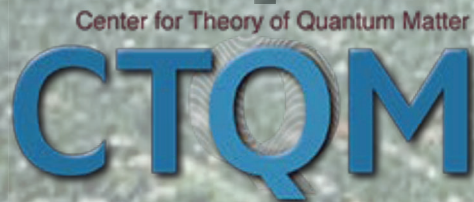


'Fractonicity' from Elasticity

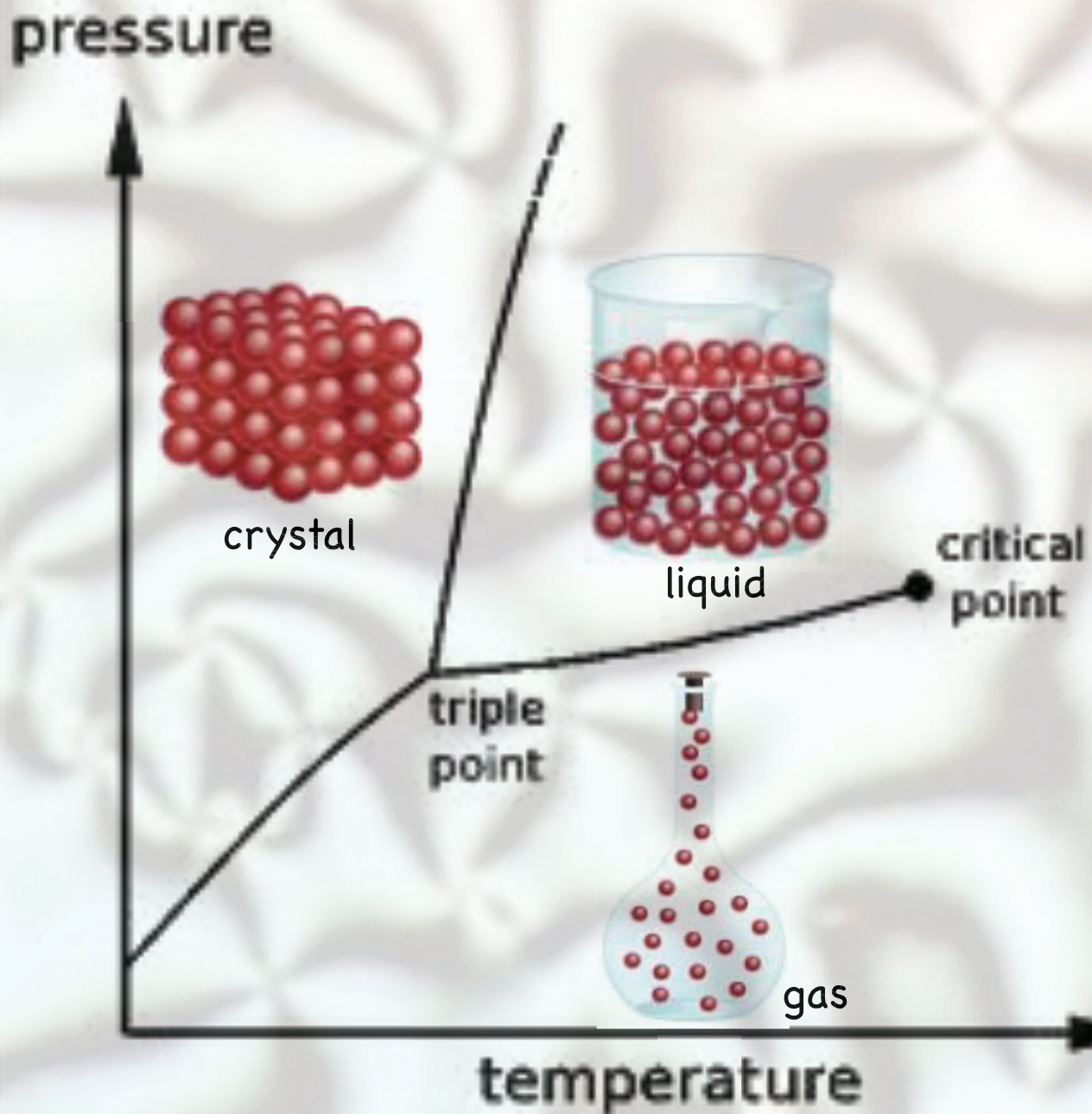
Boulder



M. Pretko and L.R., PRLs 2018
Z. Zhai and L.R., PRB 2019
L.R. and M. Hermele, PRL 2020
L.R., PRL 2020
Z. Zhai and L.R., AOP 2021

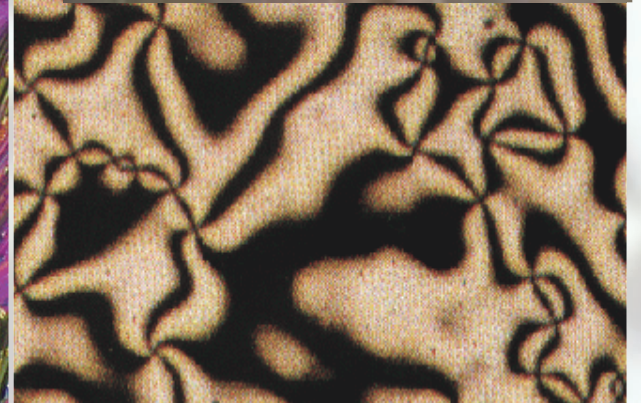
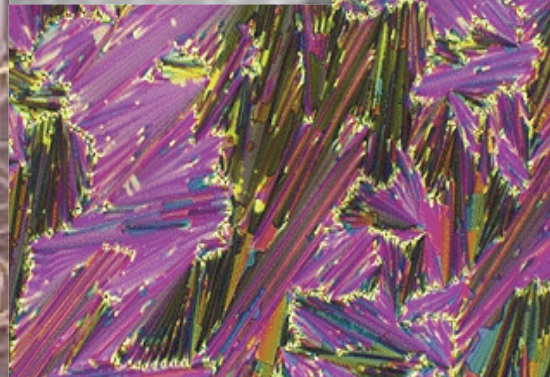
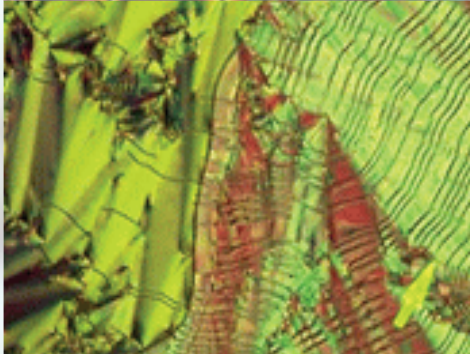
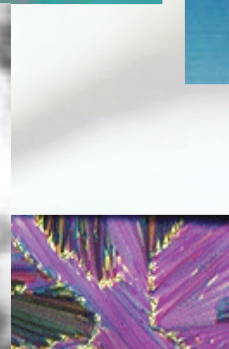
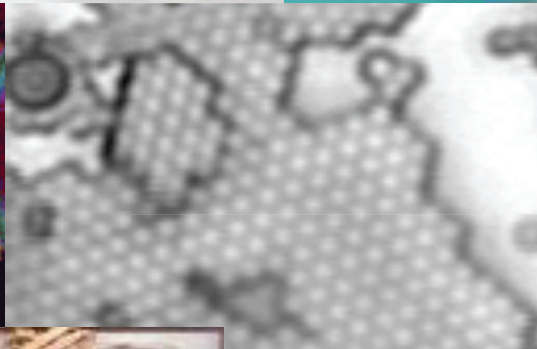
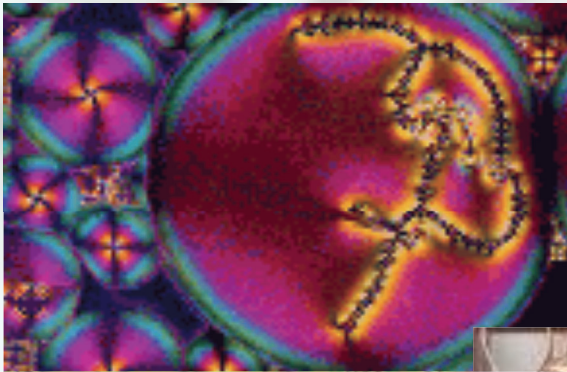
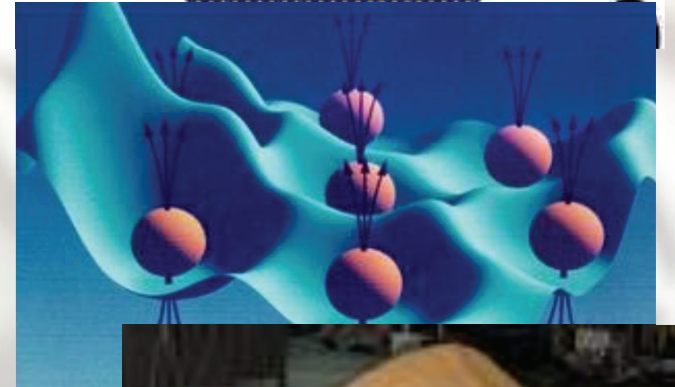
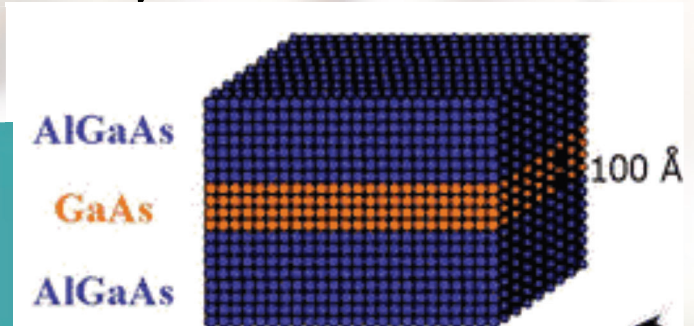


"White lies" about phases of matter



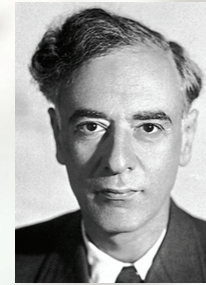
States of condensed matter in nature

- magnets, superconductors, superfluids, liquid crystals, rubber, colloids, glasses, conductors, insulators,...



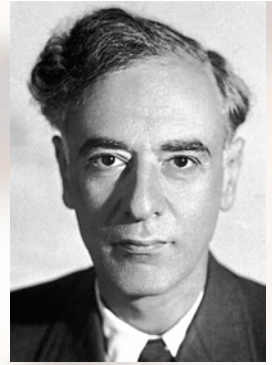
Emergence of richness, simplicity & universality

- Richness in nature -> "More is different" (P. W. Anderson)
- Emergent laws of nature & universality (L. Landau, E. Noether, K. G. Wilson)
(effective field theories)



- *Navier-Stokes hydrodynamics for fluids -> waves, shear flow, viscosity,...*
- *Elasticity for crystals -> phonons, transverse sound,...*
- *Landau-Lifshitz for ferromagnets -> spin-waves,...*
- *Generalized elasticity -> superfluids, liquid crystals, rubber,...*
- *Ginzburg-Landau for superconductors -> vortices, Meissner (Anderson-Higgs)...*
- *Thermodynamics, critical phenomena,...*
- *Einstein's gravity...*

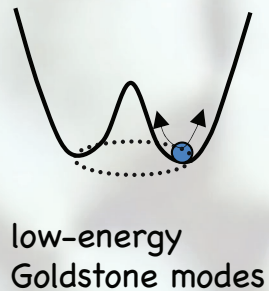
States of (bosonic) matter: Landau paradigm



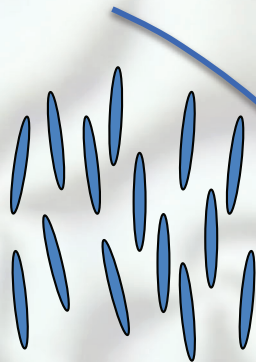
Lev Landau

- “conventional” *ordered* states, e.g., AFM, SF, liquid crystals...
 - *local* order parameter, $S(r)$
 - classified by patterns of *spontaneously broken symmetry*
 - *short*-range entangled

$$H = a|\vec{S}|^2 + b|\vec{S}|^4$$



low-energy
Goldstone modes



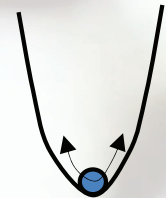
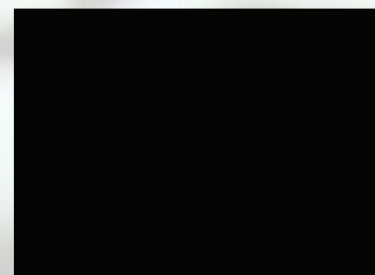
ordered

(ferromagnet, nematic)



disordered

(paramagnet, isotropic)



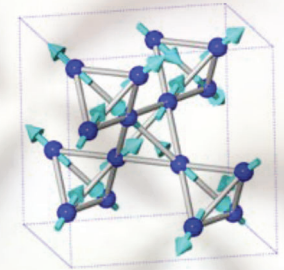
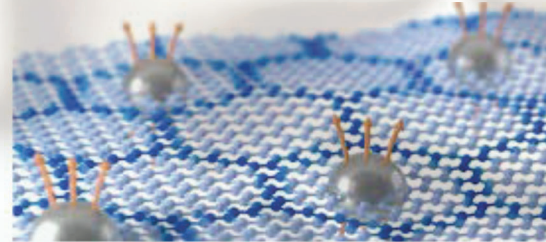
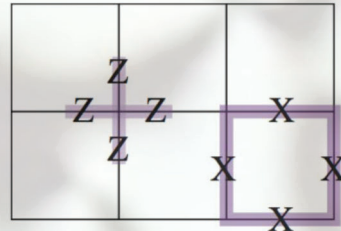
No low-energy modes

Anderson
Laughlin
Wen
Kitaev
Sachdev
Fisher

States of quantum matter

(beyond symmetry breaking)

... “conventional” quantum *‘liquid’* states, e.g., FQHE, spin ice, toric code, ...

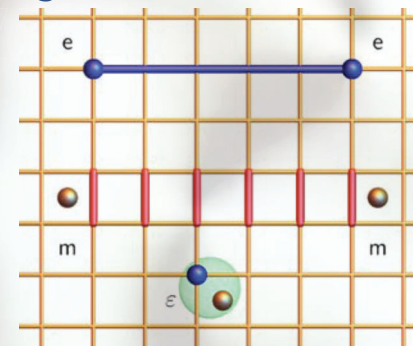


– Non-local, fractionalized bulk excitations as ends of strings:
anyons – free to move but with statistical “interaction”

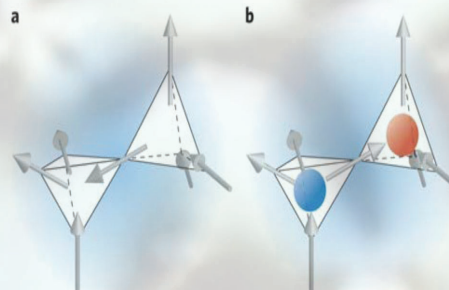
– Topological order with $O(1)$ gs degeneracy

– Long-range entangled

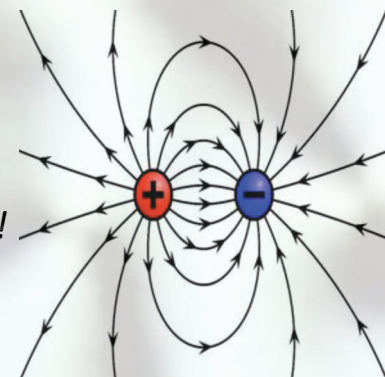
– Gauge theory (Z_2 , $U(1)$, ...)



Geometric frustration
(e.g. “spin-ice rules”)



Emergent
electromagnetism!



Outline

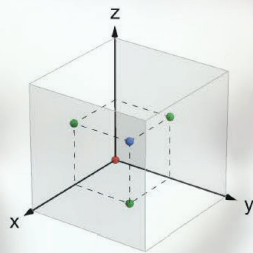


- New type of quantum 'liquids': "*fractons*"
- Symmetric tensor gauge theories
- Elasticity
- Duality
- Fractons from vector gauge theories
- Symmetry-enriched fractons from a *supersolid*
- Melting into *super-hexatic*, *super-smectic*

C. Chamon, 2005
 A. Rasmussen, et al., 2016
 J. Haah, 2011, '13
 S. Bravyi, et al., 2011
 B. Yoshida, 2013
 S. Vijay, L. Fu, 2015, '16

Fracton quantum matter

- *new class of quantum 'liquids': Z_2 fractons*, e.g., Haah's code, X-cube, lattice rotors,...

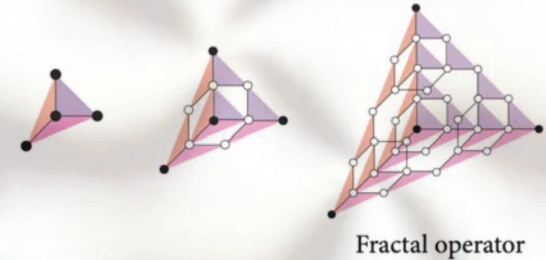
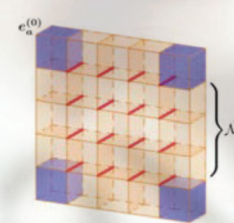
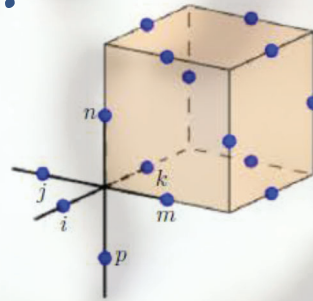


$$A_c = \prod_{n \in \partial c} \sigma_n^x$$

$$B_s^{(xz)} = \sigma_i^z \sigma_p^z \sigma_n^z \sigma_k^z$$

$$B_s^{(xy)} = \sigma_i^z \sigma_j^z \sigma_k^z \sigma_m^z$$

$$B_s^{(yz)} = \sigma_j^z \sigma_n^z \sigma_m^z \sigma_p^z$$



Fractal operator

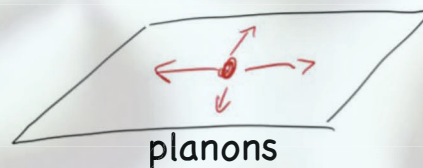
- Non-local, fractionalized excitations with restricted mobility and exponential topological degeneracy, beyond TQFT description,...

- -> at corners of extended objects: *fractons* – *immobile* in isolation



fracton

- -> at ends of undeformable string: *dipoles* – *subdimensional*



planons

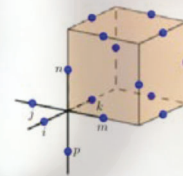
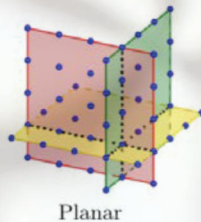


lineons

S. Vijay, et al., 2015, '16
M. Pretko, 2016, '17
T. Hsieh, et al., 2017
K. Slagle, Y. B. Kim, 2017
H. Ma, et al., 2017
X. Chen, et al., 2017, '18

Fracton developments

- "gauging" global Z_2 subdimensional symmetry spin model



$$A_c = \prod_{n \in \partial c} \sigma_n^x$$

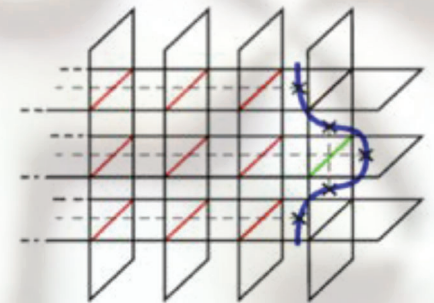
$$B_s^{(xz)} = \sigma_i^z \sigma_p^z \sigma_n^z \sigma_k^z$$

$$B_s^{(xy)} = \sigma_i^z \sigma_j^z \sigma_k^z \sigma_m^z$$

$$B_s^{(yz)} = \sigma_j^z \sigma_n^z \sigma_m^z \sigma_p^z$$

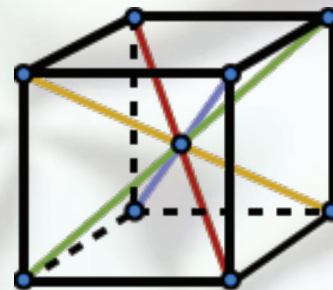
S. Vijay, J. Haah, L. Fu, 2016

- Coupled-layers construction



Ma, Lake, Chen, Hermele, 2017

- Coupled-chains construction



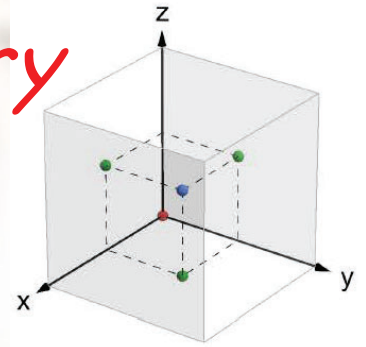
Halasz, Hsieh, et al., 2017

- Parton construction

- Higher rank tensor gauge theory $\partial_i \partial_j E_{ij} = \rho_f$

M. Pretko, 2016

Fractons via tensor gauge theory



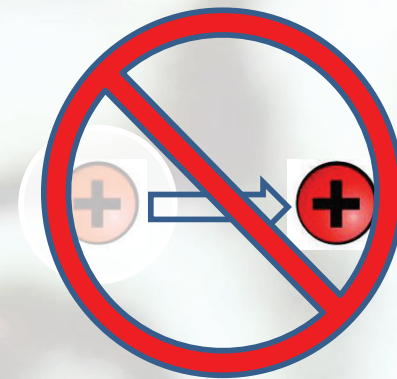
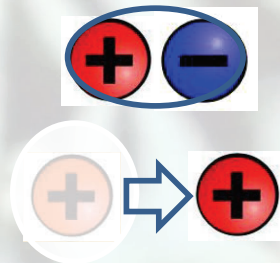
- U(1) symmetric tensor gauge theory (2+1D):

$$\mathcal{H} = \frac{1}{2} E_{ij} E_{ij} + \frac{1}{2} B_i B_i \quad [E^{ij}, A_{ij}] = i\delta^{(2)}(\mathbf{x}) \quad B^i = \epsilon_{jk} \partial^j A^{ki}$$

- Gauss' law: $\partial_i \partial_j E^{ij} = \rho$
- Conservation of charges and of *dipoles* ---> fracton phenomenology!

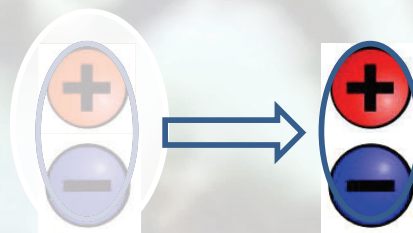
-> moving charge changes dipole moment -> forbidden by dipole conservation

- immobile



-> dipole motion constrained

- subdimensional



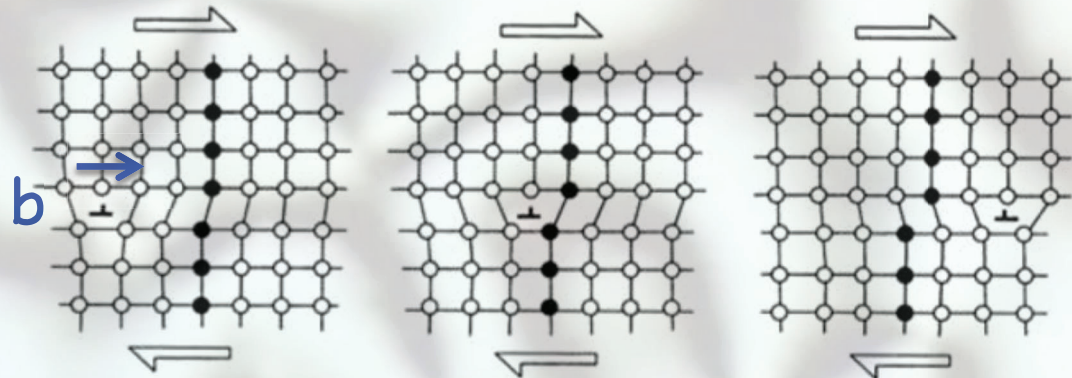
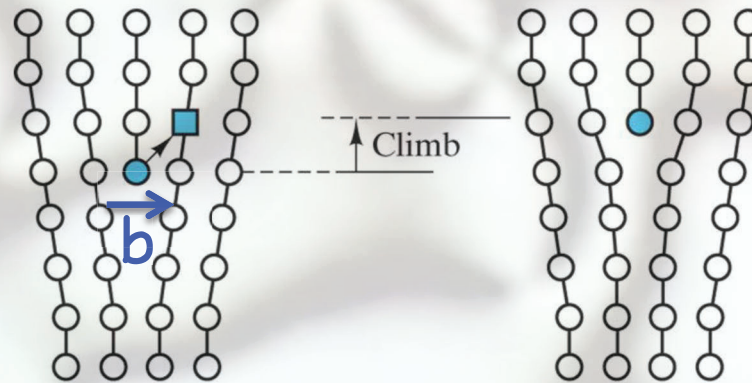
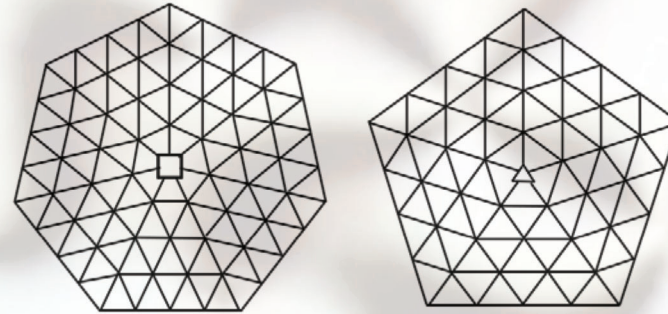
Fractons

¿ any physical realizations ?

YES: 2D quantum crystal!

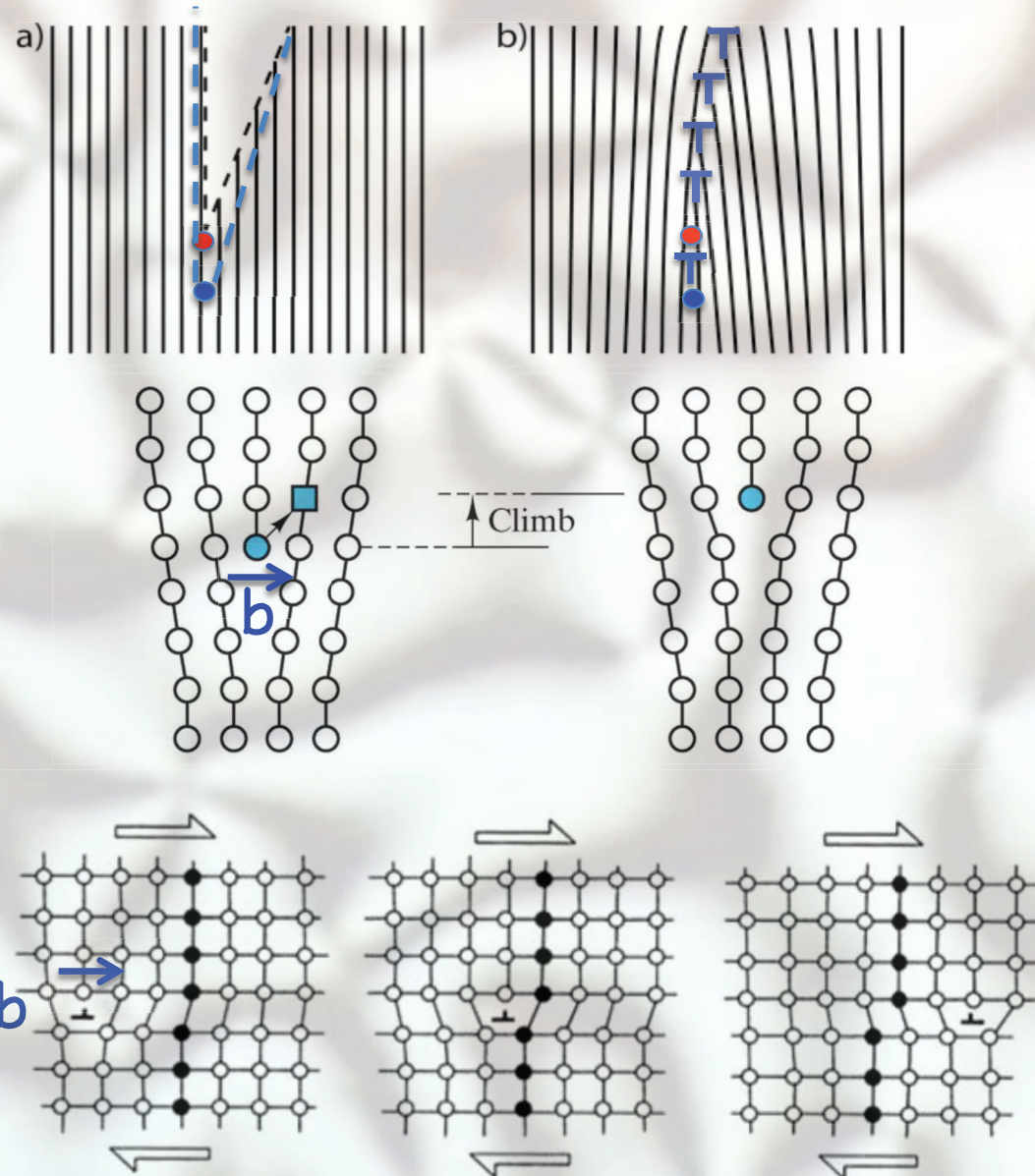
Topological defects in a crystal

- *Disclination:*
immobile
- *Dislocation climb:*
constrained by
v/i diffusion
- *Dislocation glide:*
subdimension (d-1)
motion



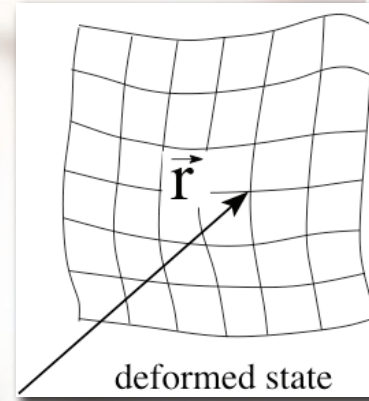
Topological defects in a crystal

- *Disclination:*
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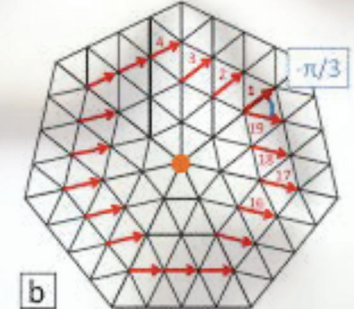
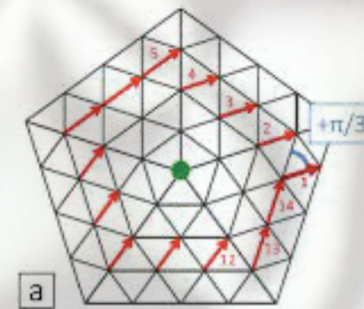


Elasticity theory and defects

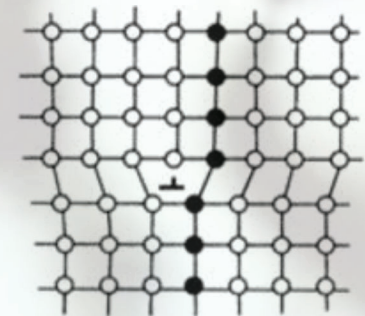
- Eulerian phonons: $\vec{r} = \vec{R} + \vec{u}(\vec{r})$
- Strain: $u_{ij} = \frac{1}{2}(\partial_i \vec{R} \cdot \partial_j \vec{R} - \delta_{ij}) \approx \frac{1}{2}(\partial_i u_j + \partial_j u_i)$
- Hamiltonian: $\mathcal{H} = \frac{1}{2}\pi^2 + \frac{1}{2}C_{ij,kl}u_{ij}u_{kl}$
- Topological defects



- Disclinations: $\nabla \times \nabla \theta = s \delta^2(\vec{r}) \equiv s(\vec{r})$
(bond angle: $\theta = \frac{1}{2} \varepsilon_{ij} \partial_i u_j$)

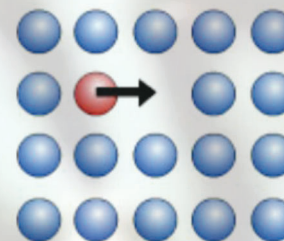


- Dislocations: $\nabla \times \nabla u_i = b_i \delta^2(\vec{r}) \equiv b_i(\vec{r})$.



- Vacancies/interstitials:

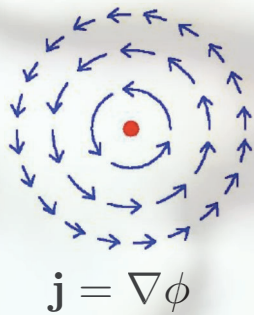
$$n_d = n_v - n_i$$



Boson-vortex duality

Superfluid

vortices



topological
winding

$$\nabla \times \mathbf{j} = \rho$$

Maxwell Gauge Theory (with matter)

particles



Gauss's law:

$$\nabla \cdot \mathbf{E} = \rho$$

Goldstone mode

$$H = \frac{1}{2} \int d^2x \left[|\nabla \phi|^2 + n^2 \right]$$

$$[n, \phi] = i$$

photon

$$H = \frac{1}{2} \int d^2x \left[|\mathbf{E}|^2 + (\nabla \times \mathbf{A})^2 \right]$$

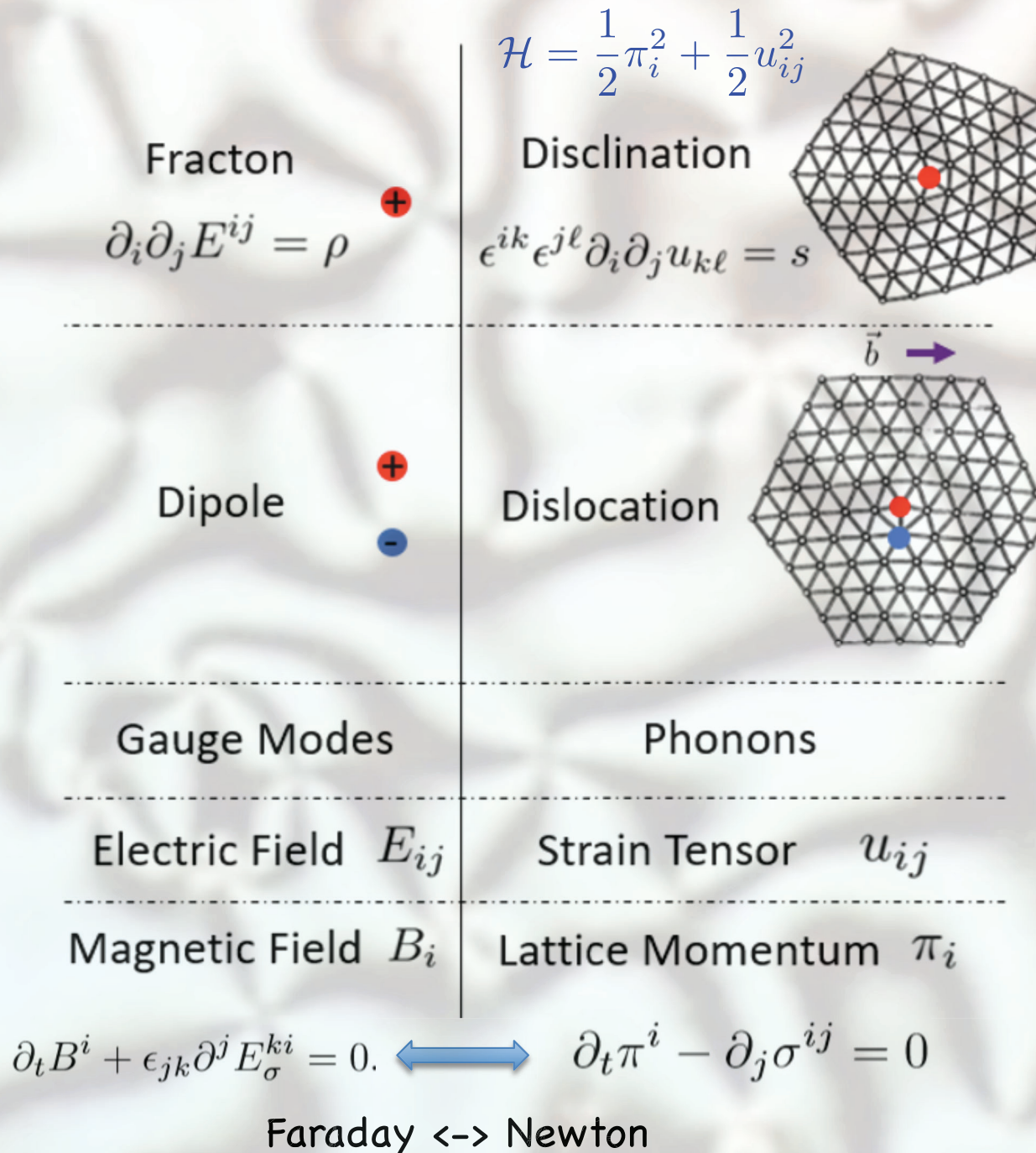
$$[A_i, E_j] = i\delta_{ij}$$

Fracton-elasticity duality

see also
Zaanen, et al
other contexts

- Elastic Lagrangian: $\mathcal{L} = \frac{1}{2}(\partial_t u_i)^2 - \frac{1}{2}u_{ij}^2$
 - Disclinity: $\partial_i^* \partial_j^* u_{ij} = s(\mathbf{x}) + \hat{\mathbf{z}} \cdot \nabla \times \mathbf{b}(\mathbf{x})$
 - Momentum conservation (Newton) constraint: $\partial_t \pi^i - \partial_j \sigma^{ij} = 0$
 - “Electric”, “magnetic” fields: $B^i = \epsilon^{ij} \pi_j$ $E_\sigma^{ij} = \epsilon^{ik} \epsilon^{j\ell} \sigma_{k\ell}$
- Faraday law: $\partial_t B^i + \epsilon_{jk} \partial^j E_\sigma^{ki} = 0$
- Gauge fields: $B^i = \epsilon_{jk} \partial^j A^{ki}$ $E_\sigma^{ij} = -\partial_t A^{ij} - \partial_i \partial_j \phi$
- Gauge freedom: $A_{ij} \rightarrow A_{ij} + \partial_i \partial_j \alpha$ $\phi \rightarrow \phi + \partial_t \alpha$
- Peach-Koehler force: $F_i = E_{ij} p_j$

Fracton-elasticity duality



Fractons via *vector* gauge theory

- Lattice fractonic vector gauge theory:

$\mathbf{E}_a \quad \mathbf{E}_b \quad \mathbf{e}$

$$[\hat{A}_{ik}, \hat{E}_{jk'}] = -i\delta_{ij}\delta_{kk'}\delta^2(\mathbf{x} - \mathbf{x}'),$$

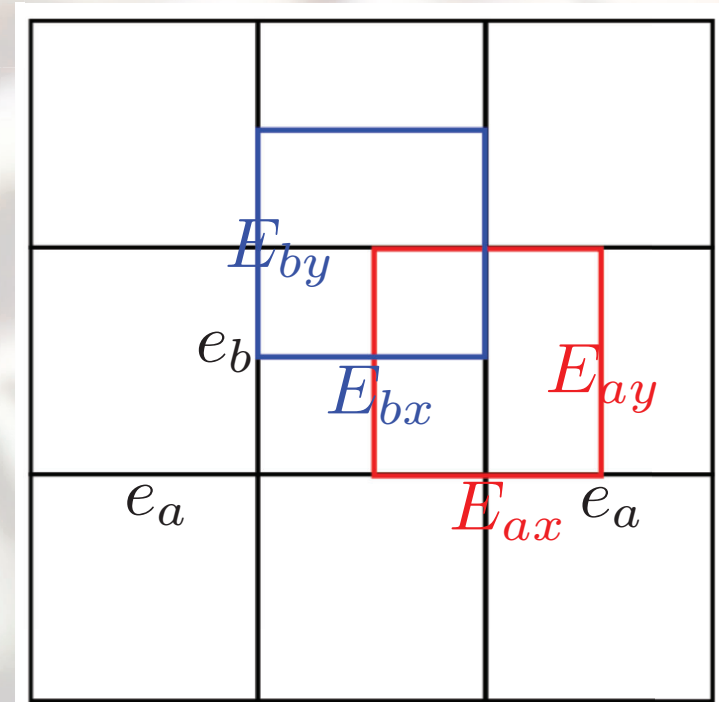
$$[\hat{a}_i, \hat{e}_j] = -i\delta_{ij}\delta^2(\mathbf{x} - \mathbf{x}')$$

- Gauss' law:

$$\nabla \cdot \mathbf{e} = s$$

$$\nabla \cdot \mathbf{E}_k = e_k$$

$$(k = a, b)$$

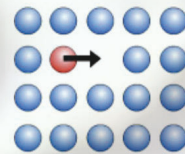
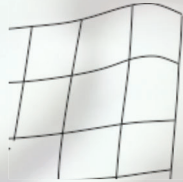


$$\tilde{\mathcal{H}} = \frac{1}{2}C|\mathbf{E}_k|^2 + \frac{1}{2}(\nabla \times \mathbf{A}_k)^2 + \frac{1}{2}K|\mathbf{e}|^2 + \frac{1}{2}(\nabla \times \mathbf{a} - A_{[ij]})^2 - \mathbf{A}_k \cdot \mathbf{J}_k^b - \mathbf{a} \cdot \mathbf{j}^s$$

gauge invariance demands $\partial_t p_k + \nabla \cdot \mathbf{J}_k = j_k \longrightarrow \mathbf{j} = 0$

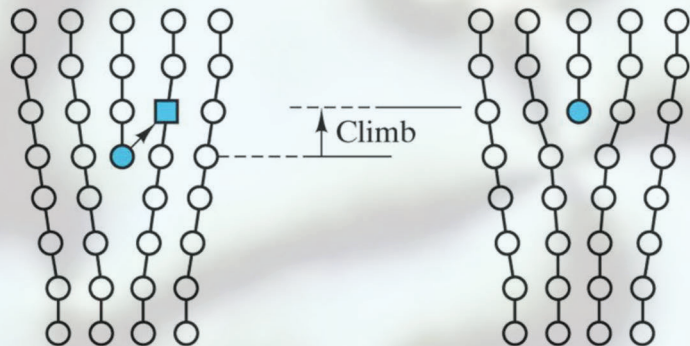
- Coupled elasticity and bosonic vacancies/interstitials:

$$\hat{\mathcal{H}} = \underbrace{\frac{1}{2}\hat{\pi}^2 + \frac{1}{2}\hat{u}_{ij}^2}_{\text{elasticity}} + \underbrace{\frac{1}{2}(\nabla\hat{\phi})^2 + \frac{1}{2}\hat{n}^2}_{\text{vacancies/interstitials}} + \underbrace{\nabla\hat{\phi} \cdot \hat{\pi} + \hat{n}\hat{u}_{ii}}_{\text{coupling}}$$



-> Commensurate (Mott-insulating) crystal

-> Incommensurate (supersolid) crystal



$$\partial_t n_d + \partial_i J_d^i = -J_i^i$$

(→ Ampere's law)

Marchetti, L.R. 1998

- Hybrid U(1) vector-tensor gauge duality

$$\mathcal{H} = \underbrace{\frac{1}{2}(E_{ij}^2 + B_i^2)}_{\text{elasticity}} + \underbrace{\frac{1}{2}(\mathbf{e}^2 + b^2)}_{\text{bosons}} + \underbrace{g(\mathbf{B} \cdot \mathbf{e} + E_{ii}b)}_{\text{"axion" coupling}} + \underbrace{J^{\mu\nu} A_{\mu\nu} + j^\mu a_\mu}_{\text{charges}}$$

-> supersolid -> "fracton superfluid" (mobile dipoles) $\mathcal{F}$

-> normal crystal -> "fracton Mott insulator" (confined dipoles) $\mathcal{F}_{U(1)}$

$\hat{a}_{\mathbf{r}} \hat{b}_{x, \mathbf{r} + \hat{y}}^\dagger \hat{b}_{x, \mathbf{r}} e^{iA_{yy}}$
 $E_{yy} \neq 0$

b)

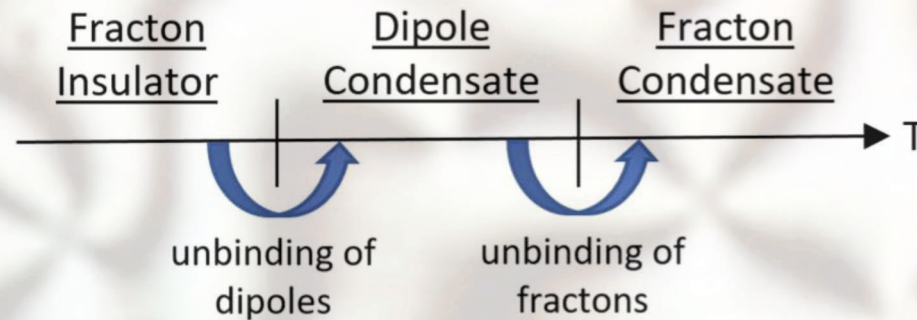
c)

$\hat{b}_{x, \mathbf{r} + \hat{x}}^\dagger \hat{b}_{x, \mathbf{r}} e^{iA_{xy}}$
 $E_{xy} \neq 0$

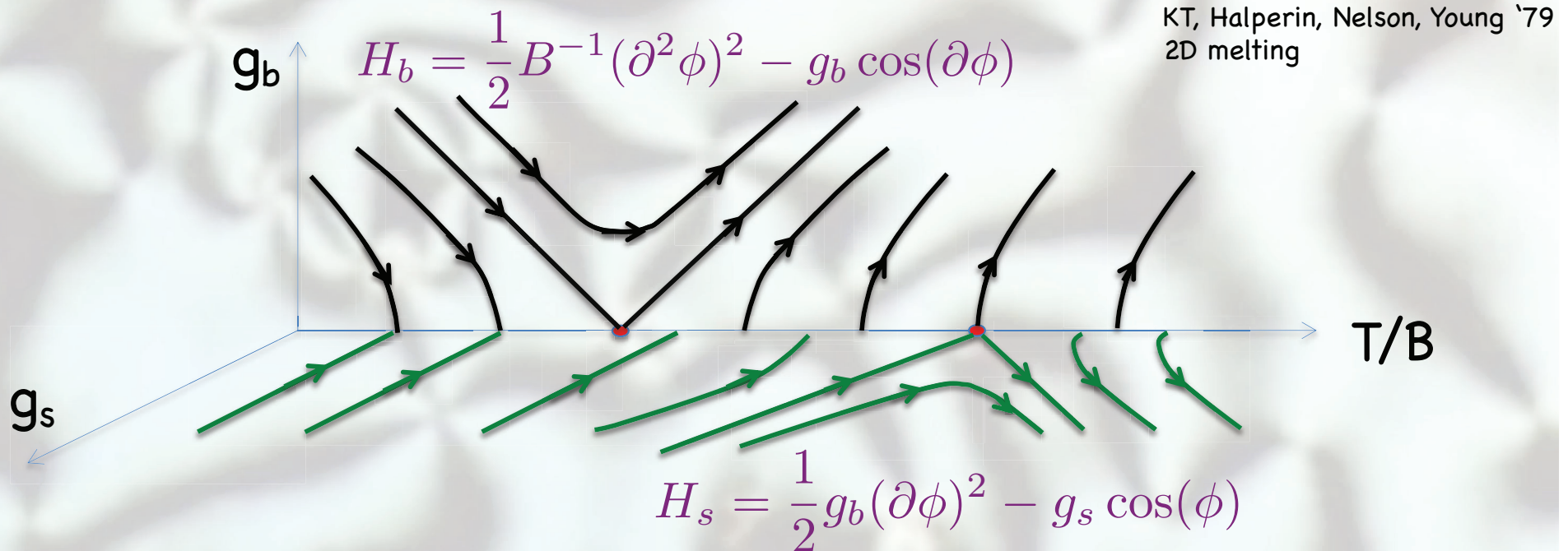
- Vortex condensation: $\mathcal{F} \rightarrow \mathcal{F}_{U(1)}$

-> fracton dipole dimensional confinement

-> superfluid to Mott-insulating fracton transition

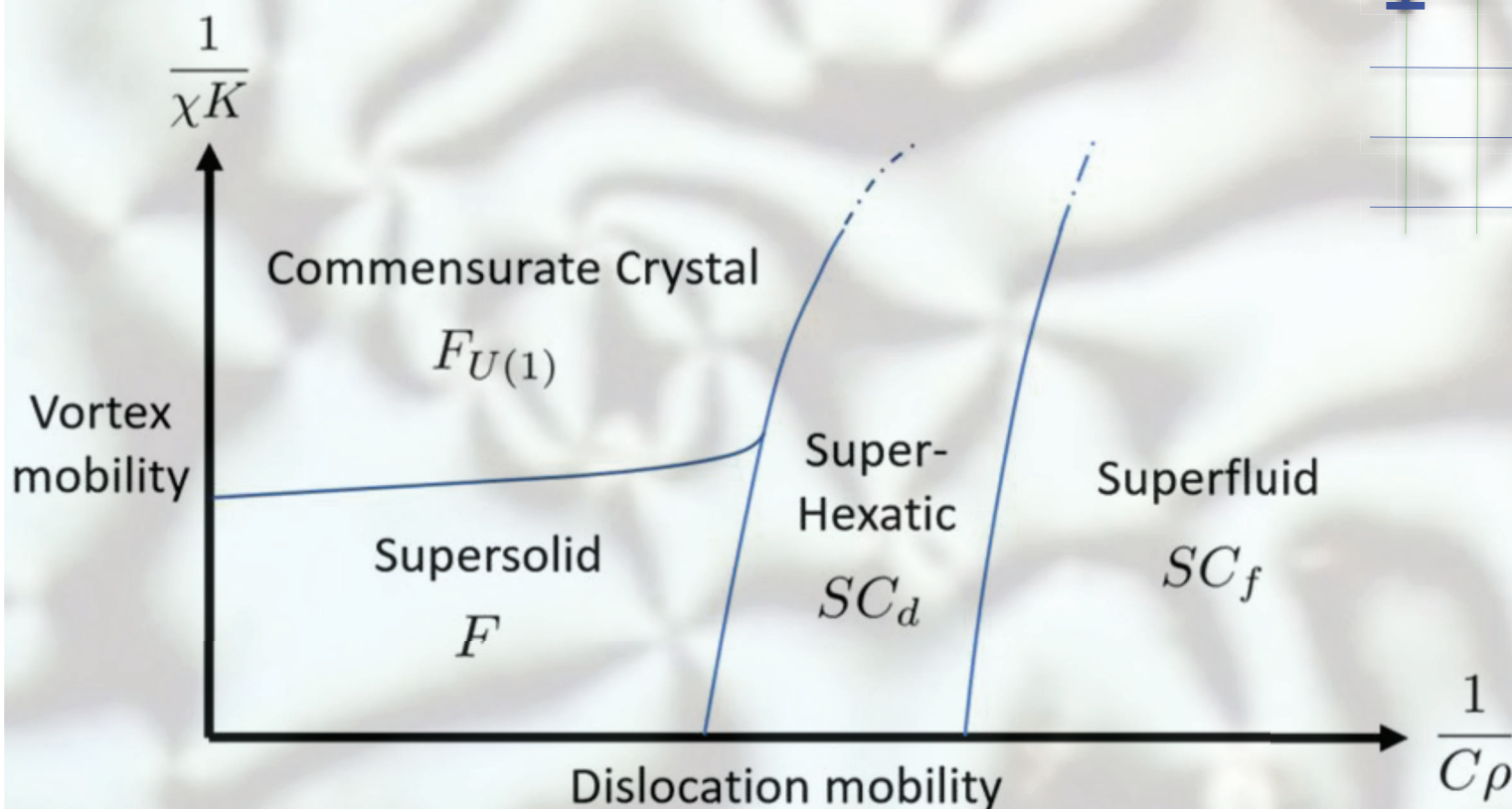
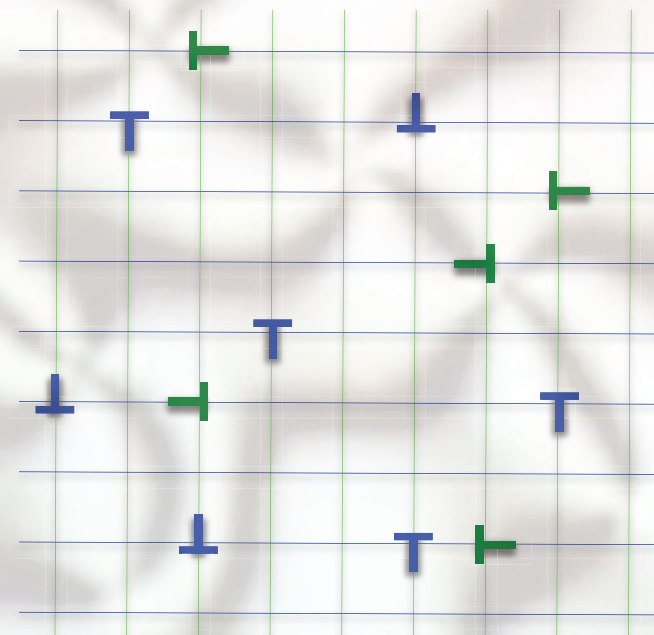
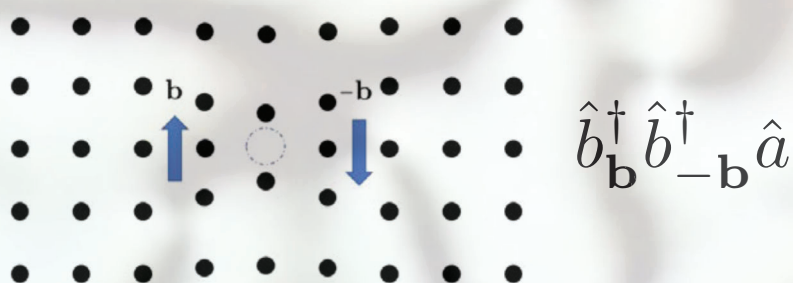
2D scalar fracton model:

$$\tilde{\mathcal{H}} = \underbrace{\frac{1}{2}B^{-1}(\nabla^2\phi)^2 - g_s \cos\left(\frac{2\pi}{6}\phi\right)}_{\text{charges}} - g_b \sum_{n=1,2,3} \underbrace{\cos(\mathbf{b}_n \cdot \hat{\mathbf{z}} \times \nabla\phi)}_{\text{dipoles}}$$



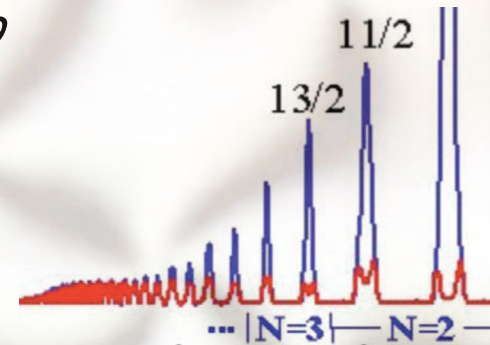
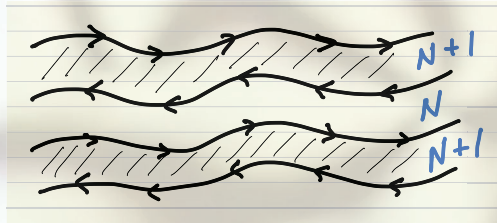
- Fracton dipoles $b_n = \sqrt{n_d} e^{i\theta_n}$ condense: $\rightarrow$ super-hexatic

$$\mathcal{L} = \frac{1}{2} E_{ij}^2 - \frac{1}{2} B_i^2 - \cos(\partial_t \theta - A_0) + g \cos(\partial_i \partial_j \theta - A_{ij})$$



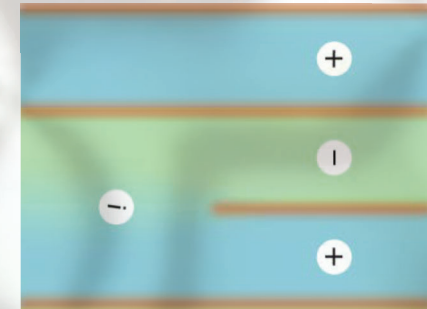
Quantum liquid crystals

- Quantum Hall *Fogler, et al. '96, Moessner, Chalker '96, Fradkin, Kivelson '99, MacDonald, Fisher '99, L.R., Dorsey '02, ... Eisenstein, et al. '99*

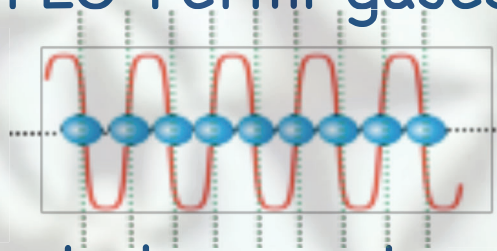


- SDW, CDW, PDW in doped Mott insulators

Tranquada, et al., '97, Kivelson, Fradkin, Emery '98, Sachdev, ...



- Imbalanced FFLO Fermi gases, SOC Bose gases, *L.R. et al. '09, '11, Zhai '15*

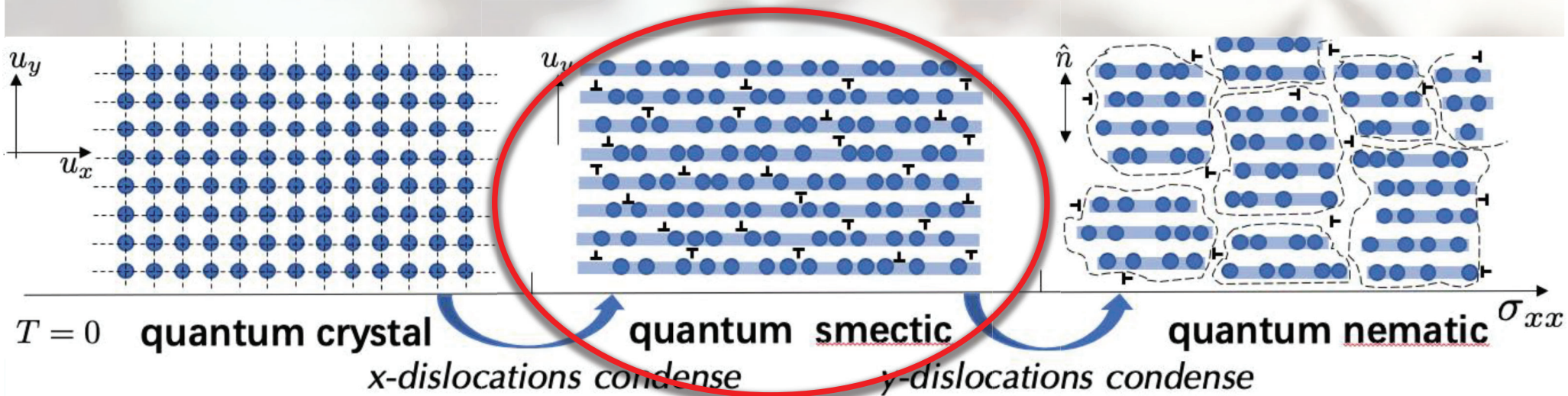


- Helical, frustrated magnets, e.g., MnSi, FeGe, $AB_2X_4, \dots$ *Pfleiderer, et al. '09, Bergman, et al. '07*



Anisotropic quantum melting

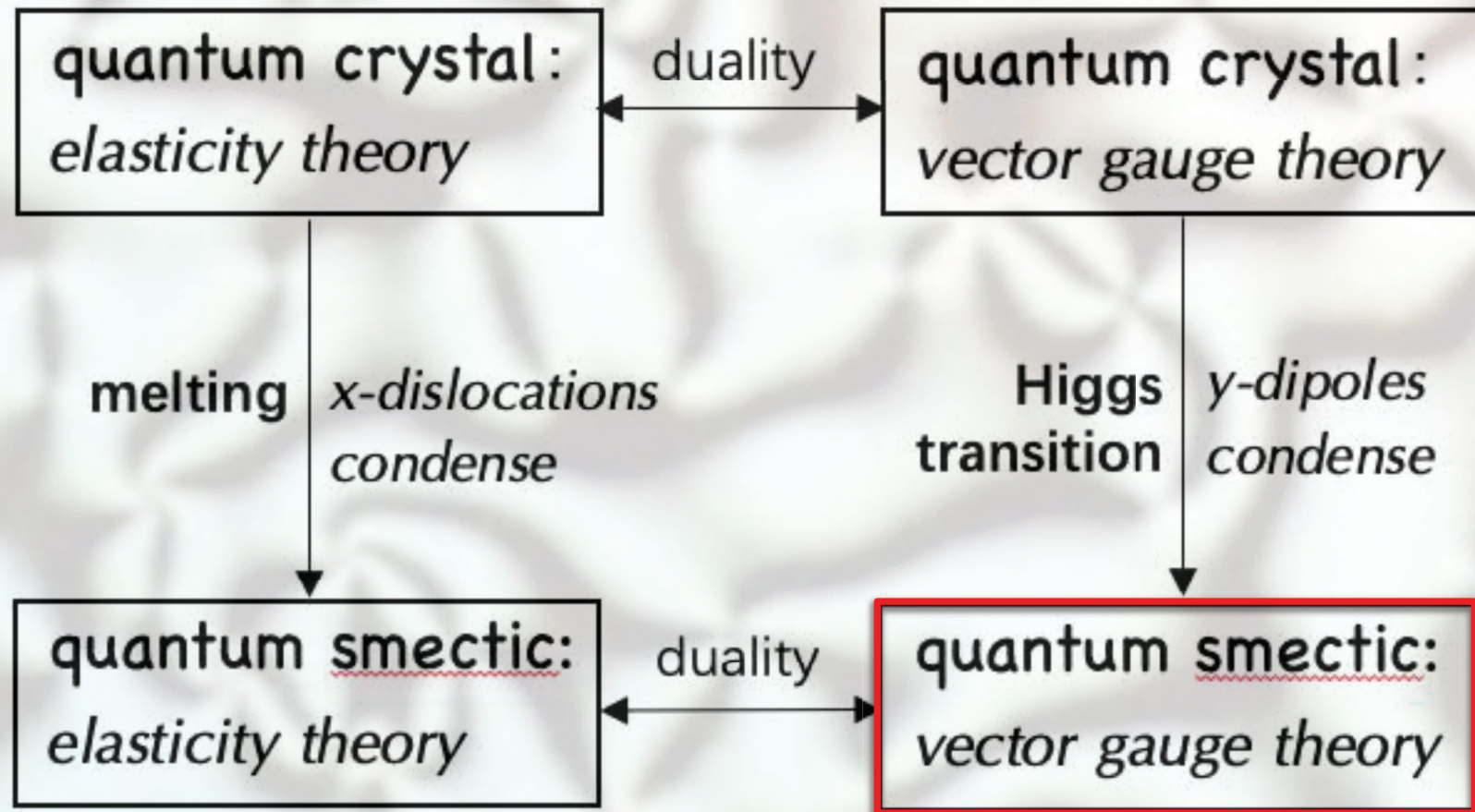
- Crystal: $\mathcal{H}_{cr} = \frac{C}{2} u_{ij}^2 + \frac{1}{2} \pi^2$
- Condense x-dislocations: $b_x = \sqrt{n_d} e^{i\theta_x} \rightarrow$ super-smectic



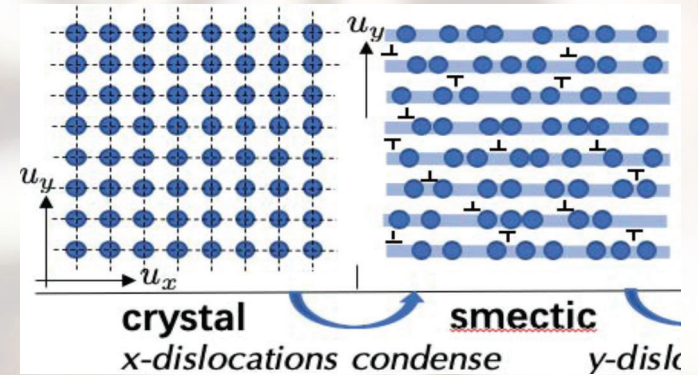
- Super-smectic:

$$\mathcal{H}_{sm} = \underbrace{\frac{C}{2} (\nabla u_y - \theta \hat{x})^2 + \frac{K}{2} (\nabla \theta)^2 + \frac{1}{2} \pi^2 + \frac{1}{2} L^2}_{\text{quantum smectic elasticity}} + \underbrace{\frac{1}{2} (\nabla \phi_s)^2 + \frac{U}{2} n^2}_{\text{bosonic atoms}}$$

Crystal - smectic - gauge duality



Higgs'ing crystal gauge dual $\rightarrow$ smectic gauge dual



- Crystal gauge dual:

$$\tilde{\mathcal{H}} = \frac{1}{2}C|\mathbf{E}_k|^2 + \frac{1}{2}(\nabla \times \mathbf{A}_k)^2 + \frac{1}{2}K|\mathbf{e}|^2 + \frac{1}{2}(\nabla \times \mathbf{a} - A_{[ij]})^2 - \mathbf{A}_k \cdot \mathbf{J}_k^b - \mathbf{a} \cdot \mathbf{j}^s$$

$$\tilde{\mathcal{H}}_{cr} = |(i\nabla - p_k \mathbf{A}_k)\psi_k|^2 + V(\psi_k) + \tilde{\mathcal{H}}_{Max}[\mathbf{A}_k, \mathbf{E}_k]$$

- Higgs transition - condensation of y -dipoles (x -dislocations)

$$\psi_x = 0, \quad \psi_y \neq 0 \quad \rightarrow \quad \mathbf{A}_y \approx 0 \quad \text{gapped}$$

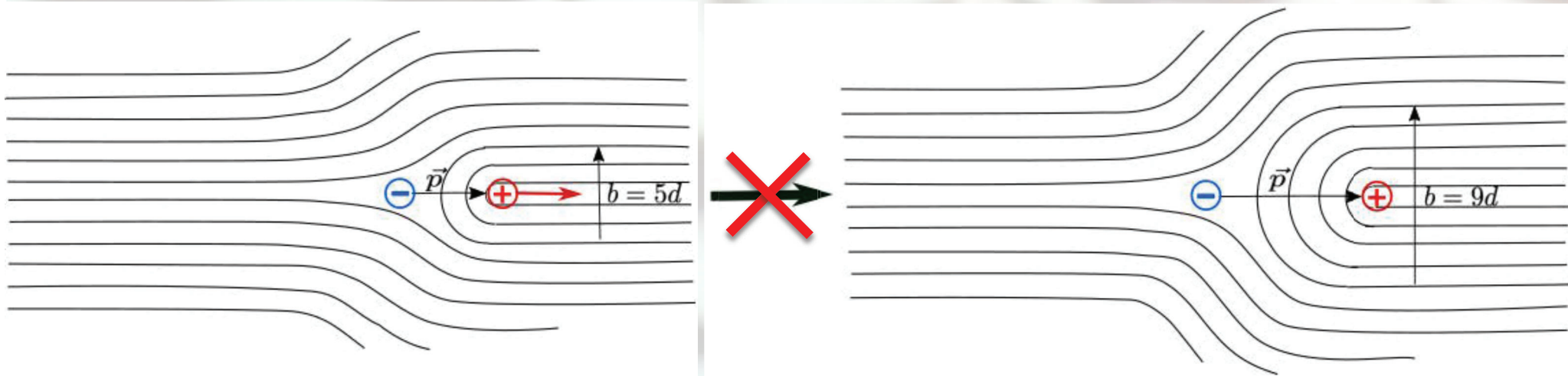
- Smectic gauge dual: $\tilde{\mathcal{H}}_{sm}[\mathbf{A}^x, \mathbf{a}] \approx \tilde{\mathcal{H}}_{cr}[\mathbf{A}^x, \mathbf{A}^y = 0, \mathbf{a}]$

$$\tilde{\mathcal{H}}_{sm} = \frac{1}{2}C\mathbf{E}^2 + \frac{1}{2}(\nabla \times \mathbf{A})^2 + \frac{1}{2}K\mathbf{e}^2 + \frac{1}{2}(\nabla \times \mathbf{a} - \hat{y} \cdot \mathbf{A})^2 - \mathbf{A} \cdot \mathbf{J} - \mathbf{a} \cdot \mathbf{j}$$

Restricted disclination mobility

gauge invariance demands $\partial_t p + \nabla \cdot \mathbf{J} = \hat{\mathbf{x}} \cdot \mathbf{j} \longrightarrow j_x = 0$

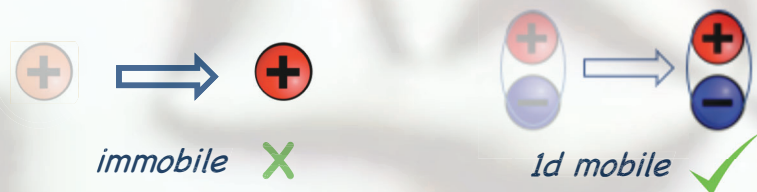
- Fractonic restricted dynamics *via disclination microscopics*:



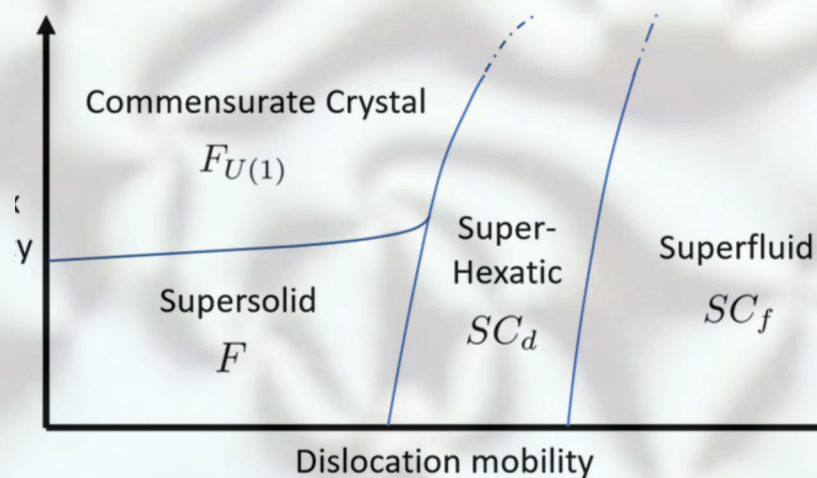
*requires a nonlocal process of adding a pair smectic half-layer
per lattice constant of disclination separation*

Summary and conclusions

- New class of fractonic quantum liquids excitations w/ restricted/fractionalized mobility

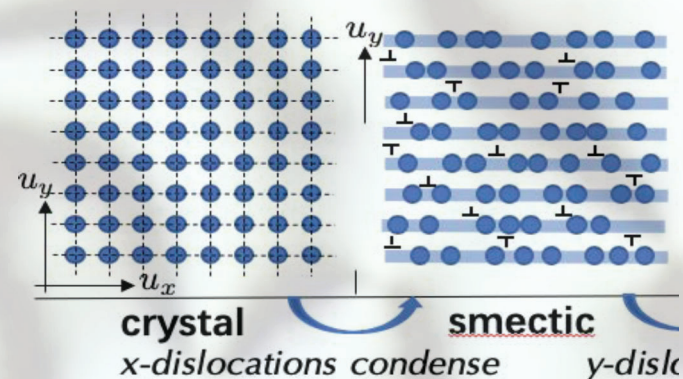


- Fractons – elasticity duality realized as defects in quantum crystal
- Fractonic phases and transitions:



- Quantum melting criticality?
- QH smectic ? (anisotropic melting, via Higgs transitions, LR 'PRL20)
- Elastic nonlinearities ?
- Classification, relation to Z_2 models, higher form symmetries, ...?
- Animation dynamics and editing of tessellated surfaces ?

Fracton $\partial_i \partial_j E^{ij} = \rho$	$\mathcal{H} = \frac{1}{2} \pi_i^2 + \frac{1}{2} u_{ij}^2$ Disclination $\epsilon^{ik} \epsilon^{j\ell} \partial_i \partial_j u_{k\ell} = s$
Dipole	Dislocation
Gauge Modes	Phonons
Electric Field E_{ij}	Strain Tensor u_{ij}
Magnetic Field B_i	Lattice Momentum π_i





Thank you

- Wigner crystal in B-field elasticity (also vortex lattice)

$$\hat{\mathcal{H}} = \frac{1}{2} C^{ijkl} \hat{u}_{ij} \hat{u}_{kl} \quad [u_x(\mathbf{r}), u_y(\mathbf{r}')] = i\ell^2 \delta^2(\mathbf{r} - \mathbf{r}').$$

- T-R breaking fracton phase

$$\hat{\mathcal{L}} = \frac{1}{2} \mathbf{B} \times \partial_t \mathbf{B} - \frac{1}{2} C^{ijkl} E_{ij} E_{kl}$$

$$\rightarrow \omega \sim q^2$$

Fracton-elasticity duality

- Elastic Lagrangian: $\mathcal{L} = \frac{1}{2}(\partial_t u^i)^2 - \frac{1}{2}C^{ijkl}u_{ij}u_{kl}$
- Disclinations: $\epsilon^{ik}\epsilon^{j\ell}\partial_i\partial_j u_{k\ell} = s(\mathbf{x})$
- Electric, magnetic fields: $B^i = \epsilon^{ij}\pi_j$ $E_\sigma^{ij} = \epsilon^{ik}\epsilon^{j\ell}\sigma_{k\ell}$
 $B^i = \epsilon_{jk}\partial^j A^{ki}$ $E_\sigma^{ij} = -\partial_t A^{ij} - \partial_i\partial_j\phi$

-> Fracton Hamiltonian: $[E^{ij}, A_{ij}] = i\delta^{(2)}(\mathbf{x})$

$$\mathcal{H} = \frac{1}{2}\tilde{C}^{ijkl}E_{ij}E_{kl} + \frac{1}{2}B^i B_i + \rho\phi + J^{ij}A_{ij}$$

-> Fracton charges, dipole currents:

$$\rho = s \quad J^{ij} = \epsilon^{ik}\epsilon^{j\ell}(\partial_t\partial_k - \partial_k\partial_t)u_\ell = \epsilon^{(i\ell}v^{j)}b_\ell$$

-> Gauss' law, continuity: $\partial_i\partial_j E^{ij} = \rho$ $\partial_t\rho + \partial_i\partial_j J^{ij} = 0$

-> Ampere's law: $\partial_t E^{ij} + \frac{1}{2}(\epsilon^{ik}\partial_k B^j + \epsilon^{jk}\partial_k B^i) = -J^{ij}$ $\partial_t n_d + \partial_i J_d^i = -J^i_i$

Fracton dual "superconductor"

- trace over fractons and dipoles:

$$\mathcal{L} = \frac{1}{2} E_{ij}^2 - \frac{1}{2} B_i^2 - \cos(\partial_t \theta - A_0) + g \cos(\partial_i \partial_j \theta - A_{ij})$$

- Fractons in "normal" Coulomb phase (*crystal*)
- Higgs transition out of fracton phase (*liquid*)

Fractons via *vector gauge theory* ?

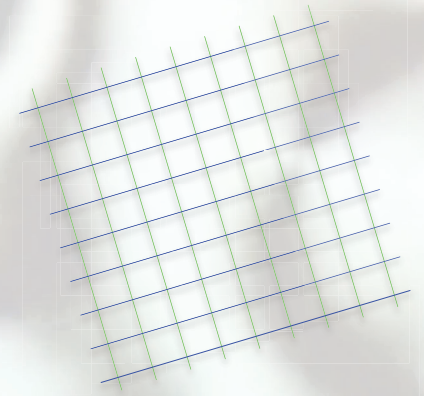
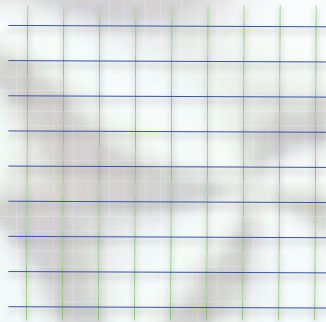
- *Flavored xy model* $\rightarrow$ vector gauge duality (*no fractons*)

$$\mathcal{H} = \frac{1}{2}n_k^2 + \frac{1}{2}|\nabla\phi_k|^2 \longrightarrow \tilde{\mathcal{H}} = \frac{1}{2}(\nabla \times \mathbf{A}_k)^2 + \frac{1}{2}|\mathbf{E}_k|^2$$

- Reformulate elasticity into coupled xy models: $u_{ik} \longrightarrow \partial_i u_k$

$$\mathcal{H} = \frac{1}{2}\pi_k^2 + \frac{1}{2}Cu_{ik}^2 \longrightarrow \mathcal{H} = \frac{1}{2}\pi_k^2 + \frac{1}{2}C(\partial_i u_k - g\theta\epsilon_{ik})^2 + \frac{1}{2}L^2 + \frac{1}{2}K(\nabla\theta)^2$$

- Target space rotational symmetry:



$$\rightarrow u_x = x(\cos\theta - 1) + y\sin\theta$$

$$\rightarrow u_y = -x\sin\theta + y(\cos\theta - 1)$$

Fracton "sliding phase"

Incompressible crystal $\rightarrow$ "fracton Mott insulator"

$$\hat{H} = \sum_{\mathbf{r}} \left[-t_x \hat{b}_{x,\mathbf{r}+\hat{\mathbf{x}}}^\dagger \hat{b}_{x,\mathbf{r}} e^{iA_{xy}} - t_y \hat{b}_{y,\mathbf{r}+\hat{\mathbf{y}}}^\dagger \hat{b}_{y,\mathbf{r}} e^{iA_{xy}} + \frac{1}{2} B_i^2 + \frac{1}{2} C_{ij} E_{ij}^2 \right]$$

- dispersionless along lines
- stability to interactions?
- $D_{\text{climb}} \sim e^{-\Delta/T} \ll D_{\text{glide}}$

