# Thermal Atomic Layer Etching of Microelectronic Materials

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## **Abstract**

Atomic layer control of microelectronic processing is required as critical dimensions are reduced below the 10 nm scale. Thermal atomic layer etching (ALE) has developed over the last 5 years to meet etching challenges. This paper will define thermal ALE in terms of sequential, self-limiting surface reactions. Thermal ALE can be viewed as the reverse of atomic layer deposition (ALD). Various microelectronic materials will be used to demonstrate thermal ALE including Ga<sub>2</sub>O<sub>3</sub>, Si, and Si<sub>3</sub>N<sub>4</sub>.

(Keywords: atomic layer etching, atomic layer processing, Ga<sub>2</sub>O<sub>3</sub>, Si, Si<sub>3</sub>N<sub>4</sub>)

#### Introduction

Thermal ALE is based on a binary reaction sequence [1]. The first surface modification reaction is able to alter the surface layer. The second volatile release reaction produces volatile etch products from the altered surface layer. An illustration of thermal ALE is shown in Figure 1. Ideally, both the surface modification and volatile release reactions are self-limiting. Thermal ALE is similar to the reverse of ALD.

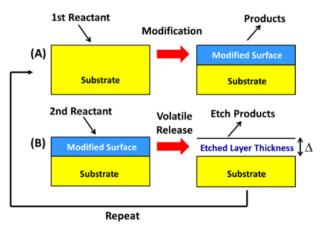


Figure 1. Schematic of thermal ALE process.

There are many mechanisms for thermal ALE [1]. Fluorination and ligand-exchange is one mechanism that is useful for the etching of metal oxides such as Ga<sub>2</sub>O<sub>3</sub> [2]. The etching of silicon-based materials utilize oxidation mechanisms where the silicon material is oxidized to SiO<sub>2</sub> [3,4]. The etching of SiO<sub>2</sub> is then performed using a conversion mechanism where SiO<sub>2</sub> is converted to Al<sub>2</sub>O<sub>3</sub> by trimethylaluminum [5]. This presentation will review the thermal ALE of Ga<sub>2</sub>O<sub>3</sub>, Si, and Si<sub>3</sub>N<sub>4</sub>.

## **Results and Discussion**

## A. Ga<sub>2</sub>O<sub>3</sub> ALE

Gallium oxide is a transparent semiconducting oxide that has applications in power electronics and optoelectronics. The thermal ALE of Ga<sub>2</sub>O<sub>3</sub> can be achieved using fluorination and ligand-exchange [2]. Ga<sub>2</sub>O<sub>3</sub> is first fluorinated to GaF<sub>3</sub> using HF. The GaF<sub>3</sub> can then be removed by ligand-exchange using BCl<sub>3</sub>. An illustration of these two sequential surface reactions is shown in Figure 2.

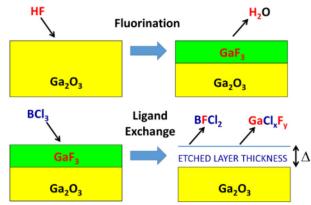


Figure 2. Schematic for Ga<sub>2</sub>O<sub>3</sub> ALE using HF and BCl<sub>3</sub> as the reactants.

The thermal ALE of Ga<sub>2</sub>O<sub>3</sub> can be monitored using in situ spectroscopic ellipsometry [2]. Results for Ga<sub>2</sub>O<sub>3</sub> thermal ALE at 200°C are shown in Figure 3 [2]. The Ga<sub>2</sub>O<sub>3</sub> film is removed with an etch rate of 1.38 Å/cycle. Additional experiments also reveal that the etch rate is self-limiting versus HF and BCl<sub>3</sub> pressure at constant exposure time [2].

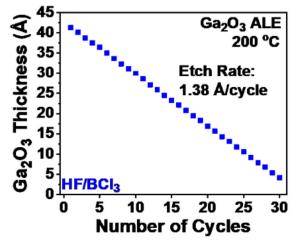


Figure 3. Ga<sub>2</sub>O<sub>3</sub> film thickness versus number of cycles of Ga<sub>2</sub>O<sub>3</sub> ALE using HF and BCl<sub>3</sub> as the reactants.

Other experiments revealed that a number of precursors could etch Ga<sub>2</sub>O<sub>3</sub> after fluorination using HF [2]. These precursors were AlCl(CH<sub>3</sub>)<sub>2</sub>, Al(CH<sub>3</sub>)<sub>3</sub>, TiCl<sub>4</sub> and Ga(N(CH<sub>3</sub>)<sub>2</sub>)<sub>3</sub>. Some of these precursors, such as TiCl<sub>4</sub>, are believed to etch Ga<sub>2</sub>O<sub>3</sub> through a conversion mechanism. The TiCl<sub>4</sub> can convert Ga<sub>2</sub>O<sub>3</sub> to TiO<sub>2</sub> prior to the spontaneous etch of TiO<sub>2</sub> by HF [2].

#### B. Si ALE

Silicon is one of most ubiqutious semiconductor materials in microelectronics. Si thermal ALE can be performed using oxidation, fluorination, ligand-exchange and conversion [3]. Silicon is initial oxidized to SiO<sub>2</sub> using O<sub>2</sub>. Subsequently, trimethylaluminum (TMA) is able to convert SiO<sub>2</sub> to Al<sub>2</sub>O<sub>3</sub>. After fluorination of Al<sub>2</sub>O<sub>3</sub> to AlF<sub>3</sub> by HF, TMA can volatilize the AlF<sub>3</sub> by ligand-exchange. The reaction sequence for Si thermal ALE is displayed in Figure 4 [3].

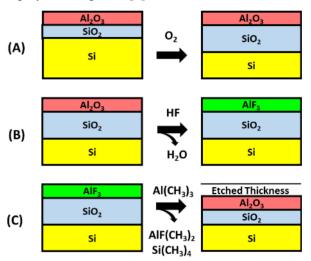


Figure 4. Schematic of Si ALE using  $O_2$ , HF and Al(CH<sub>3</sub>)<sub>3</sub> as the reactants.

Si thermal ALE is dependent on the conversion of  $SiO_2$  to  $Al_2O_3$ .  $SiO_2$  by itself is not etched by HF and TMA when these reactants are at low pressure of < 100 mTorr [6]. However, at higher TMA pressures of > 1 Torr, the TMA is able to convert  $SiO_2$  to  $Al_2O_3$  [5] This conversion to  $Al_2O_3$  provides a pathway for  $Al_2O_3$  to be etched using HF and TMA [7].

In situ spectroscopic ellipsometry was employed to monitor the Si film thickness during Si thermal ALE. The ellipsometry could simultaneously monitor the thicknesses of both the SiO<sub>2</sub> layer on top of the Si film and the Si film itself. Ellipsometry results for Si

ALE at 290°C are shown in Figure 5 [3]. The SiO<sub>2</sub> layer thickness is approximately constant at 10 Å while the underlying Si film is etched at a rate of 0.4 Å/cycle [3].

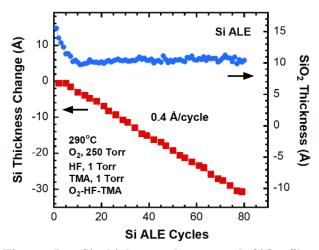


Figure 5. Si thickness change and SiO<sub>2</sub> film thickness versus number of Si ALE cycles using O<sub>2</sub>, HF and TMA as the reactants.

Additional experiments explored the etching of ultrathin silicon films on SOI wafers. These silicon films had thicknesses <100 Å. These ultrathin silicon films were etched linearly from 100 Å until reaching the underlying SiO<sub>2</sub> film on the SOI wafer [3]. These experiments demonstrate that quantum confinement effects in silicon that are present at <100 Å do not influence the etching.

## C. Si<sub>3</sub>N<sub>4</sub> ALE

Silicon nitride is an important dielectric, barrier and spacer material in microelectronics. In similarity with Si thermal ALE, the thermal ALE of Si<sub>3</sub>N<sub>4</sub> can be achieved using oxidation, fluorination, ligand-exchange and conversion [4]. Si<sub>3</sub>N<sub>4</sub> is initial oxidized to SiO<sub>2</sub> using O<sub>2</sub> or O<sub>3</sub>. Afterwards, TMA is able to convert SiO<sub>2</sub> to Al<sub>2</sub>O<sub>3</sub>. After fluorination of Al<sub>2</sub>O<sub>3</sub> to AlF<sub>3</sub> by HF, TMA can volatilize the AlF<sub>3</sub> by ligand-exchange. The reaction sequence for Si<sub>3</sub>N<sub>4</sub> thermal ALE is displayed in Figure 6 [4].

In situ spectroscopic ellipsometry was again used to monitor the Si<sub>3</sub>N<sub>4</sub> film thickness during Si<sub>3</sub>N<sub>4</sub> thermal ALE. Similar to the results in Figure 5, the ellipsometry could simultaneously monitor the thicknesses of both the SiO<sub>2</sub> layer on top of the Si<sub>3</sub>N<sub>4</sub> film and the Si<sub>3</sub>N<sub>4</sub> film itself. Ellipsometry results for Si ALE at 290°C are shown in Figure 7 [3]. The

 $SiO_2$  layer thickness is approximately constant at 9 Å while the underlying  $Si_3N_4$  film is etched at a rate of 0.25 Å/cycle [3].

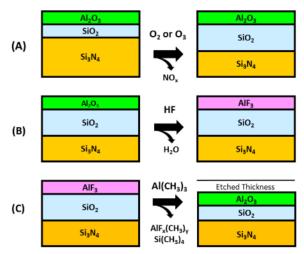


Figure 6. Schematic of  $Si_3N_4$  ALE using  $O_2$  or  $O_3$ , HF and Al(CH<sub>3</sub>)<sub>3</sub> as the reactants.

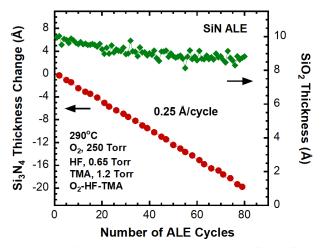


Figure 7.  $Si_3N_4$  thickness change and  $SiO_2$  film thickness versus number of  $Si_3N_4$  ALE cycles using  $O_2$ , HF and TMA as the reactants.

 $Si_3N_4$  thermal ALE was also conducted using  $O_3$  as the oxidation reactant. Under the same reaction conditions as the above  $Si_3N_4$  ALE thermal process using  $O_2$ , the  $O_3$  produced a higher  $Si_3N_4$  thermal ALE etch rate of 0.47 Å/cycle [4].

#### **Conclusions**

Thermal ALE should be useful in the processing of microelectronic materials. Thermal ALE is a gas phase process analogous to the reverse of ALD. Thermal ALE should be able to etch isotropically and conformally. This paper has highlighted the thermal ALE of Ga<sub>2</sub>O<sub>3</sub>, Si and Si<sub>3</sub>N<sub>4</sub>.

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