# **University Distinctions in Research Partnerships**

CU Boulder has a long history of building mutually beneficial partnerships with industry. Our successful collaborations start with understanding fundamental contracting distinctions of universities.



# **Intellectual Property (IP)**

CU Boulder views Sponsored Research Agreements as an opportunity for a new commercialization partnership. The university offers a variety of mechanisms through which the sponsoring company can acquire IP rights related to the funded project, including the following popular options:

- The sponsoring company receives an option to negotiate an exclusive license for project IP;
- In addition to the option rights above, the sponsoring company receives a nonexclusive, royaltyfree license to project IP for an upfront fee: or
- For any project IP and any background IP, a set of pre-negotiated license terms are set upfront, to be elected by the sponsoring company in the later event of project IP being created.

The best fit for both sides will depend on project specifics and strategic aims, and CU Boulder is committed to working with our industry partners to find the optimal IP arrangement.

### **Publication Restrictions**

The academic mission requires research be published. CU Boulder also supports the need for delays of publication to give both industry sponsors and the university adequate time to protect patent or other proprietary rights.

The University can provide the Sponsor with a copy of any proposed publication thirty (30) days prior to submission for publication for review and comment.

The sponsor may request a delay in publishing for a maximum of an additional sixty (60) days in order to protect the potential patentability of any invention described therein.





# **Export Control Exclusions**

The International Traffic in Arms Regulations (ITAR) and the Export Administration Regulations (EAR) recognize important exemptions and exclusions to the Export Control Laws for academic institutions.

**Fundamental Research Exclusion (FRE)** - Information from "fundamental research" is excluded from export control laws. It is the University's policy, whenever possible, to conduct its research activities under the Fundamental Research Exclusion.

**Public Domain Exclusion** - Both the ITAR and the EAR exclude any information that is already in the public domain from their export licensing requirements.

**Educational Information Exclusion** - Both the ITAR and the EAR recognize exclusions for educational information that has become part of the public domain through academic instruction, including information released in catalog courses, associated teaching laboratories or general scientific, mathematical, or engineering principles commonly taught at the university level.



## **Common Terms and Conditions**

#### Choice of Law/ Governing Law

- · State of Colorado or may remain silent
- Must abide by Colorado Governmental Immunity Act

#### **Confidential/ Proprietary Information**

Reduction to writing and marked appropriately

#### **Cost and Pricing Data**

- Overhead rate (e.g. indirect costs, F&A) is federally negotiated
- Labor proposed as percentage effort, not labor rates
- Certify percentage of effort; Unable to certify hourly rates

#### **Foreign Nationals**

- Participants not US citizens or US legal permanent residents
- Restrictions on personnel cause additional administrative requirements

#### Indemnification

- Unable to pledge the full faith and credit of the state
- Responsible for own acts and omissions

#### **Non-Disclosure**

- Scoped to relevant project personnel or event not the entire university
- Limit discussion period to 1 years
- Limit duty of confidentiality to 3 years

#### **Cybersecurity Requirements**

- Scoped and evaluated per project
- Compliant with NIST SP 800-171

## **Contract Engagement Types**

#### **Funded**

- Master Research Agreements
- Sponsored Research Agreements
- Service Agreeements
- Purchase Orders
- Subcontracts

#### Non-Funded

- Bailment Agreements
- Collaboration Agreements
- Data-Use Agreements
- Material Transfer Agreements
- Non-Disclosure Agreements
- Software Usage Agreements
- Teaming Agreements

# **Contact Information**



**Abby Benson**Assistant Vice Chancellor for Research & Innovation abby.benson@colorado.edu 303-492-3703



**Gary Henry**Director of Contracts
gary.henry@colorado.edu
303-735-8905

