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2 Kostenki: Geography and Culture

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10 Introduction

Kostenki is the name of a village on the Don River 11 in the Russian Federation where more than 12 twenty open-air Paleolithic sites are known. Sev-13 eral more sites are found at the village of 14 Borshchevo, which is located about 5 km down-15 stream from Kostenki. The sites are assigned to 16 17 the Upper Paleolithic and yield skeletal remains of modern humans (Homo sapiens). Artifacts 18 were found in association with the remains of 19 20 extinct mammals at Kostenki in 1879 and were among the first discoveries of IceAge people in 21 Eastern Europe. By the 1930s, the sites at 22 23 Kostenki and Borshchevo had produced a rich record of middle and late Upper Paleolithic occu-24 pation, including large feature complexes with 25 traces of suspected dwelling structures. The exca-26 vation and study of the occupation floors had 27 a significant impact on theory and method in 28 archaeology during the Soviet period. In the 29 years following the Second World War, substan-30 tial evidence of early Upper Paleolithic 31

occupation was discovered at Kostenki, adding ³² another important dimension to these sites. The ³³ recent discovery that several sites contain occu- ³⁴ pations that underlie a 40,000-year-old volcanic ³⁵ ash provided evidence of the earliest known ³⁶ Upper Paleolithic remains in Eastern Europe. ³⁷ Field research continues today at Kostenki and ³⁸ Borshchevo, and the results continue to have an ³⁹ impact on world archaeology. ⁴⁰

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Definition

Kostenki is located on the Middle Don River near 42 the city of Voronezh in the Russian Federation at 43 51° 40' North and 39° 10' East. The village lies on 44 the west bank of the river and the eastern margin 45 of the Central Russian Upland at an elevation of 46 approximately 125 m above mean sea level. The 47 village of Borshchevo is situated several kilometers southeast of Kostenki. The area is within the 49 modern forest-steppe zone and experiences 50 a continental climate with mean July and January 51 temperatures of 19 °C and -8 °C, respectively. 52 Precipitation averages 520 mm per year. 53

A total of 21 stratified Upper Paleolithic openair sites have been investigated at Kostenki, and 55 five or more sites have been discovered at 56 Borshchevo. Although several sites are found in 57 the main valley, most are situated at the mouths 58 or in the upper courses of large side-valley 59 ravines that are incised into the high west bank 60 of the Don River. Springs are active today in the 61 ravines, and primary carbonate deposits in the 62

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sites indicate that they were active during Upper
Paleolithic times as well (Holliday et al. 2007:
217–219). The sites are found primarily on the
first (10–15 m) and second (15–20 m) terrace
levels (Lazukov 1982: 21–35).

Mammoth bones were known from Kostenki 68 centuries ago and evidently account for the name 69 of the village (kost' is the Russian word for bone), 70 but archaeological remains were first discovered 71 in 1879 (Klein 1969: 29). Major excavations 72 began in the 1920s and 1930s, and these were 73 focused primarily on middle and late Upper 74 Paleolithic occupations (especially the large 75 Eastern Gravettian component in Layer I at 76 Kostenki 1 (Efimenko 1958)). Early Upper Paleo-77 lithic remains were investigated in the lower 78 layers at Kostenki 1 and other localities prior to 79 World War II (e.g., Kostenki 6), but most 80 research on the early occupations was initiated 81 by A. N. Rogachev in the late 1940s (Rogachev 82 1957; Klein, 1969: 231-232). 83

The high west bank of the Don Valley, which 84 represents the eastern margin of the Central Rus-85 sian Upland, is composed of Cretaceous marl 86 (chalk) and sand (Lazukov 1982: 15-17). Upper 87 Paleolithic sites are buried in fill deposits of the 88 first and second terraces of the Don River. The 89 terraces are found in both the main valley and in 90 portions of the large side-valley ravines incised 91 into the west bank of the valley. The terraces are 92 composed of alluvium, which unconformably 93 overlies the pre-Quaternary units, capped with 94 a complex sequence of eolian, slope, and spring 95 96 deposits (Lazukov 1982: 15-22; Holliday et al. 2007: 182-184). 97

The uppermost alluvium is interstratified with 98 coarse slope deposits derived from the Creta-99 ceous bedrock (Lazukov 1982: 21). Above these 100 deposits lies a sequence of alternating thin lenses 101 of silt, carbonate, chalk fragments, and organic-102 rich loam (Holliday et al. 2007: 184-186). At 103 many localities, they are subdivided by the vol-104 canic tephra horizon, which has been identified as 105 the Campanian Ignimbrite (CI) Y5 tephra, 106 derived from an eruption in southern Italy and 107 108 dated to ca. 40,000 cal BP (Pyle et al. 2006; Anikovich et al. 2007). Traditionally, the lenses 109 below and above the tephra have been termed the 110

lower humic bed and upper humic bed, respec- 111 tively (Rogachev 1957; Klein 1969). The humic 112 beds apparently represent a complex interplay of 113 colluviation, spring deposition, and soil forma- 114 tion (Holliday et al. 2007). At some sites, more 115 typical soil profiles developed, including 116 a weakly developed soil (Gmelin soil) that 117 formed during the early stages of the LGM 118 (Last Glacial Maximum) and dates to ca. 119 26,000-25,000 cal BP. Above the Gmelin soil 120 lies loess-like loam of LGM age, which is capped 121 with the modern chernozem (Lazukov 1982; 122 Holliday et al. 2007: 219). 123

Key Issues/Current Debates/Future 124 Directions/Examples 125

The earliest occupation levels at Kostenki and 126 Borshchevo underlie the CI Y5 tephra and date 127 to 42,000-41,000 cal BP or older (Anikovich 128 et al. 2007; Hoffecker et al. 2008). There is continuing debate and discussion about the age and 130 cultural affiliation of these occupation levels. In 131 the early 1950s, P. I. Boriskovskii (1963) exca-132 vated a level below the tephra at Kostenki 17 133 (Layer II), which yielded burins, large retouched 134 blades, end scrapers, and microblades. Other 135 items included bone awls and point fragments 136 and various ornaments. A similar assemblage 137 was recovered from below the tephra level at 138 Kostenki 12 (Layer II). These assemblages have 139 traditionally been labeled as a local early Upper 140 Paleolithic industry without clear links to others 141 in Western or Eastern Europe (Spitsyn culture). 142

A somewhat different assemblage has been 143 found below the CI tephra in the lowest level 144 (Layer IVb) at Kostenki 14 containing bladelets, 145 burins, end scrapers, and several bifaces; non-stone 146 artifacts include antler mattocks, bone points, 147 perforated shells, and a carved ivory piece that 148 may represent the head and neck of a (unfinished) 149 human figurine (Hoffecker et al. 2008). 150

Several strikingly different assemblages have 151 been excavated from below the tephra at 152 Kostenki 6, Kostenki 12 (Layer III), and other 153 sites. These occupations contain end scrapers and 154 Middle Paleolithic flake tool types, such as 155

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sidescrapers, small bifaces, and triangular points; 156 non-stone tools, ornaments, and art are totally 157 absent (Rogachev 1957; Praslov & Rogachev 158 1982; Anikovich et al. 2008). Traditionally, they 159 have been assigned to an East European industry 160 known as the Strelets culture (Anikovich et al. 161 2007: 236–240). An alternative view is that these 162 assemblages, which are often associated with 163 evidence for killing and butchering large mam-164 mals (primarily horse, mammoth, and reindeer), 165 represent a functional subset of the other industry 166 (i.e., kill-butchery tools and weapons) (Hoffecker 167 et al. 2010). 168

Human skeletal remains in these layers are 169 confined to a third molar from Kostenki 17, 170 Layer II and the crown of a deciduous tooth 171 from Kostenki 14, Layer IVb. Both are tenta-172 tively assigned to modern humans, which are 173 widely assumed to have produced all of the arti-174 facts below the CI tephra at Kostenki and 175 Borshchevo (Gerasimova et al. 2007). 176

Less controversy surrounds the classification 177 of assemblages that lie above the CI tephra, but 178 below loess-like loams deposited during the 179 LGM, and date to the later phases of the early 180 Upper Paleolithic. At Kostenki 1, Layer III con-181 tains an artifact assemblage widely classified as 182 Aurignacian and comprising large blades with 183 retouch, carinate scrapers, scalar backed 184 bladelets, and other diagnostic items (Anikovich 185 et al. 2007: 228-233; Anikovich et al. 2008). An 186 older Aurignacian assemblage is associated with 187 the tephra layer at Kostenki 14 (Sinitsyn 2003). 188

Another group of artifact assemblages dating 189 to this interval contains a high proportion of end 190 scrapers, as well as typical Middle Paleolithic 191 forms (e.g., sidescrapers, points), and a varied 192 assortment of bone artifacts. Among the bone 193 artifacts are diagnostic "shovels" and the oldest 194 known eyed needles. These assemblages are 195 found in the upper portion of the upper humic 196 bed at Kostenki 14 (Layer II) and the lower por-197 tion of the upper humic bed at Kostenki 15 198 (Praslov & Rogachev 1982); both are associated 199 with evidence for the killing and butchering of 200 201 a group of horses (Equus latipes) (Hoffecker et al. 2010). A similar assemblage is thought to be 202 deposited with the Streletskaya assemblage in 203

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Layer I at Kostenki 12, and the assemblage in 204 the lower part of the upper humic bed at Kostenki 205 14 (Layer III) is sometimes considered part of this 206 group (Praslov & Rogachev 1982). These assem-207 blages are assigned to the *Gorodtsov culture* 208 (Efimenko 1958; Rogachev 1957), which is 209 recognized at several other East European sites 210 (e.g., Mira in the Dnepr Valley) but unknown 211 in Western and Central Europe (Anikovich 212 et al. 2007: 248–265). 213

Skeletal remains assigned to modern humans 214 are associated with these assemblages at 215 Kostenki 15, which yielded the partial skeleton 216 of a child in a burial pit, and at Kostenki 12, Layer 217 I (Gerasimova et al. 2007: 102–105). A complete 218 modern human skeleton also was excavated from 219 a burial pit in Layer III at Kostenki 14 (Rogachev 220 1957); although mid-Holocene dates on the 221 human bone were reported several years ago, 222 the most recent date is more than 30,000 cal BP 223 and consistent with the stratigraphic context of 224 the upper humic bed. Analysis of ancient DNA 225 from this skeleton indicates that it belongs to 226 mtDNA haplogroup U2 (Krause et al. 2010). 227

At Kostenki 11 (Layer V) and Kostenki 12 228 (Layer Ia), the lower upper humic bed contains 229 assemblages with diagnostic triangular bifacial 230 points, typical Middle Paleolithic artifact forms 231 (points and sidescrapers), and also some end 232 scrapers and burins; non-stone artifacts are 233 absent. Similar artifacts are found in the upper 234 portion of the upper humic bed at Kostenki 12 235 (Layer I). Traditionally, these assemblages have 236 been assigned to a younger phase of the *Strelets* 237 *culture* (Anikovich et al. 2007: 236–248; 238 Anikovich et al. 2008); they also have been 239 interpreted as functional variants related to large 240 mammal kill-butchery (Hoffecker et al. 2010). 241

Yet another industry is represented in the 242 upper portion of the upper humic bed at Kostenki 243 8 (Layer II). This assemblage is dominated by 244 backed bladelets and points and is widely 245 considered an early form of the *Gravettian* 246 *technocomplex*, other sites of which are common 247 above the upper humic bed and its stratigraphic 248 equivalents in Eastern Europe (Anikovich et al. 249 2007: 233–236; Anikovich et al. 2008). 250 Κ 4

Associated human remains at Kostenki 8 include 251 skull fragments (Gerasimova et al. 2007: 90-91). 252 The archaeological remains for which 253 Kostenki is most famous are those of the Eastern 254 Gravettian (middle Upper Paleolithic) dating to 255 the early phase of the LGM (~25,000 cal BP). 256 They include, most notably, the large feature 257 complexes at Kostenki 1, Layer I, and associated 258 remains at the nearby localities of Kostenki 13 259 and 18 on the north side of the mouth of 260 Pokrovskii Ravine (Efimenko 1958; Praslov & 261 Rogachev 1982; Anikovich et al. 2008). The fea-262 ture complexes comprise a linear arrangement of 263 hearths surrounded by pits of varying size that 264 contain large mammal bones and artifacts. Diag-265 nostic artifacts include "Kostenki points," 266 "Kostenki knives," and examples of "Venus fig-267 urines" carved in ivory and marl. The assem-268 blages also contain burins, end scrapers, 269 microblades, and a variety of bone and ivory 270 implements. As in Central Europe, there is evi-271 dence for Gravettian fired ceramic technology 272 (Praslov & Rogachev 1982). The assemblages 273 are similar to those of comparable age (and asso-274 ciated with similar feature complexes) at 275 Avdeevo and Zaraisk, and sometimes placed in 276 a local Kostenki culture within the broader East-277 ern Gravettian entity (Anikovich et al. 2008). 278 Faunal remains associated with the Eastern Cross-References 279 280

Gravettian occupations at Kostenki are dominated by mammoth and smaller fur-bearing mam-281 mals (wolf, fox, and hare). Many of the mammoth 282 bones and tusks are weathered, and these may 283 have been collected from natural occurrences 284 for use in construction of dwellings and other 285 structures or for raw material. Most of the fuel 286 at these sites appears to have been bone (trees 287 were either scarce or absent during this interval), 288 and many of the remains of large mammals 289 hunted by their occupants may have been con-290 sumed in the hearths. At least some of the pits 291 may have been dug to the permafrost level during 292 warmer months and used like Inuit "ice cellars" 293 to keep the bone fresh and flammable. 294

There appears to be a hiatus in settlement at 295 296 Kostenki during the cold peak of the LGM (roughly 23,000-22,000 cal BP). Later Upper 297

Paleolithic occupations include examples of 298 oval mammoth bone houses similar to those of 299 comparable age in the Dnepr-Desna Basin (e.g., 300 Mezhirich) at Kostenki 2, Kostenki 11 (Layer Ia), 301 and probably Borshchevo 1 (on the first terrace 302 level) (Praslov & Rogachev 1982). These occu- 303 pation levels date to the interval following the 304 LGM cold maximum, before the end of the Pleis- 305 tocene (19,000-14,000 cal BP), as do the more 306 widespread mammoth-bone houses in the Dnepr- 307 Desna Basin, although several investigators 308 believe that they are older (e.g., Lazukov 1982). 309

At Kostenki 11, the mammoth-bone structure 310 has been left in situ on the excavated floor of 311 Layer Ia; a portion of the exposed excavation is 312 enclosed in a museum building at the south end of 313 the village of Kostenki. The collapsed mammoth- 314 bone structure measures 7-8 m in diameter and is 315 composed primarily of mandibles, scapulae, pel- 316 ves, and long bones. A minimum of 36 individual 317 mammoths are represented. Around the former 318 dwelling, to the north, west, and south, are large 319 pits, filled chiefly with bone debris. At Kostenki 320 2, a bone-lined pit burial is associated with the 321 mammoth-bone dwelling structure (Praslov & 322 Rogachev 1982; Anikovich et al. 2008). 323

Europe, Early Upper Paleolithic in	
► Europe, Prehistoric Art in	
European Middle-to-Upper Paleolithic	
Transitional Industries	328
► Geoarchaeology	329
▶ Holliday, Vance	330
Homo Sapiens	331
Human Evolution, Genetic Study of	332
Human Remains in Museums	333
Macphail, Richard	334
Marxist Archaeology	335
► Paleolithic Art	
Paleolithic Bone Tools	
Russian Federation, Museums of the	
Site Formation Processes	
► Social Archaeology	

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