Multiscale aggregating discontinuities method for micro–macro failure of composites

Jeong-Hoon Song *, Ted Belytschko

Theoretical and Applied Mechanics, Northwestern University, Evanston, IL 60208-3111, USA

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Abstract

The application of a multiscale method, called the multiscale aggregating discontinuities (MAD) method, to the failure analysis of composites is described. Two distinct features of the MAD method are the use of perforated unit cells, and the extraction of coarse-grained failure information. In the perforated unit cell, all subdomains of the unit cell that are not strictly elliptic are excluded, which enables the decomposition of its stable and unstable material. By means of these concepts, it is possible to compute an equivalent discontinuity at the macroscale, including both the direction and the magnitude of the discontinuity. This equivalent discontinuity is then passed to the macro model by the extended finite element method (XFEM) procedure. In this paper, the method is improved by adding hourglass modes to the unit cell deformations, which better model growing cracks. Several examples comparing the MAD method with direct numerical simulations are presented.

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1. Introduction

The modeling of failure in composites is one of the most challenging multiscale problems currently confronting the computational mechanics community. In the failure of composites, subscale failure can occur at several scales. For example, fiber–matrix separation and cracks in the matrix occur at much smaller scales than failure of the structure. In many cases, fibers are composed of fiber bundles, and the explication and quantification of their behavior requires an analysis at even smaller scales. Modeling of these various scales of response requires coarse-graining methods, for otherwise they are too expensive. Even in nanocomposites, where failure can be reduced to the atomistic scale as bond breaking, atomistic models cannot be used for modeling complete nanocomposite components. To treat these problems, it is necessary to have at hand computational multiscale methods which correctly coarse-grain failure so that this behavior can be incorporated in more efficient models.

The pre-failure behavior of composites has been treated quite effectively by classical homogenization techniques [1,2]. In these methods, the material behavior, i.e. the constitutive equation for the coarser scales, is obtained by solving a boundary value problem for a representative volume element (RVE). The RVE can be solved before undertaking the coarse scale analysis or concurrently with the macroscale model, as in the FE2 [3,4]. Generally, these methods take advantage of a separation of scales between the scales of the RVE and the macro model.

In extending these methods to failure behavior, it is common to use damage theories in which the effect of microcracks is represented by damage parameters that represent the degradation of the material. When the damage variables become sufficiently large to cause one of the stress components to vanish, the material is considered to have failed. Such damage models are used both at the macroscale and subscales.

However, there are several theoretical shortcomings of these methods that call into question their viability. The main one is that once the stress–strain response of the RVE is no longer stable, the associated macro model is no longer well-posed. Stability is here meant in the sense of Hadamard and Hill (the equations are given later). Suffice it to say, that when the stress does not increase with increasing strain, e.g. in the presence of strain softening, material stability is often lost, and it is definitely lost in damage laws before one of the stress components goes to zero. The question of how to deal with this was a topic of great interest in the 1980s, when Bažant and Belytschko [5] showed that in a strain softening material, the strain vanishes on a set of measure zero and becomes infinite there. Many methods were proposed for dealing with this pathology: Bažant et al. [6] proposed a nonlocal model, Lasry and Belytschko [7] proposed gradient theories and studied their effects on the Liapunov coefficients, Chen and Schreyer [8] developed a nonlocal form of plasticity.

As a consequence, the multiscale modeling of failure requires a major revision of the homogenization approach. Once the...
material at the subscale has failed enough for the macroscale law to lose stability, it is no longer appropriate to simply pass the stress to the macroscale. Several investigators have already recognized this: Kouznetsova et al. [9] and Vernerey et al. [10,11] have used gradient theories. Oskay and Fish [12] have used the concept of eigendeformation to model cracks at various scales within the framework proposed in Fish and Yuan [13]. Other relevant works can be found in Raghavan and Ghosh [14] and Ghosh et al. [15].

In this paper, we further develop an alternative strategy based on aggregating discontinuities at the finer scales and passing these to the coarser scale. This method was first proposed in Belytschko et al. [16]. Its key features were the development of a perforated unit cell which excludes all subdomains of unstable material behavior such as cracking. It was shown that the resulting methodology insures the material stability at the coarser scale, and hence the well-posedness of the coarse scale problem. In addition, methods for extracting an equivalent discontinuity from the unit cell response were developed.

However, it was found that the conventional boundary conditions based on a constant deformation gradient were not effective in modeling the growth of a crack at the fine scale. Therefore in this paper, we further study an enhancement of the theory proposed in [17]; it consists of adding a so-called hourglass mode. It is shown that this mode fits well with the structure of four-node quadrilaterals with one-point quadrature and stabilization. We also shown how this method can be extended to atomistic models to probe nanoscale behavior.

![Fig. 1. Schematic of macro–micro linkages of the MAD method.](image)

![Fig. 2. Schematic of a perforated unit cell in a continuum scale model.](image)
2. Coarse-graining method

A schematic of a typical macro–micro model for the MAD method is shown in Fig. 1. In the macro model which is modeled with coarse scale, so-called hot spots are linked with micro models; the micro models have fine-scale resolution and are calculated at the same time as the macro model as in the FE² method of Feyel and Chaboche [3] and Feyel [4]. The micro models can either be continuum models or atomistic models. For example, in Fig. 1, hot spots 1–6 in the macro model are linked with the unit cells. The macro model passes a measure of deformation to the linked unit cell, and then receives the computed stress and a description of the aggregated discontinuity from the unit cell; in our notation, superscript $M$ refers to the macroscale, and $m$ refers to the microscale. Note that cells 3 and 4 contain strong discontinuities, so the coarse-grained failure information within those unit cells is provided to the associated hot spots in the macro model; we will discuss this coarse-graining procedure subsequently.

The MAD method can be implemented in any conventional finite element software. In principle, the method is applicable to any elements, but, for the computations reported here, we used a one-point quadrature four-node quadrilateral element with hourglass control [18–20]. For the representation of strong discontinuities in macro and micro continuum models, we used the XFEM approach [21–23].

We employ two key concepts for coarse-graining failure phenomena [16]:

1. all averaging operations are performed over a “perforated” unit cell that excludes all subdomains where the material loses strict ellipticity (these usually correspond to areas of material instability, including cracks),
2. a single equivalent discontinuity, i.e. a coarse-grained discontinuity, is extracted from the discontinuous localized deformation and passed to the macroscale.

To clarify the first statement, we define material stability (ellipticity) and strict ellipticity. We make this definition in terms of the first Piola–Kirchhoff stress $\mathbf{P}$ and the deformation gradient $\mathbf{F}$. Consider a tangent matrix $\mathbf{C}$ which relates $\mathbf{P}$ and $\mathbf{F}$ (superposed dots denote material derivatives) by

$$\mathbf{P} = \mathbf{C} : \mathbf{F}$$

Then the material is strictly elliptic if

$$\mathbf{A} : \mathbf{C} : \mathbf{A} > 0 \quad \forall \mathbf{A}$$

Note that Eq. (2) also corresponds to the positive definiteness of $\mathbf{C}$. A material is stable (often called rank-1 stable), and the governing equations are elliptic if
\textbf{g} \otimes \boldsymbol{h} : \mathbf{C} : \mathbf{g} \otimes \boldsymbol{h} > 0 \quad \forall \mathbf{g} \text{ and } \boldsymbol{h} \quad (3)

Note that Eq. (3) implies Eq. (2) when \( \mathbf{C} \) is symmetric, i.e. that if a material is strictly elliptic, it is also stable and elliptic. Therefore, our perforated unit cell excludes some stable material. However, this does not appear to impair the performance of this method.

2.1. The perforated unit cell

We denote the reference domain for a unit cell of the microstructure at a point \( \mathbf{X} \) by \( \Omega_0^m(\mathbf{X}) \) (usually the \( \mathbf{X} \) will be dropped) and its boundary by \( \partial \Omega_0^m \). The reference domain of the macrostructure is denoted by \( \Omega_0^M \) and its boundary by \( \partial \Omega_0^M \). A perforated unit cell that contains a crack \( \Gamma_0^D \) and a localization band \( \Omega_0^L \) is shown in Fig. 2(a). The perforated unit cell is denoted by \( \Omega_0^m \) so

\[
\Omega_0^m = \Omega_0^m / \Omega_0^{uns}
\]

where \( \Omega_0^{uns} \) is the subdomain of the unit cell where the material loses strict ellipticity; i.e. \( \Omega_0^{uns} = \Gamma_0^D \cup \Omega_0^L \).

A key attribute of this theory is that all averaging operations are performed over the perforated unit cell, so denoting the averaging operation by \( \langle \cdot \rangle \), we have for any function \( f(\mathbf{X}) \):

\[
\langle f(\mathbf{X}) \rangle = \frac{1}{|\Omega_0^m|} \int_{\Omega_0^m} f(\mathbf{X}) d\Omega
\]

where \( | \cdot | \) denotes the measure of the domain, such as the area in two dimensions or the volume in three dimensions. Thus, for the

![Fig. 6. Schematic of the hourglass modes: (a) x-direction hourglass mode, and (b) y-direction hourglass mode.](image)

![Fig. 7. Initial setups for one dimensional tests. Problem definitions for: (a) uniformly loaded rod, (b) rod with linearly varying velocity.](image)

<table>
<thead>
<tr>
<th>Material</th>
<th>Young's modulus (GPa)</th>
<th>Poisson's ratio</th>
<th>Density (kg/m³)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aluminum</td>
<td>67.5</td>
<td>0.36</td>
<td>2700.0</td>
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<tr>
<td>Boron</td>
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<td>0.20</td>
<td>2340.0</td>
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<tr>
<td>Homogenized material</td>
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<td>0.35</td>
<td>2500.0</td>
</tr>
</tbody>
</table>

Table 1

Material properties of the aluminum–boron composite material.
deformation gradient $F^m$ and first Piola–Kirchhoff stress $P^m$, we have

$$F^m_{ij} = \frac{1}{V_0^m} \int_{V_0^m} F^m_{ij} d\Omega$$

(6)

$$P^m_{ij} = \frac{1}{V_0^m} \int_{V_0^m} P^m_{ij} d\Omega$$

(7)

For atomistic models, the averaged strain is computed by first fitting the displacements with a moving least square (MLS) approximation [24]. Let $u_I$ be the atomistic displacements. The MLS approximation is then given

$$u_I = \sum_i N_{I}^{MLS}(X) u_I$$

(8)

where $N_{I}^{MLS}(X)$ is the MLS shape function. The deformation gradient at the atomistic scale is then given by

$$F^m_{ij} = \delta_{ij} + \sum_i \frac{\partial N_i}{\partial X_j} u_I$$

(9)

To compute the stress, we use the virial stresses at the centers of the bonds

$$P^m(X_i) = F'(X_i - X_j) \otimes f_{ij}$$

(10)

where $f_{ij}$ is the force in the bond connecting atoms $i$ and $j$, and $X_i$ and $X_j$ are the coordinates of atom $i$ and $j$, respectively. Then, compute the stress field by a MLS approximation

$$P(X) = \sum_i N_i^{MLS}(X) P(X_i)$$

(11)

The bonds in which the force-field is such that bond force decreases with increasing elongation, and are therefore unstable, constitute the domain $\Omega_0^m$. For example, consider the model shown in Fig. 3. For the stage in crack growth where the bonds shown in red are unstable, the domain $\Omega_0^m$ encompasses all of these bonds. The domain $\Omega_0^m / \Omega_0^m$ is the remainder of the domain and is used in the calculation of the average stress $\langle P^m \rangle$.

The macrocrack can be an approximation to either a single crack or a group of cracks at the microscale. However, in this paper, we only consider a single crack at the microscale, so it can be described by

$$f^m(X) = 0 \quad \text{and} \quad g^m(X) > 0$$

(12)

where $f^m(X) = 0$ is a level set that describes the surface of the crack and $g^m(X) > 0$ describes its extent. The crack path at the microscale may be jagged, but it is assumed that the crack path penetrates the...
walls of the unit cell at no more than two points. The crack front is
given by
\[ f^m(X) = g^m(X) = 0 \] (13)
A typical crack at the microscale and its macroscale equivalent is
shown in Fig. 4. The geometry of the equivalent macrocrack in a
neighborhood corresponding to the unit cell is described by an af-
fine level set function
\[ f^M(X) = x_0 + a_0 X_a = 0 \] (14)
where \( x_0 \) and \( a_0 \) are arbitrary parameters.

The motion \( \phi^m(X) \) on the outside surfaces of the unit cell given
by
\[ \phi^m(X) = x^M . X + qXY \quad \text{on } X \in I^m \] (15)
where in two dimensions \( q^t = [x_q, y_q] \). The last term in Eq. (15) ac-
counts for the hourglass modes; \( q \) is obtained from the macroscale
deformation as described later. This last term is one of the key dif-
ferences from the previously presented MAD method [16]. How-
ever, this term has no effect on the discontinuity. Therefore, as in
[16], we can obtain the approximate discontinuity by
\[ (\mathbf{U}, \mathbf{N}) = \arg \min_{\mathbf{U}, \mathbf{N}} (\mathbf{U} \otimes \mathbf{N} - x^M + (F^m))^2 \] (16)
where the jump in the displacement at the macroscale is given by
\[ [\phi^M] = \frac{\Omega^m}{R} \mathbf{U} \] (17)
where \( R \) is a characteristic dimension of the unit cell.

2.2. Representation of crack opening in unit cells

When a crack opens and grows in a unit cell, the unit cell under-
goes deformations such as that shown in Fig. 5. This mode of defor-
mation cannot be effectively represented by a constant
deformation gradient. In crack opening, the deformation of the
boundaries of the unit cell are bilinear, often called an hourglass
mode in the finite element literature. Effective modeling of crack
growth requires that the hourglass mode be included in the deforma-
tion of the unit cell.

Here, we will briefly describe a numerical scheme which allows
us to extract the hourglass mode from the coarse scale model that
is discretized with four-node quadrilateral elements; the hourglass
modes for a four-node quadrilateral element are shown in Fig. 6.
These schemes are based on Flanagan and Belytschko [18], and
Belytschko and Bachrach [20].

The hourglass mode displacement at the center of four-node
quadrilateral element can be computed by
\[ \mathbf{q} = \mathbf{u} \gamma_I \] (18)
where \( \mathbf{u} \) is the nodal displacement of the finite element, and \( \gamma_I \)
is the hourglass mode projection operator. The hourglass mode pro-
jection operator is defined by
\[ \gamma_I = \frac{1}{4} (\mathbf{h}_I - (\mathbf{h}_I \mathbf{x}_I) \mathbf{b}_I) \] (19)
where \( \mathbf{x}_I \) is the current nodal coordinates of the finite element, and \( \mathbf{h} \) and \( \mathbf{b} \) are defined, respectively, as

Fig. 10. The evolution of stress and displacement fields, and the path of the crack in: (a) the DNS and (b) the MAD with and without the hourglass mode.
The macro stresses are linked to the unit cell as follows. We use the Hill–Mandel energetic relations
\[ d\mathbf{F}_M : \mathbf{P}_M + d\mathbf{q} : \mathbf{Q} = \int_{\Omega_0} \mathbf{F}_M : \mathbf{P}_M d\Omega \] (22)
Substituting the displacement field in Eq. (15) into the above Eq. (22) and assuming that \( \mathbf{P}_M \) is an equilibrium field, we obtain the following expressions for the macro stresses
\[ \mathbf{P}_M = \frac{1}{|\Omega_0|} \int_{\Gamma_0} \mathbf{P}^\text{int} \cdot \mathbf{N} d\Gamma \] (23)

The expressions for the nodal forces of a four-node quadrilateral element with one-point quadrature and consistent stabilization [18] are then
\[ f_{y_0}^\text{int} = \int_{\Omega_0} \frac{\partial \mathbf{N}_i}{\partial x} \mathbf{P}_m^\text{int} d\Omega + f_{y_0}^\text{HG} \] (25)
where the hourglass stabilization nodal forces are
\[ f_{y_0}^\text{HG} = \mathbf{Q} \gamma_i \] (26)

3. Numerical examples

Here, we consider an aluminum–boron composite material to examine the effectiveness of the MAD method. The basic unit cell consists of a boron particle and its surrounding aluminum matrix so that the volume ratio of the boron particles to the aluminum matrix is 10%. We then use the model as a repetitive unit cell for simplicity. The material properties of the aluminum and the boron along with their homogenized material are given in Table 1; for the calculation of the homogenized material properties away from the hot spots, we used a conventional homogenization theory.

3.1. Tests of cell failure modes

The numerical test reported here are quite simplistic since we felt that the only way to evaluate the method was by comparison to direct numerical simulations of models that incorporated the fine-scale features. While it would be desirable to evaluate the method also by comparison to experiments, at this time, data for fine-scale properties, such as fiber–matrix cohesive laws, are quite scarce, so the failure of a computation to agree with experiment would not determine whether it was a shortcoming in the method or the data. Therefore, in the following, the problems all involve comparisons with direct numerical simulations.

The first examples are quasi one dimensional: a long specimen is subjected to an tensile loading but a crack is modeled to grow normal to the loading direction. One objective was to show effectiveness of adding the hourglass mode to unit cell boundary conditions. The models are shown in Fig. 7. A boron particle and aluminum matrix at the center of each specimen is considered.
The bottom of each specimen is clamped, and at the top of the specimen, we applied two different boundary conditions so that those boundary conditions drive two different failure modes:

1. In Fig. 7(a), uniform constant velocity is prescribed at the top boundary nodes so that the deformation of the unit cell is almost constant, whereas
2. In Fig. 7(b), linearly varying velocity is prescribed at the top boundary nodes so that the deformation of the unit cell undergoes bilinear deformation; i.e. the hourglass mode.

In both cases, the prescribed velocities are constant in time.

An initial crack is located at the right edge of the specimen, and it then propagates toward the left edge. For the fracture criterion, we used 3.0% maximum principal tensile strain criterion along with the fracture energy \( G_f = 6.0 \times 10^4 \) N/m. The discretized fine-scale model for the reference solution by direct numerical simulation (DNS) is shown in Fig. 8(a); its solution is henceforth referred to as the DNS result. The coarse scale model and its linked unit cell model for the MAD method are shown in Fig. 8(b); each finite element is linked with a unit cell.

The load–deflection curves for the prescribed uniform constant velocity boundary condition are shown in Fig. 9; the result for the MAD method with the hourglass mode is shown along with that without the hourglass mode. As can be seen from Fig. 9, the effect of the hourglass mode in this case is minimal. The results for the MAD methods with and without the hourglass boundary conditions are almost the same. Both results agree with the DNS very closely.

However, when the deformation is such that the bilinear mode is activated in the unit cell boundary conditions, the role of the hourglass mode has a substantial effect. This can be seen from Fig. 10; Fig. 10(a) shows the results for the DNS simulation, and Fig. 10(b) shows the results for the MAD method with and without the hourglass mode. As shown in Fig. 10(a), the displacement field of the DNS exhibits a bilinear mode, i.e. the hourglass mode, and this bilinear mode is only reproduced in the unit cell when the hourglass mode is considered; see Fig. 10(b). When the hourglass mode is not passed to the finer scale, the fine-scale behavior does not well reproduce the behavior of the DNS model. Without the hourglass mode linkage, the macro model over-predicts the peak load substantially; see Fig. 11.

3.2. Three-point bending beam problem

A second problem we considered, which has substantially more complexity, is the failure of an aluminum–boron lamina in a bending field. We sometimes call this a composite “beam” in three-point bending. We hesitate to use the word “beam” since it does not represent any real composite beam; again, it is toy problem to test the basic methodology by comparison to DNS. The model is shown in Fig. 12. An initial notch is introduced at the center of the bottom surface; the crack propagation at the midspan is mainly in mode I.

For the DNS model, we discretized the beam with 9634 four-node quadrilateral elements as shown in Fig. 13(a). The fine-scale meshing was limited to the center where the failure was expected to progress. The modeling of the center of the beam is quite detailed and requires most of the finite elements for its resolution. For the MAD model, the entire beam was discretized with coarse scale mesh, and the 5 × 3 elements at the center of the beam are linked to unit cells as shown in Fig. 13(b). Note that the outside...
of the center area in both the DNS and the MAD models, we consi-

dered a homogeneous material with an effective elastic modulus

which is computed by conventional homogenization theory. The

material properties are shown in Table 1, and we used a maximum

principal tensile strain criterion for fracture of the matrix with a

fracture strain of 3.0%; the fracture energy is $G_f = 6.0 \times 10^4$ N/m.

The interface stress between the boron fibers were treated by a

cohesive law.

Fig. 14 shows the intermediate stage of the crack propagation in

the DNS model; in Fig. 14(b). As can be seen from Fig. 14(b), the

crack path is quite jagged due to the microstructure; i.e. boron

particles.

In the MAD method, such jagged crack paths are only repro-
duced within the unit cells; equivalent coarse-grained straight

cracks are injected to the macro model; see Fig. 15. This is a dis-
tinct feature of the coarse graining of the microcracks with the

MAD method.

The adequacy of the multiscale solution can be judged from

Fig. 16, which compares the load–deflection curves for the MAD
to that for the DNS. As can be seen from Fig. 16, there are only some

minor discrepancies due in the force–deflection curves computed

by the DNS and the MAD methods. However, the overall responses

are very similar, and the error in the prediction of the peak load is

less than 1%, which is probably fortuitous, since such high accuracy

is not expected for coarse-grained models. The coarse-grained

model required only 3% of the running time of the DNS model.

4. Conclusions

A study of improved version of the MAD method, a multiscale

method for failure analysis, has been presented. This improvement

consists of adding an “hourglass mode” to the prescribed displace-
ments of a unit cell. This appears to be particularly advantageous

when a unit cell fails by progression of a crack from one edge to

the other, which is often the case.

In the MAD method, the microcracking at finer scales is repre-

dented by an aggregate, equivalent crack at the coarse scale. The for-
mula for extracting the magnitude and the normal to the equivalent

discontinuity at the macroscale is not changed by the addition of the

hourglass mode. However additional general stresses and strains

are needed at the macroscale. In this paper, we have treated these

additional generalized stresses as hourglass forces in the four-node

quadrilateral. These can also be incorporated in other elements by

adding the bilinear term to the displacement field through meshfree

approximations. Another approach we are considering for treating
this mode is a micropolar continuum. However, using classical
continuum mechanics for the macroscale bestows significant benefits,
so we are inclined to continuing that approach.

As for the original MAD method, the noteworthy features of this
improved method are

1. the decomposition of stable and unstable responses of unit
cells by constructing perforated unit cells,
2. the extraction of a single coarse-grained macro discontinuity
from the unstable behavior of the unit cell.

The first feature enables the method to maintain stability of the
bulk material. Consequently, the macro material law is stable and
remains well-posed. Thus the method should be able to treat fail-
ure phenomena by multiscale methods consistently.

The potential and effectiveness of the MAD method have been
demonstrated by comparing the results for the MAD method with
direct numerical simulations of full fine-scale models of some sim-
ple problems. Overall crack paths and predicted peak loads from
the MAD method agree quite well with those from the direct
numerical simulations, which is encouraging. The running times
of the coarse scale models are about 30 times as fast. We are
now extending the computer implementations to three dimen-
sions so some comparisons with experiments can be made. The
ultimate goal of this work is to apply these methods to three-scale
analysis where the finest scale model is atomistic. The material
properties, especially the fracture behavior, will then be computed
by first principles models.

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