

Emergence of a few distinct structures from a single formal structure type during high-throughput screening for stable compounds: The case of RbCuS and RbCuSe

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Theoretical sorting of stable and synthesizable “missing compounds” from those that are unstable is a crucial step in the discovery of previously unknown functional materials. This active research area often involves high-throughput (HT) examination of the total energy of a given compound in a list of candidate formal structure types (FSTs), searching for those with the lowest energy within that list. While it is well appreciated that local relaxation methods based on a fixed list of structure types can lead to inaccurate geometries, this approach is widely used in HT studies because it produces answers faster than global optimization methods (that vary lattice vectors and atomic positions without local restrictions). We find, however, a different failure mode of the HT protocol: specific crystallographic classes of formal structure types each correspond to a series of chemically distinct “daughter structure types” (DSTs) that have the same space group but possess totally different local bonding configurations, including coordination types. Failure to include such DSTs in the fixed list of examined candidate structures used in contemporary high-throughput approaches can lead to qualitative misidentification of the stable bonding pattern, not just quantitative inaccuracies. In this work, we (i) clarify the understanding of the general DST-FST relationship, thus improving current discovery HT approaches, (ii) illustrate this failure mode for RbCuS and RbCuSe (the latter being a yet unreported compound and is predicted here) by developing a synthesis method and accelerated crystal-structure determination, and (iii) apply the genetic-algorithm-based global space-group optimization (GSGO) approach which is not vulnerable to the failure mode of HT searches of fixed lists, demonstrating a correct identification of the stable DST. The broad impact of items (i)–(iii) lies in the demonstrated predictive ability of a more comprehensive search strategy than what is currently used—use HT calculations as the preliminary broad screening followed by unbiased GSGO of the final candidates.

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I. INTRODUCTION

The quest for new materials with important functionalities has stimulated the application of *ab initio* thermodynamics to predict the stability and structure of a series of compounds, given their chemical composition. This is illustrated in Fig. 1(a) for the I-I-VI ABX compounds, showing that along with documented compounds (indicated by check marks), there are many unreported ones (indicated by question marks). Finding out whether a compound exists with a given composition, e.g., $A_p B_q X_r$, first requires a prediction of the lowest-energy crystal structure at that composition; second, one has to verify if the $A_p B_q X_r$ lowest-energy structure is energetically more favorable than any of the possible phase decompositions [1–3] into the pure A , B , and X elements and the binary or ternary compounds they form.

To illustrate this, we show in Fig. 1(b) the *ab initio* total-energy predictions of the stable and unstable I-I-VI ABX s, assuming just the $C1_b$ structure as a possible competing phase. The “missing compounds” of Fig. 1(a) are thus sorted out in Fig. 1(b) into “missing-found-stable” (denoted by a + sign) or “missing-found-unstable” (denoted by a – sign). We see that using a single structure type, as in Refs. [4,5], suggests that most previously experimentally missing compounds are unstable, thus providing a rational explanation for their absence.

The obvious generalization of this procedure is to inspect $N \gg 1$ different candidate structure prototypes, i.e., a longer, yet fixed list of structure types. The application of this more general screening method to the I-I-VI ABX compounds is illustrated in Fig. 1(c), where we examine a series of candidate structures (a subset of which is depicted in Fig. 2), i.e., we select the lowest-energy structure of the candidate ternary phases (as illustrated by the ladder diagram in Fig. 3), and then assure this is also stable with respect to decomposition into any combination of unary, binary, and ternary competing phases. When this protocol is applied to ABX compounds that were previously synthesized, we invariably predict the observed structure as the stable phase [6]. We see from Fig. 1(c) that the vast majority of missing compounds predicted to be “unstable” when a single structure type was considered [Fig. 1(b)] are, in fact, false negative predictions; these compounds turn out to be mostly stable when more structure types are allowed [Fig. 1(c)]. Specifically, RbCuS and RbCuSe are predicted to be stable in Fig. 1(c).

It is well appreciated that local relaxation methods based on a fixed list of structure types can lead to inaccurate geometries. Yet, precisely this approach is widely used in high-throughput studies such as those summarized in Figs. 1(b) and 1(c), with the reason often being that it produces answers faster than global optimization methods, which vary the lattice vectors and atomic positions without local restrictions. Here, we find a different failure mode of the high-throughput (HT) protocol: specific crystallographic classes of “formal

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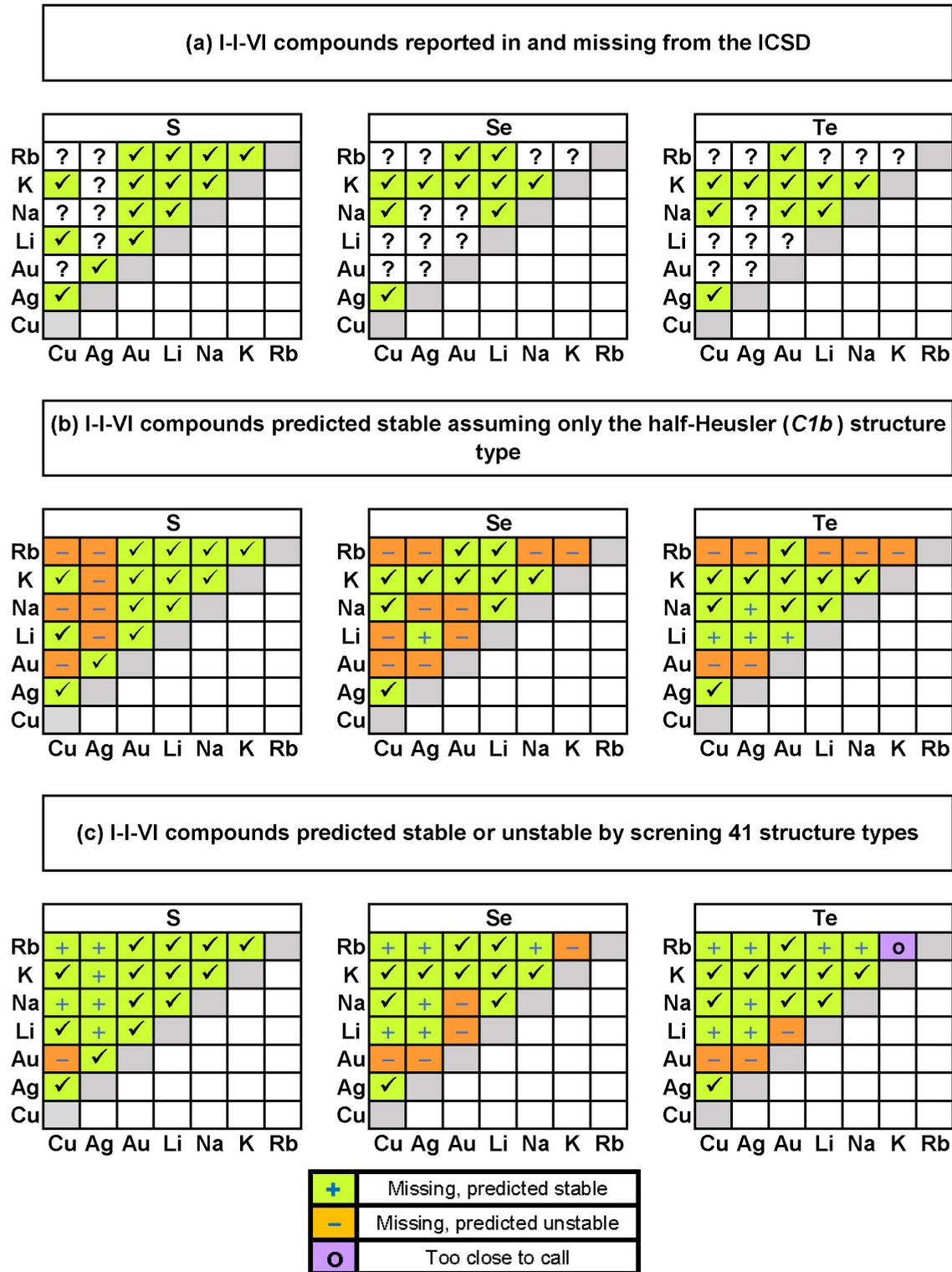


FIG. 1. (Color online) (a) The I-I-VI ABX compounds that are reported in the ICSD [16] are shown by check marks, while the question marks indicate the compounds in this family that are missing from the ICSD. Note that $RbCuS$ is missing from the current release of the ICSD [23], but was initially reported in Refs. [26,27]. (b) I-I-VI compounds predicted by generalized gradient approximation (GGA) formation energy calculations to be stable or unstable assuming only the $C1_b$ structure (see the Supplemental Material [25] for details). The + and - signs indicate missing materials predicted to be, respectively, thermodynamically stable and unstable in the $C1_b$ structure. (c) I-I-VI ABX compounds predicted stable or unstable in Ref. [1] in which the lowest-energy structure for a missing compound was determined by screening 41 ABX structure types. As discussed in Ref. [1], $LiCuS$ [48] and $KAgTe$ [49] were synthesized before but are not listed in the ICSD database [16], and therefore thermodynamics stability calculations were performed on them in Ref. [1] and they were found to be stable and, thus, included as plus signs in Fig. 4 in Ref. [1].

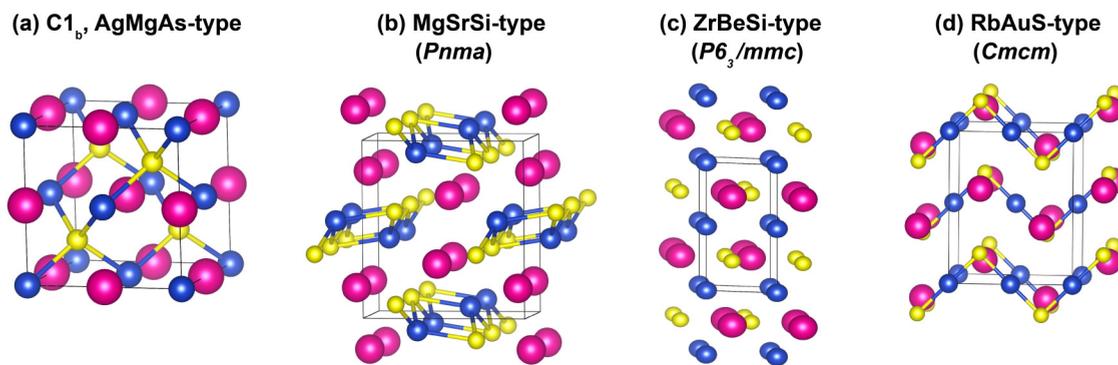


FIG. 2. (Color online) Crystal-structure model of the formal structure types whose total energy was calculated by full local structure relaxation and shown in Fig. 3.

structure types” (FSTs) each correspond to a series of chemically distinct “daughter structure types” (DSTs) that have the same space group but possess totally different local bonding configurations, including coordination types. Failure to include such DSTs in the fixed list of examined candidate structures used in contemporary HT approaches can lead to qualitative misidentification of the stable bonding pattern, not just quantitative inaccuracies. This significant “navigation error” is avoided if one starts with randomly guessed lattice vectors and atom positions and applies a global search method not confined to the local neighborhood of the initial guesses. This type of search produces RbCuS and RbCuSe structures [Fig. 4(c)] that agree with the experimentally observed ones also in the local bonding configurations [Fig. 4(a)].

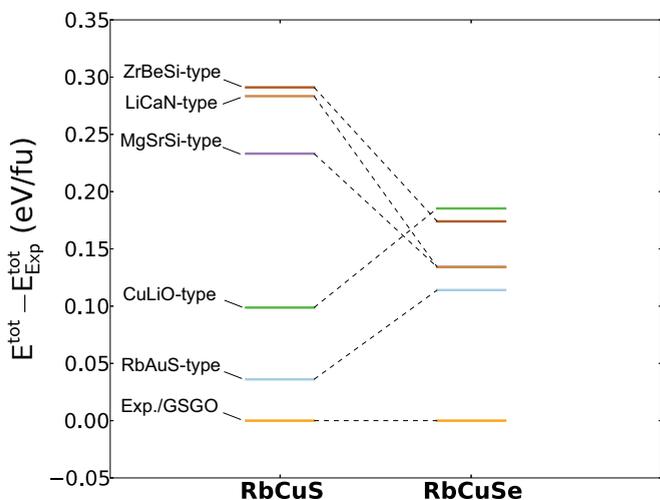


FIG. 3. (Color online) Results of the high-throughput calculations on RbCuS and RbCuSe performed using a subset of the structure types that were used in Ref. [1], specifically we used the structure types predicted for the I-I-VI compounds. The cell-internal and lattice vector degrees of freedom were fully relaxed to the nearest local total-energy minimum. As a starting point for each local total-energy minimization, we took the atom coordinates of the associated prototype solid after which each structure type is named. The total energies refer to the experimentally observed structures, which for both RbCuS and RbCuSe correspond to the structure predicted by global space-group optimization (GSGO).

The significant findings of this work are as follows: (i) We clarify the understanding of the general DST-FST relationship, thus improving current discovery HT approaches. The realization that the same “formal” structure type can conceal multiple daughter structures with different local atomic coordination and environments is important because many interesting physical properties are determined mainly by the bonding configurations and local symmetry, e.g., topological insulators, ferroelectricity, magnetism, etc. (ii) We illustrate this failure for RbCuS and RbCuSe (the latter being a yet unreported compound that we predict to exist) by developing a synthesis method and accelerated crystal-structure determination. (iii) We apply the evolutionary-algorithm-based global space-group optimization (GSGO) approach, which is not vulnerable to the failure mode of HT searches of fixed lists, demonstrating a correct identification of the stable DST. The broad impact of items (i)–(iii) lies in the demonstrated understanding of a different strategy—use HT as a preliminary broad screening followed by unbiased global space-group optimization of the final candidates.

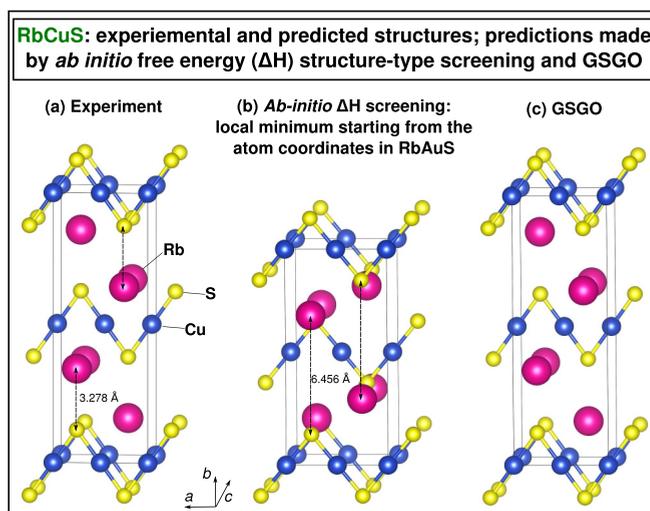


FIG. 4. (Color online) Three-dimensional models depicting, for RbCuS, the (a) experimental structure, (b) local minimum structure found starting the structural relaxation from the coordinated atom of RbAuS, and (c) GSGO structure. The crystallographic parameters for these three structures are reported in Tables II and III.

II. SCREENING MATERIALS FROM A FIXED LIST OF FORMAL STRUCTURE TYPES (FSTS) IN “HIGH-THROUGHPUT” APPROACHES

A structure type is formally defined [7] by its space-group symmetry, set of occupied Wyckoff positions, and chemical formula. Two structures that coincide with respect to these descriptors are deemed isotypical [7,8]. This is the classic definition used by Ewald and Hermann[8] in the *Strukturbericht* to classify the crystal structures of solids, and we will refer to the so-defined structure type as a formal structure type (FST). The FST construct provides the information needed to specify the topology of the periodic atom packing and bonding network in the crystal only if the coordinates of the occupied Wyckoff positions in the FST are constant numbers mandated by the symmetry operations of each Wyckoff orbit. The conventional fixed-list high-throughput screening approach [9–11] consists of the following three steps:

(i) In step 1, one selects a pool of candidate formal structure types. The common hypothesis made is that a new compound in a chemical family of systems of interest, e.g., ABX , will likely adopt one of the structure prototypes previously observed in compounds belonging to that chemical family. This hypothesis stems from the empirical observation that the compounds observed in a given chemical family (e.g., ABX , ABX_2 , ABX_3 , etc.) cluster in a finite and usually small number of subgroups, each corresponding to a distinct structure prototype. Now, in some structures (as in the case of $C1_b$; see Table I), the Cartesian coordinates of a Wyckoff site are given by pure numbers mandated by space-group operations. However, in other structure types, the Wyckoff sites are specified by (u, v, w) parameters, some of which are not decided by symmetry (as in the compounds RbAuS and MgSrSi; see Table I).

(ii) In step 2, the initial numerical values of the atomic positions in the case of symmetry-unconstrained Wyckoff coordinates are taken from an experimentally known (but often chemically different) compound. The atoms in such representative solids are replaced by the atoms of the target

TABLE I. Definition of the formal structure types (FSTs) that have RbAuS and MgSrSi as representative compounds. The values listed for the symmetry-unconstrained fractional coordinates are those experimentally observed for the representative materials and used as a starting point for the local energy minimization.

Selected ABX structure prototypes			
Formal structure type (Space group)	Species	Wyckoff site	Coordinate
$C1_b$ ($F\bar{4}3m$)	A	$4a$	$(0,0,0)$
	B	$4c$	$(\frac{1}{4}, \frac{1}{4}, \frac{1}{4})$
	X	$4b$	$(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$
RbAuS type ($Cmcm$)	A	$4c$	$(0, v_A, \frac{1}{4})$
	B	$4a$	$(0,0,0)$
	X	$4c$	$(0, v_X, \frac{1}{4})$
MgSrSi type ($Pnma$)	A	$4c$	$(u_A, \frac{1}{4}, w_A)$
	B	$4c$	$(u_B, \frac{1}{4}, w_B)$
	X	$4c$	$(u_X, \frac{1}{4}, w_X)$

compound of interest. For instance, the atomic coordinates and lattice parameters of RbAuS are used as a guess for the hypothetical compound RbCuS even though the lattice parameters and distances can be very different in Cu vs Au compounds.

(iii) Finally, in step 3, total energy and force minimization (see Ihm *et al.* [12]) are applied to the target compound in each structure, searching for the minimum in the Born-Oppenheimer (BO) surface starting from the realization given by whatever similar compound is experimentally known. In doing so, one hopes that the final structure that is predicted will depend neither on the initial choice of the FSTs in step (i) nor on the initial atomic parameters guessed in step (ii) above.

However, as will be shown here, the BO surface of a FST may have several local minima (daughter structure types, DSTs) that are different from each other by significant crystal-structure features (e.g., the local atomic coordination around atoms), yet all belong to the same FST. For example, Liu and Corbett [13] identified different local crystal coordination in CaSrSi and CoSi₂ that have the same structure type. In the present study, we identify the general circumstances that cause such a splitting of a formal structure type into different daughter structures and use this understanding to point out potential pitfalls in the high-throughput protocol for material discovery which uses only a list of FSTs. We point out that when at least some of the atom coordinates (u, v, w) in the unit cells are not decided by symmetry, then using values taken from a different material as the initial guess of the local energy minimization may land in a different DST than the correct one. Reports of isostructural transitions in crystalline solids, i.e., where not only the space group but also the set of occupied Wyckoff positions does not change, are, in fact, rare. One of the few cases we were able to find is that of the isosymmetric transition in BiFeO₃ under biaxial strain (see Schlom *et al.* [14]). Other cases are reviewed by Christy [15]. So, RbCuS and RbCuSe provide examples of rarely observed isosymmetrical polytypes.

Here, we examine the theoretical predictions of the stable structures for RbCuS and RbCuSe, of which RbCuSe is so far unreported. Using the standard high-throughput three-step protocol explained above with 41 structure types and initial values for the symmetry-unconstrained coordinates taken from prototype materials listed in the Inorganic Crystal-Structure Data (ICSD) [16] (see Fig. 3), we predict that the lowest-energy structure of RbCuS and RbCuSe belongs to a FST with the same space group ($Cmcm$) and set of Wyckoff positions as RbAuS [shown in Fig. 2(d)]. Subsequent synthesis of these compounds, described in Sec. V below, confirmed the formation of RbCuS and RbCuSe in the predicted RbAuS-type structure shown in Fig. 4(b) with the $Cmcm$ space-group symmetry and the $4c$ and $4a$ Wyckoff atomic positions. However, the experimental structure shown in Fig. 4(a) has qualitatively different local coordination environments than the structure of Fig. 4(b) predicted by local minimization starting from the lattice of RbAuS. The differences are apparent by comparing Figs. 4(a) and 4(b). The correct prediction [Fig. 4(c)] is provided by the global space-group optimization (GSGO) approach that starts from randomly selected lattice structures and explores the phase space of the system by an evolutionary (e.g., genetic) algorithm.

III. THE GROUP OF MATERIALS CONSIDERED AND ITS "MISSING MEMBERS"

The I-I-VI compounds [see Fig. 1(a)] based on two monovalent and one exavalent species form a rich group of solids that can be thought of as (formally) derived from the well-known octet [17,18] binary II-VI semiconductors with the zinc-blende structure by splitting the column II cation into two monovalent cations, either from column IA or IB of the periodic table. The zinc-blende structure, in principle, can accommodate both cations by stuffing the extra cation in the $(1/2, 1/2, 1/2)$ vacancy site [1,19–22], after the $(0, 0, 0)$ and $(1/4, 1/4, 1/4)$ sites along the diagonal of the cubic cell, thus obtaining the so-called filled tetrahedral structures [17], i.e., the half-Heuseler alloy ($C1_b$) structure depicted in Fig. 2(a).

$C1_b$ is only one of the numerous structure types in which the I-I-VI compounds can form and some of the frequently recurring structure types are depicted in Fig. 2. Most notably, while several I-I-VI compounds have been previously synthesized [check marks in Fig. 1(a)], there are numerous missing compounds that are unreported members in this group [question marks in Fig. 1(a)] as well as in several other ABX groups. We focus on the $RbCuX^{VI}$ compounds (shown in the upper left corner of each square in Fig. 1) and specifically on those with $X^{VI} = S$ and Se, which are not reported in the ICSD [23], while $RbCuO$ has been synthesized and forms [24] in a structure analogous to that of $CuLiO$.

IV. HIGH-THROUGHPUT PREDICTION OF THE STRUCTURES OF $RbCuS$ AND $RbCuSe$

In Ref. [1], the structures of the missing I-I-VI compounds were predicted by the procedure described in the previous section, screening 41 structure prototypes. The compounds that are predicted to be stable are summarized in Fig. 1(c). Here, we revisit (and revise) the predictions of the lowest-energy structure for $RbCuS$ and $RbCuSe$ by performing *ab initio* local total-energy minimization calculations with stricter convergence criteria (see the Supplemental Material [25] for details). We consider a subset of the 41 prototypes used in Ref. [1] (namely, a selection of the lowest-energy structures that were predicted in that work for the I-I-VI systems) as the starting trial structures and relax them to the local energy minimum. The ladder diagram of Fig. 3 shows the total energies of $RbCuS$ and $RbCuSe$ for the fully relaxed structures of the considered prototypes. Each level is associated to a local energy-minimum structure and is labeled after the name of the known compound whose experimental structure was used as the starting point of the local minimization. For instance, the $CuLiO$ -type minimum is obtained substituting Rb on Li and S on the O sites in the experimental structure of $CuLiO$ and then doing a full relaxation.

We find that the $RbAuS$ -type structure (see Table I) is the lowest-energy one both for $RbCuS$ and $RbCuSe$. The $CuLiO$ type is the prototype next in energy, at about 0.06 eV/f.u., from the $RbAuS$ -type structure, followed by the $MgSrSi$ -, $LiCaN$ -, and $ZrBeSi$ -type structures. $MgSrSi$ and $LiCaN$ have structures with the same space-group symmetry and set of Wyckoff sites, and therefore correspond to the same formal structure type. However, they have different numerical values of the Wyckoff coordinates and lattice parameters, thus exhibiting

TABLE II. Crystallographic parameters (space-group symmetry and lattice parameters) of $RbCuS$ for the following structures: (a) the experimental structure measured by single-crystal x-ray diffraction; (b) the $RbAuS$ -type local minimum structure obtained performing a full relaxation of $RbCuS$ starting from the $RbAuS$ atom positions and lattice vectors and substituting Au with Cu; and (c) the structure found by GSGO and shown in Fig. 4(c).

	Exp.	Relaxed $RbAuS$ -type structure	GSGO
Space group (No.)	$Cmcm$ (63)	$Cmcm$ (63)	$Cmcm$ (63)
Pearson symbol	$oC12$	$oC12$	$oC12$
a (Å)	4.9007	6.6106	5.1080
b (Å)	14.4778	11.7182	14.5751
c (Å)	5.0067	5.4684	5.0577

qualitatively different bonding and coordination environments and making them two different starting configurations for the local energy minimization of $RbCuS$ and $RbCuSe$. Interestingly, in the case of $RbCuSe$, the $LiCaN$ -type and $MgSrSi$ -type structures are degenerate after full local relaxation. Instead, in the case of $RbCuS$, the $MgSrSi$ -type and $LiCaN$ -type relaxed structures correspond to two different and nondegenerate atom arrangements, which are therefore distinct daughter structure types of the same FST. The structure we identify as the lowest-energy one both for $RbCuS$ and $RbCuSe$ is depicted for $RbCuS$ in Fig. 4(b) with the numerical lattice and atom coordinate parameters given in Tables II and III (analogous tables and figure are reported for $RbCuSe$ in the Supplemental Material [25]).

V. SYNTHESIS AND CRYSTAL-STRUCTURE REFINEMENT OF $RbCuS$ AND $RbCuSe$: REALIZING THAT THE EXPERIMENTAL AND HIGH-THROUGHPUT-PREDICTED STRUCTURES ARE DIFFERENT DAUGHTERS OF THE SAME FORMAL STRUCTURE TYPE

A synthesis of $RbCuS$ was initially reported in Ref. [26] with details on the preparation and observed crystal structure

TABLE III. Atom coordinates of $RbCuS$ for the following structures (see Table II for the corresponding lattice parameters): (a) the experimental structure measured by single-crystal x-ray diffraction; (b) the $RbAuS$ -type local minimum structure obtained performing a full relaxation of $RbCuS$ starting from the $RbAuS$ atom positions and lattice vectors and substituting Au with Cu; and (c) the structure found by GSGO and shown in Fig. 4(c).

	Species	Wyckoff site	x	y	z
Experiment	Rb	$4c$	0	0.65172	1/4
	Cu	$4a$	0	0	0
	S	$4c$	0	0.87818	1/4
Relaxed $RbAuS$ -type	Rb	$4c$	0	0.30641	1/4
	Cu	$4a$	0	0	0
	S	$4c$	0	0.85737	1/4
GSGO	Rb	$4c$	0	0.64873	1/4
	Cu	$4a$	0	0	0
	S	$4c$	0	0.879340	1/4

reported by Boller [27]. At the best of our knowledge there are, instead, no reports of the synthesis and structure of RbCuSe. Here, RbCuS and RbCuSe were synthesized via vacuum annealing of the reagents inside fused silica ampoules. Due to the air-sensitive nature of reagents and products, all preparation and characterization were done under a nitrogen atmosphere (see Supplemental Material [25] for details on the synthesis and structure characterization methods). The syntheses produced RbCuS and RbCuSe ternary compounds with the predicted 1:1:1 composition. Refinement of the measured single-crystal x-ray diffraction (XRD) patterns of RbCuS and RbCuSe showed that both compounds crystallize in a structure with the orthorhombic $Cmcm$ space-group symmetry with the Cu atoms at the $4a$ Wyckoff sites and the Rb and S (Se) atoms at the $4c$ Wyckoff sites. The measured unit-cell parameters and Wyckoff coordinates for RbCuS are reported, respectively, in Tables II and III (see the Supplemental Material [25] for the analogous structural information for RbCuSe).

The refined crystal structure of RbCuS is drawn in Fig. 4(a). The Cu and S atoms form zigzag planar chains running along the a direction, with the S atoms at the elbow sites in these chains. The Rb atoms lie in the plane defined by the Cu-S-Cu chains and are positioned 3.278 Å away from the S elbow sites. Figure 4(b) displays RbCuS in the RbAuS-type structure, which is the lowest-energy structure predicted by HT screening and obtained replacing Au with Cu in RbAuS and then fully relaxing to the local minimum (see Fig. 3). The experimental and the HT-predicted RbAuS-type structures are isotypical: both have $Cmcm$ space-group symmetry with the Rb and S atoms occupying the $4c$ sites while Cu occupies the $4a$ sites (see Table I). However, RbCuS in the RbAuS-type structure has remarkably different lattice parameters than in the experimental structure (see Table II). Indeed, the a parameter along the chain directions is 6.61 Å in the RbAuS-type structure vs 4.90 Å in the experimental structure; the b parameter, i.e., the distance between coplanar chains, is 11.71 Å in the RbAuS-type structure, which is much shorter than 14.47 Å for the b value in the experimental structure. Furthermore, in the RbAuS-type structure of RbCuS, the Rb-S distance is 6.456 Å, which is 3.178 Å longer than the 3.278 Å Rb-S distance in the experimental structure, and thus indicates a qualitatively different arrangement for the Rb atoms in the two structures. An analogous difference is found between the experimental and RbAuS-type structure predicted by HT screening for RbCuSe.

The experimental and RbAuS-type structures are different daughter structures of the same formal structure type and correspond to distinct minima on the Born-Oppenheimer surface. Indeed, the minimum found by *ab initio* relaxation of the RbCuS experimental structure is 0.04 eV/f.u. below the RbAuS-type minimum. In order to investigate the profile of the BO surface in the vicinity of these two local minima and in the region that separates them, we applied the solid-state nudged-elastic band method [28]. Figure 5 shows the minimum-energy reaction path connecting the two minima and consisting of a concerted switch in the height of the Rb atoms with respect to the S elbow atoms, occurring along with a change in the cell parameters. We find that the two local minima following this reaction path are separated by a barrier of 0.4 eV/f.u. When

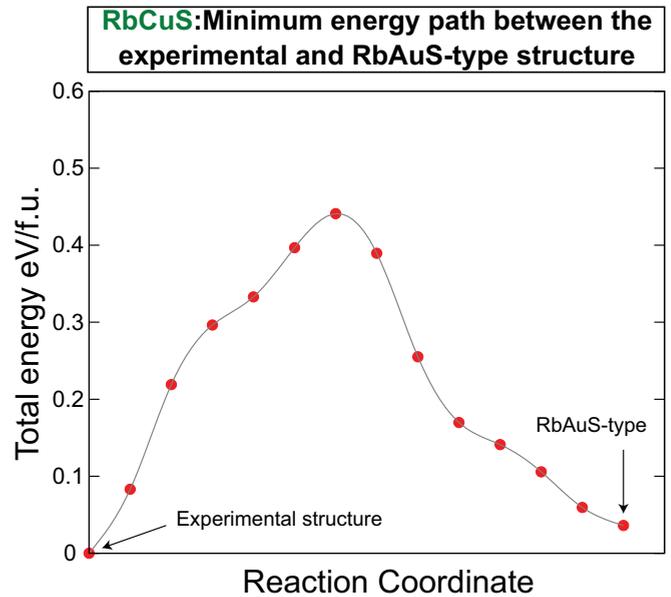


FIG. 5. (Color online) Minimum-energy path connecting the experimental structure of RbCuS and the local minimum-energy structure found for this compound by local energy minimization starting from the atom positions in RbAuS and substituting Au with Cu. The zero of the energy is set equal to the total energy of the *ab initio* relaxed experimental structure of RbCuS.

the local energy optimization of RbCuS starts from the atom positions copied from the experimental structure of RbAuS, one reaches the higher-energy RbAuS-type daughter structure type instead of the correct ground-state structure which is experimentally observed.

The present results on RbCuS and RbCuSe highlight the possibility that even though the correct FST is in the list of candidate prototypes examined by HT screening, the correct DST might be missed because of the unrealistic guess of the starting point for the local minimization. This issue originates from the fact that the crystal-structure prediction problem is inherently a *global optimization* problem that requires the application of an unbiased search method with a global view of the configuration space of the system to optimize. The HT search protocol is instead biased by the choice of the initial trial structures that, in fact, lock the screening into a few basins of attraction without the flexibility of exploring other possible basins on the BO surface of the solid of interest. In the next section, we will apply an unbiased, global optimization method to predict the crystal structure of RbCuS and RbCuSe.

VI. APPLICATION OF THE GLOBAL SPACE-GROUP OPTIMIZATION (GSGO) METHOD TO RbCuS AND RbCuSe: FINDING THE CORRECT DAUGHTER STRUCTURE TYPE

The prediction of the stable structure of an $A_p B_q X_r$ system requires one to search for the lattice vectors and atom positions of the global minimum of the total energy $E(\mathbf{x})$ as a function of the atom coordinates $\mathbf{x} = (\mathbf{r}_1, \dots, \mathbf{r}_{N_{at}})$. The total energy $E(\mathbf{x})$ of a solid is a highly nonlinear function [29,30] and, as a result of this, in general, it features multiple local minima that often

correspond to structures that might be quite different from that of the global energy minimum. Several global optimization methods are currently widely used to search for the lowest-energy structure of a solid by exploring, in an unbiased fashion, its Born-Oppenheimer surface calculated by density functional theory (DFT). These computational approaches include evolutionary algorithms [31–35], particle swarm optimization [36], and methods based on molecular dynamics, such as simulated annealing [37], minima hopping [38], and metadynamics [39]. Also, a search approach based on the local energy minimization of randomly generated structures [40] has been successfully applied to numerous materials.

Here, we apply the global space-group optimization (GSGO) [34,35] implementation of the evolutionary algorithm for crystal-structure prediction. The evolutionary search starts from a set of candidate structures generated by randomly selecting the lattice vectors and atom positions. The population of candidate structures is then evolved through a series of generations in which the higher-energy structures (e.g., 25% of the whole population) are discarded and substituted with new structures produced by the evolutionary operators of mutation and heredity, here consisting of a cut-and-splice crossover [33]. Each newly generated structure is fully relaxed to the closest local minimum of the total energy of the periodic system that is calculated by DFT. The fully relaxed structures are finally included in the next generation of the population (see, e.g., Refs. [35] for some applications). Since previous tests [1,3] of crystal-structure prediction by the two approaches of GSGO automatic search and screening of a range of formal structure types gave the same answers, the computationally more costly GSGO is not always used.

The GSGO algorithm predicts for RbCuS the structure shown in Fig. 4(c) (see the Supplemental Material [25] for the structure predicted by GSGO for RbCuSe). In order to determine the space group and set of Wyckoff positions in the GSGO structures, we used the FINDSYM [41] symmetry analysis algorithm. We find that the GSGO-predicted structures for RbCuS and RbCuSe have the $Cmcm$ space-group symmetry with the lattice parameters and Wyckoff positions reported in Tables II and III and in the Supplemental Material [25], respectively, for RbCuS and RbCuSe. The GSGO predicted and the experimental structures have virtually the same total energies when these are fully relaxed by DFT and are lower in energy than the local minimum RbAuS-type structure (see Fig. 3) found by prototype screening and shown in Fig. 4(c). The Rb, Cu, and X^{VI} atoms occupy the same Wyckoff orbits in the GSGO structures as in the experimental structures, and a visual inspection of the two structures confirms a perfect match between them.

VII. CONSEQUENCES OF THE EXISTENCE OF MULTIPLE DAUGHTER STRUCTURE TYPES ON MATERIALS DESIGN AND DISCOVERY

Our theoretical results on the RbCuS and RbCuSe compounds obtained from HT and GSGO calculations, along with the synthesis and experimental observations we performed, point to the fact that the same “formal” structure type can conceal “daughter” structures with different local atomic coordination and environments. This is an important fact

because many interesting functionalities and materials behaviors, e.g., topological insulators, ferroelectricity, nonlinear optical response, etc., are indeed determined mainly by the symmetry of the local structure. As pointed out above, the HT screening is locked in a few basins of attractions and is thus ill suited to explore the several basins of attractions on the BO surface that might correspond to distinct daughter structures. The exploration of the possible daughter structures requires instead the application of an unbiased and unconstrained global minimizer to search for the lowest-energy minimum on the Born-Oppenheimer surface of a solid system. It is interesting to observe that the HT method might miss the correct minimum even in “simple” systems in which there are no unconstrained internal degrees of freedom and that might show multiple total-energy minima as a function of the lattice parameter, as in the case of solid cerium.

The realization that a formal structure type does not uniquely define a local minimum (daughter) structure is also important in the context of the application of machine-learning methods to predict the stable structure prototype of a candidate compound out of a finite set of candidate formal structure prototypes. Examples of such machine-learning predictions of binary and ternary compounds are given by the application of a Bayesian prediction algorithm (see Refs. [42,43]) and principal component analysis to predict the most likely formal structure type for the stable compounds in binary and ternary systems. Reference [44] shows the application of probabilistic modeling to predict the likely chemical substitution on a given structure type to generate a stable compound. All of these predictions by machine-learning models do not take into account the possibility of daughter structure types, either because they consider only one given realization of a formal structure type.

Our study instead points to the need to explore the BO surface to identify possible daughter structure types. In the context of machine-learning compound predictions, this can be accomplished with machine-learning methods in which the candidate structures are represented by descriptors that are sensitive to the chemical environments and local coordination (see, e.g., Ref. [45]). Alternatively, the atom coordinates and lattice vectors could be directly used as structure descriptors, as in the generalized neural network functionals developed by Behler *et al.* [46,47] to model a BO energy surface.

VIII. DISCUSSION AND CONCLUSIONS

It is an interesting point to assess whether global optimization algorithms such as evolutionary algorithms and random search could be applied to predict the stable structure of a large set of materials. With the current petaflop high-performance computing (HPC) platforms, i.e., calculation campaigns using the evolutionary method or the random search approach [for instance, in its *ab initio* random structure searching (AIRSS) [40] formulation] on 10^2 or even 10^3 , compounds are possible. On the exascale HPC facilities that will come on line in the next few years, computational campaigns using global optimization methods on several hundreds of compounds will become routine, and it will be possible to push the boundaries on such future supercomputers and perform global optimization campaigns on up to 10^4 different

compounds. However, we do not think that only applying global optimization methods will be an efficient solution to the crystal-structure prediction problem, even with the future exascale HPC facilities. We instead envisage a multistep approach in which high-throughput screening will be the first stage of the search for stable structure types. The predictions from this first step will then be refined by global optimization algorithms, for instance including the structures obtained from HT screening in the initial population of an evolutionary algorithm search or by applying the random mutation operators that in AIRSS are used to explore new parts of the Born-Oppenheimer surface.

In conclusion, the failure of standard high-throughput methods to predict the correct crystal structure of RbCuS and RbCuSe that we illustrate in the present study, leads us to propose a multistep approach to predict new materials: First, perform a high-throughput screening of a set of structure types followed by a global optimization search, for instance using an evolutionary algorithm or random search. This further step will allow to the survey parts of the Born-Oppenheimer surface that would not be otherwise explored by only following the structural analogies with known materials on which the high-throughput methods are based.

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