>4</sub> at 150°C is ~0.1 Torr.<sup>51,52</sup> The vapor pressure of Al(acac)<sub>3</sub> at 150°C is ~3–4 Torr.<sup>53–55</sup> Both HfO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> form stable fluorides upon exposure to HF. However, the Hf(acac)<sub>4</sub> etching product may become unstable at >100°C.<sup>52</sup> The ΔG for the HF reaction with HfO<sub>2</sub> is also not as favorable as the ΔG for the HF reaction with Al<sub>2</sub>O<sub>3</sub>.<sup>38</sup> These factors may lead to etching rates for Al<sub>2</sub>O<sub>3</sub> ALEt that are higher than the etching rates for HfO<sub>2</sub> ALEt.

*Extensions to other materials and advantages of thermal ALEt.*—The ALEt of other materials should be possible using sequential, self-limiting thermal reactions with Sn(acac)<sub>2</sub> and HF as the reactants.<sup>24</sup> The prospective materials include other metal oxides, metal nitrides, metal phosphides, metal sulfides and metal arsenides.<sup>24</sup> The Sn(acac)<sub>2</sub> and HF reactants should be generally useful because Sn(acac)<sub>2</sub> can readily react with fluorine to form SnF(acac). Sn-F bond formation is favorable because tin has a high affinity for fluorine.<sup>56</sup> The Sn-F bond enthalpy is 466.5 kJ/mole in the diatomic SnF molecule.<sup>57</sup>

The reaction of Sn(acac)<sub>2</sub> with fluorine to form SnF(acac) enables Sn(acac)<sub>2</sub> to release an acac ligand to the surface. Metals easily form complexes with acac ligands and have comparable stabilities.<sup>58</sup> The hydrogen from HF can also combine with either oxygen, nitrogen, phosphorous, sulfur or arsenic from the metal oxide, metal nitride, metal phosphide, metal sulfide or metal arsenide to form H<sub>2</sub>O, NH<sub>3</sub>, PH<sub>3</sub>, H<sub>2</sub>S or AsH<sub>3</sub>, respectively.<sup>24</sup> The ALEt of elemental metals should also be possible by first oxidizing the metal and then etching the resulting metal oxide.<sup>24</sup> Alternatively, elemental metals could be

directly fluorinated to form the metal fluoride and then the metal fluoride could be removed by Sn(acac)<sub>2</sub>.

There are advantages to the thermal ALEt approach compared with ALEt based on halogen adsorption and ion or energetic neutral noble atom bombardment. The thermal ALEt approach avoids any damage to the underlying substrate resulting from high energy ions or energetic neutrals.<sup>59</sup> ALEt based on ion or neutral noble atom bombardment requires line-of-sight to the substrate. This requirement can be used advantageously to minimize undercutting with directional ions or energetic neutral atoms during ALEt. However, this line-of-sight requirement is limited to the small surface areas that are subjected to ion or neutral noble atom bombardment. In contrast, the thermal ALEt approach will be useful for etching larger samples. The thermal ALEt approach will also be important for etching high surface area samples and high aspect ratio structures.

## Conclusions

HfO<sub>2</sub> ALEt was demonstrated using Sn(acac)<sub>2</sub> and HF as the reactants. The sequential, self-limiting thermal reactions of Sn(acac)<sub>2</sub> and HF etched HfO<sub>2</sub> linearly with atomic level precision. HfO<sub>2</sub> ALEt was observed at temperatures from 150–250°C. The Sn(acac)<sub>2</sub> reaction was self-limiting versus reactant exposure as revealed by QCM studies. However, the HF reaction was weakly self-limiting presumably because of a high residence time for HF and chemical vapor etching (CVE) caused by the presence of both Sn(acac)<sub>2</sub> and HF in the reactor.

The QCM studies measured MCPC values of –6.7, –8.3, –11.1, –11.9, and –11.2 ng/(cm<sup>2</sup> cycle) at 150, 175, 200, 225, and 250°C, respectively. These mass changes per cycle correspond to etch rates of 0.070, 0.087, 0.116, 0.124, and 0.117 Å/cycle at 150, 175, 200, 225 and 250°C, respectively. The linear removal of HfO<sub>2</sub> was confirmed by XRR analysis. The XRR studies measured HfO<sub>2</sub> ALEt etch rates of 0.11 Å/cycle at 200°C. The HfO<sub>2</sub> films also were smoothed by HfO<sub>2</sub> ALEt. HfO<sub>2</sub> ALEt was also observed by FTIR spectroscopy analysis that monitored the loss of absorbance from the Hf-O stretching

vibration of bulk HfO<sub>2</sub> versus number of Sn(acac)<sub>2</sub> and HF reaction cycles. FTIR analysis also monitored absorbance features that were consistent with HfF<sub>4</sub> or HfF<sub>x</sub> surface species as a reaction intermediate.

The HfO<sub>2</sub> etching is believed to follow the reaction: HfO<sub>2</sub> + 4Sn(acac)<sub>2</sub> + 4HF → Hf(acac)<sub>4</sub> + 4SnF(acac) + 2H<sub>2</sub>O. In the proposed reaction mechanism, HfF<sub>4</sub> or HfF<sub>x</sub> is the key reaction intermediate. The Sn(acac)<sub>2</sub> reactant donates acac to the HfF<sub>4</sub> or HfF<sub>x</sub> adlayer on the HfO<sub>2</sub> substrate to produce Hf(acac)<sub>4</sub>. The HF reactant forms the HfF<sub>4</sub> or HfF<sub>x</sub> reaction intermediate from HfO<sub>2</sub> and allows SnF(acac) and H<sub>2</sub>O to leave as reaction products. The ALEt of many other metal oxides besides HfO<sub>2</sub> should also be possible using sequential Sn(acac)<sub>2</sub> and HF exposures. This ALEt reaction mechanism should also be applicable for the ALEt of metal nitrides, metal phosphides, metal arsenides and elemental metals.

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