

## Lecture 10

(10.1)

### Angular momentum & rotational invariance

#### 1. Rotation in 3d:

- on ordinary vectors, e.g.  $\vec{r} = (x, y, z)$

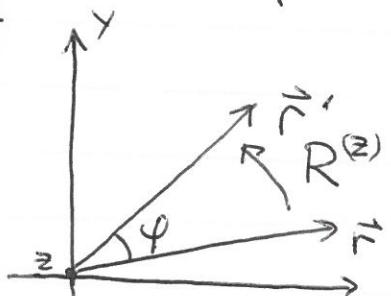
$$\vec{r} \xrightarrow{\mathcal{R}} \vec{r}' = \mathcal{R}^{(k)} \vec{r} \Leftrightarrow r'_i = R_{ij}^{(k)} r_j$$

$$\mathcal{R}^{(x)} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\varphi - \sin\varphi & \\ 0 & +\sin\varphi & \cos\varphi \end{pmatrix}$$

$$\mathcal{R}^{(y)} = \begin{pmatrix} \cos\varphi & 0 & \sin\varphi \\ 0 & 1 & 0 \\ -\sin\varphi & 0 & \cos\varphi \end{pmatrix} \quad \left. \begin{array}{l} \text{preserve scalars:} \\ \vec{E} \cdot \vec{E} = \vec{E}' \cdot \vec{E}' \end{array} \right\}$$

$$\mathcal{R}^{(z)} = \begin{pmatrix} \cos\varphi & -\sin\varphi & 0 \\ +\sin\varphi & \cos\varphi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

around  $\vec{n} :$



$$\vec{R} \cdot \vec{r} = \vec{r}' = (x \cos\varphi - y \sin\varphi, +x \sin\varphi + y \cos\varphi, z)$$

(10.-)

Note: non-Abelian  $SO(3)$  group

$$R^{(k)} \in SO(3) \leftarrow \text{orthogonal group of real orthogonal matrices } (3 \times 3)$$

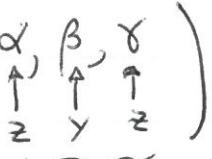
$$R^{(x)} R^{(y)} \neq R^{(y)} R^{(x)}$$

s.t.  $R^T R = \mathbb{1}$   
i.e.  $R^T = R^{-1}$ .

Labeled by a "ball" in 3d with radius  $\pi$



point in a ball  $\varphi \hat{n} \equiv \vec{\varphi}$   
 $0 < \varphi < \pi \leftarrow$  angle of rotation  
 $\hat{n} \leftarrow$  axis of rotation.  
 (also w.r.t. Euler's angles  $\alpha, \beta, \gamma$ )



see prob  
12.5.7 Skanken

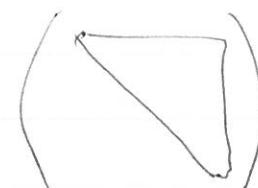
- infinitesimal rots  $\varphi = \varepsilon \ll \pi$

e.g.  $R_\varepsilon^{(z)} = \begin{pmatrix} 1 & -\varepsilon & 0 \\ +\varepsilon & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$

$$\Leftrightarrow \vec{r}' = \vec{R}_\varepsilon^{(z)} \cdot \vec{r} = (x - y\varepsilon, +x\varepsilon + y, z)$$

$d \times d$  real symmetric orthogonal matrix

$$\frac{d(d+1)}{2}$$



$$= 6 \quad (d=3)$$

+  $d$  constraint  
of orthogonality

$$\frac{d(d+1)}{2} - d = \frac{d(d-1)}{2} \underset{d=3}{=} 3.$$

$R = \mathbb{1} + \vec{\varepsilon} \cdot \vec{\sigma} \Leftrightarrow 3 \text{ generators } (\frac{d(d-1)}{2} \text{ planes})$

Note: In 2d (only planar rot's), single axis  
 $\Rightarrow$  abelian, very similar to translations  
 but compact

$$R_{\varphi \hat{z}} = \begin{pmatrix} \cos \varphi & -\sin \varphi \\ \sin \varphi & \cos \varphi \end{pmatrix}$$

$$R_\varphi, R_{\varphi_2} = R_{\varphi_1 + \varphi_2}$$

$$|R_\varphi \psi\rangle = U_\varphi |\psi\rangle$$

$$U_{\varphi_0}(\psi) = e^{-\varphi_0 \partial_\varphi}$$

$$\text{c.f. } U_a = e^{-a \partial_x}$$

$$\text{but } \underline{\text{compact}} : \quad 0 \leq \varphi_0 < 2\pi$$

(important implications)

2. Representation of  $R_{\vec{\varphi}}^{(4)}$  on quantum states & operators

- What unitary operator acts on  $|4\rangle$  to create a state in which a system is rotated?

i.e.

$$|4\rangle \xrightarrow{R_{\vec{\varphi}}} |4'\rangle = |R_{\vec{\varphi}}|\psi\rangle = U_{\vec{\varphi}}|\psi\rangle$$

???

require that

$$\text{if } \langle \psi | \vec{r} | 4 \rangle = \langle \vec{r} \rangle \quad \text{then}$$

$$\langle U_{\vec{\varphi}}|\psi| \vec{r} | U_{\vec{\varphi}}|\psi\rangle = \vec{R}_{\vec{\varphi}} \langle \vec{r} \rangle = \langle \psi | R_{ij}^{(4)} r_j | 4 \rangle$$

what is  $U_{\vec{\varphi}}$  representing rotation  $R_{\vec{\varphi}}$ ?

- $U_{\vec{\varphi}}$  in coordinate representation:

$$|U_{\vec{\varphi}}|\psi\rangle = U_{\vec{\varphi}}|\psi\rangle$$

$$\text{clearly } U_{\vec{\varphi}}|\vec{r}\rangle = |\vec{R}_{\vec{\varphi}} \cdot \vec{r}\rangle$$

guarantees that  $\langle \vec{r} \rangle \xrightarrow{R_{\vec{\varphi}}} \vec{R}_{\vec{\varphi}} \cdot \langle \vec{r} \rangle$

$$\text{since } \vec{r}|\vec{r}\rangle = \vec{r}|\vec{r}\rangle$$

$$\Psi(\vec{r}) = \langle \vec{r} | \psi \rangle$$

$$\begin{aligned}\Rightarrow \Psi_{\vec{\varphi}}(\vec{r}) &= \langle \vec{r} | U_{\vec{\varphi}} \psi \rangle \\ &= \langle \vec{r} | U_{\vec{\varphi}} | \psi \rangle \\ &= \langle \overset{\leftrightarrow}{R}_{\vec{\varphi}}^T \vec{r} | \psi \rangle\end{aligned}$$

$$\Rightarrow \Psi(\vec{r}) \xrightarrow[R_{\vec{\varphi}}]{} \Psi_{\vec{\varphi}}(\vec{r}) = \Psi(\overset{\leftrightarrow}{R}_{\vec{\varphi}}^T \cdot \vec{r})$$

recall  $\overset{\leftrightarrow}{R}_{\vec{\varphi}}^T \cdot \vec{r} = (x \cos \varphi + y \sin \varphi, \underset{\text{no free reversed signs } \varphi \rightarrow -\varphi}{y \cos \varphi - x \sin \varphi}, z)$

$$\begin{aligned}\Rightarrow \Psi(\vec{r}) \xrightarrow[R_{\vec{\varphi}}^T]{} \Psi_{\vec{\varphi}}(\vec{r}) &= \Psi(x \cos \varphi + y \sin \varphi, y \cos \varphi - x \sin \varphi, z) \\ &\simeq \Psi_{\vec{\varepsilon}_z^2}(\vec{r}) = \Psi(x + \varepsilon y, y - \varepsilon x, z) \\ &\quad \varphi \ll \pi \\ &\simeq \Psi(x, y, z) + \varepsilon (y \partial_x - x \partial_y) \Psi(x, y, z)\end{aligned}$$

$$\Rightarrow \Psi(\vec{r}) \rightarrow \Psi_{\vec{\varepsilon}_z^2}(\vec{r}) = \left(1 - i \varepsilon \frac{1}{\hbar} L_z\right) \Psi(\vec{r})$$

where  $L_z = (\vec{r} \times \vec{p})_z = x p_y - y p_x$   
 $\uparrow$   
 $= -i\hbar(x \partial_y - y \partial_x)$

$\vec{L}$ -component  
of  $\vec{L}$ -angular momentum.  $= -i\hbar \partial_\varphi$   
(cf.  $p = -i\hbar \partial_x$ )

Equivalently:

$$\begin{aligned}
 \langle \vec{r} | U_{\vec{\varphi}} | \vec{r}' \rangle &= \langle \vec{r} | \overset{\leftrightarrow}{R}_{\vec{\varphi}} \vec{r}' \rangle \\
 &= \delta^{(3)}(\vec{r} - \overset{\leftrightarrow}{R}_{\vec{\varphi}} \vec{r}') \\
 &\stackrel{\text{infinitesimal}}{\approx} \delta(x - x' + \varepsilon y') \delta(y - y' - \varepsilon x') \delta(z - z') \\
 &= \delta^{(3)}(\vec{r} - \vec{r}') + \varepsilon y' \partial_x \delta(x - x') \delta(y - y') \delta(z - z') \\
 &\quad - \varepsilon x' \partial_y \delta(y - y') \delta(x - x') \delta(z - z')
 \end{aligned}$$

$$\begin{aligned}
 U_{\vec{\varphi}}(\vec{r}, \vec{r}') &= [1 - \varepsilon(x \partial_y - y \partial_x)] \delta^{(3)}(\vec{r} - \vec{r}') \\
 &= [1 - i \frac{\varepsilon}{\hbar} \hat{L}_z]_{r, r'} \quad \checkmark
 \end{aligned}$$

- "passive" pt of view via action on operators

$$\begin{aligned}
 \langle \psi | \hat{\vec{r}} | \psi \rangle &\xrightarrow{\vec{R}_{\vec{\varphi}}} \langle U_{\vec{\varphi}} \psi | \hat{\vec{r}} | U_{\vec{\varphi}} \psi \rangle = \\
 &= \langle \psi | U_{\vec{\varphi}}^+ \hat{\vec{r}} U_{\vec{\varphi}} | \psi \rangle = \overset{\leftrightarrow}{R}_{\vec{\varphi}} \cdot \langle \psi | \hat{\vec{r}} | \psi \rangle \\
 \Rightarrow U_{\vec{\varphi}}^+ \hat{\vec{r}} U_{\vec{\varphi}} &= \overset{\leftrightarrow}{R}_{\vec{\varphi}} \cdot \hat{\vec{r}}
 \end{aligned}$$

Look at infinitesimal rotation by  $\vec{\varphi} = \varepsilon \hat{z}$

$$U_{\vec{\varphi}=\varepsilon \hat{z}} \approx \mathbb{1} + \underbrace{i \varepsilon G_z}_{\text{Taylor expansion in } \varepsilon}$$

$\Rightarrow$

Taylor expansion in  $\varepsilon$   
 (actually know that by consistency of  $U_{\vec{\varphi}}$ )  
 $(1)_{\vec{z}} = e^{i \vec{\varphi} \cdot \vec{G}} \quad G^+ = G$

$$\hat{U}_{\varepsilon \hat{\vec{z}}}^+ \hat{\vec{r}} \hat{U}_{\varepsilon \hat{\vec{z}}} = (\mathbb{1} - i\varepsilon \hat{G}_z) \hat{\vec{r}} (\mathbb{1} + i\varepsilon \hat{G}_z)$$

$$\approx \hat{\vec{r}} + i\varepsilon [\hat{\vec{r}}, \hat{G}_z] = \hat{R}_{\varepsilon \hat{\vec{z}}} \circ \hat{\vec{r}}$$

$$\hat{r}_i + i\varepsilon [\hat{r}_i, \hat{G}_z] = \hat{r}_i - \varepsilon \epsilon_{ijz} \hat{r}_j$$

$$\Rightarrow [\hat{r}_i, \hat{G}_z] = +i\epsilon_{ijz} \hat{r}_j$$

repeat for rotations about other axes,  $\hat{x}, \hat{y}$ :

$$[\hat{r}_i, \hat{G}_k] = +i\epsilon_{ijk} \hat{r}_j$$

$$\Rightarrow \vec{\hat{G}} = -\frac{1}{\hbar} \vec{\hat{r}} \times \vec{\hat{p}} = -\frac{1}{\hbar} \vec{\hat{L}} \quad \checkmark$$

check by using  $[\hat{r}_i, \hat{p}_j] = i\hbar \delta_{ij}$

$$\frac{1}{\hbar} [\hat{r}_i, (\vec{\hat{r}} \times \vec{\hat{p}})_k] = \frac{1}{\hbar} [\hat{r}_i, \epsilon_{jnk} \hat{r}_j \hat{p}_n]$$

$$= \frac{\epsilon_{jnk}}{\hbar} \hat{r}_j [\underbrace{\hat{r}_i, \hat{p}_n}_{i\hbar \delta_{in}}] = i\epsilon_{jik} \hat{r}_j$$

$$\Rightarrow [\hat{r}_i, \hat{L}_k] = -i\hbar \epsilon_{ijk} \hat{r}_j$$

extends to any vector operator  $\hat{\vec{E}}$

$$[\hat{E}_i, \hat{L}_h] = -i\hbar \epsilon_{ijh} \hat{E}_j \quad \leftarrow \begin{matrix} \text{defn of} \\ \text{vector operator.} \end{matrix}$$

$$\hat{U}_{\vec{\varepsilon}} = \mathbb{1} - i \frac{\vec{\varepsilon} \cdot \vec{L}}{\hbar}$$

← unitary op for rotation by  $i|\vec{\varepsilon}|$  about  $\vec{\varepsilon}$  axis.

- Finite (large) rotation:

$$\hat{U}_{\vec{\varphi}} = \left( \hat{U}_{\frac{\vec{\varphi}}{N} \hat{n}} \right)^N = \left( \mathbb{1} - i \frac{\vec{\varphi}}{\hbar N} \hat{n} \cdot \vec{L} \right)^N$$

$$\hat{U}_{\vec{\varphi}} = \underset{N \rightarrow \infty}{e^{-i \frac{\vec{\varphi}}{\hbar} \hat{n} \cdot \vec{L}}}$$

$$= e^{-i \frac{\vec{\varphi}_0}{\hbar} (-i \frac{\vec{r}}{\hbar} \partial_p)} = e^{\frac{-\vec{\varphi}_0 \partial_{\vec{r}}}{\hbar}}$$

(cf.  $T_a = e^{\frac{ia}{\hbar} \partial_x}$ )

equivalently:

$$\text{Note: } \vec{E} \xrightarrow[R_p]{\vec{R}_p} \vec{E}' = \vec{E} + \delta \vec{E}$$

where,

$$\delta \vec{E} = -i \frac{\vec{\varepsilon}}{\hbar} [\vec{E}, \hat{L}_z]$$

$$\frac{\partial \vec{E}}{\partial \vec{r}} = -i \frac{\vec{\varepsilon}}{\hbar} [\vec{E}, \hat{L}_z]$$

differential eqn for "evolution" in  $\vec{r}$  via

generator  $\hat{L}_z/\hbar$  (cf evolution in  $t$  via  $\hat{H}/\hbar$ )

$$\text{solved by } \vec{E}_{\vec{r}} = e^{\frac{i}{\hbar} \vec{r} \hat{L}_z} \vec{E} - \frac{i}{\hbar} \vec{r} \hat{L}_z$$

$$(\text{cf } \hat{O}_t = e^{\frac{i}{\hbar} t \hat{H}} \hat{O} e^{-\frac{i}{\hbar} t \hat{H}})$$

$$\Rightarrow \hat{U}_{\vec{\varphi}} = e^{-i \frac{\vec{\varphi}}{\hbar} \hat{n} \cdot \vec{L}}$$

$$\checkmark \text{ cf } \hat{U}_{\vec{a}} = e^{-\frac{i}{\hbar} \vec{a} \cdot \vec{p}}$$

### 3. Symmetry (rotational):

Any scalar operator (by defn) must not transform under rotation, i.e.

$$\hat{U}_{\vec{\phi}}^+ \hat{\Theta} \hat{U}_{\vec{\phi}} = \hat{\Theta} \Leftrightarrow [\hat{\Theta}, \hat{L}] = 0$$

System is symmetric under rotation when  $\hat{H}$  is a scalar operator

i.e.  $[\hat{H}, \hat{L}] = 0$

Ex.  $\hat{H} = \frac{\hat{P}^2}{2m} + V(|\vec{r}|)$  ✓

(show this for hw 6)

$$[\hat{H}, \hat{L}] = 0$$

scalar, potential only depends on distance  $|\vec{r}|$  not  $x, y, z$  separately.

can diagonalize  $\hat{H}$  &  $\hat{L}_x, \hat{L}_y, \hat{L}_z$  simultaneously?

No! since  $[\hat{L}_i, \hat{L}_j] = i\hbar \epsilon_{ijk} \hat{L}_k$

$\Rightarrow \hat{L}_i, \hat{L}_j$  do not commute for  $i \neq j$ .

what to do?

pick any one, or even any one linear combination, i.e. pick quantization axis, e.g.  $\hat{z}$

but also note that  $[\hat{L}^2, \hat{L}] = 0$ , since  $\hat{L}^2$  scalar, &  $[H, \hat{L}^2] = 0$ , since  $[H, \hat{L}] = 0$

$\Rightarrow$  "complete" set of commuting ops, whose eigenvalues can be used to label  $|E\rangle$  are:

$\hat{H}, \hat{L}^2, \hat{L}_z$ ; also  $\hat{L}^2$  &  $\hat{L}_z$  are conserved  
 $\Leftrightarrow$  const. of motion!

$\Rightarrow$  common eigenstates  $|E, L^2, L_z\rangle = ?$

4. Eigenstates of  $\hat{L}^2$  &  $\hat{L}_z$ :

$$\hat{L}^2 |l, m\rangle = \underbrace{\hbar^2 l(l+1)}_{\substack{\text{dimensions} \\ \text{convenient way to write}}} |l, m\rangle$$

must have:  $l \geq 0$   $\uparrow$  convenient way to write eigenvalue of  $\hat{L}^2$ .

$$\hat{L}_z |l, m\rangle = \hbar m |l, m\rangle$$

$$|l, m\rangle, l, m = ?$$

Could do everything in coordinate representation,  $|\theta, \varphi\rangle$

i.e. in terms of wavefns

$$\Psi_{lm}(\theta, \varphi) = \langle \theta, \varphi | l m \rangle \quad (\text{cf } \Psi_n(x) = \langle x | n \rangle)$$

by solving differential eqn:  $\begin{cases} L^2 \Psi_{lm}(\theta, \varphi) = \hbar^2 l(l+1) \Psi_{lm} \\ \text{diffn. op's} \rightarrow \begin{cases} L_z \Psi_{lm} = \hbar m \Psi_{lm} \end{cases} \end{cases}$

... but most of the information can be obtained from operator formalism.

(cf harmonic oscillator via solving Sch.Eqn vs using creation/annihil. ops)

$$L_z |l, m\rangle = \hbar m |l, m\rangle$$

consider (non-Hermitian) "ladder" operators.

$$\hat{L}_{\pm} = \hat{L}_x \pm i \hat{L}_y ; \quad \hat{L}_+^+ = \hat{L}_-$$

(like  $a^+$ ,  $a$ )

with properties:

$$\bullet [\hat{L}_z, \hat{L}_{\pm}] = \pm \hbar L_{\pm}$$

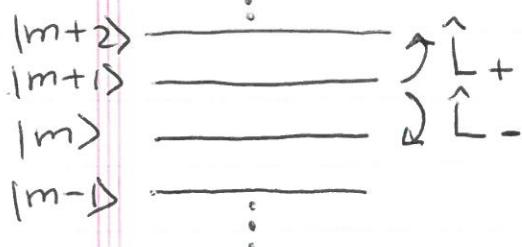
$$\bullet [L_+, L_-] = 2\hbar L_z$$

→ look at state:  $(L_{\pm} |l, m\rangle)$

$$\begin{aligned} L_z(L_{\pm} |l, m\rangle) &= (L_{\pm} L_z \pm \hbar L_{\pm}) |l, m\rangle \\ &= \hbar(m \pm 1) |L_{\pm} |l, m\rangle \end{aligned}$$

$$\Rightarrow L_{\pm} |l, m\rangle = C_m^{(\pm)} |l, m \pm 1\rangle$$

$\uparrow \text{???}$



hence  $L_x, L_y$   
have no matrix  
elements between  
 $l$  &  $l'$  for  $l \neq l'$   
block-diagonal in  $l, l'$

Harmonic oscillator  
analogies:

$$\bullet [\hat{n}, \hat{a}^+] = a^+ \quad [\hat{n}, \hat{a}] = -a$$

$$\bullet [a, a^+] = 1$$

→ look at state:  $(a^+ |n\rangle)$

$$a^+ |n\rangle = C_n^{(+)} |n+1\rangle$$

$$a |n\rangle = C_n^{(-)} |n-1\rangle$$

$$C_n^{(\pm)} = ???$$

$$\langle n | a a^+ | n \rangle = |C_n^{(+)}|^2 = n+1$$

$$\Rightarrow C_n^{(+)} = \sqrt{n+1}$$

$$C_n^{(-)} = \sqrt{n}$$

$SU(2)$  special unitary group

$2 \times 2$  complex matrices with  $\det U = 1$

$$U = \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix} \Rightarrow U^\dagger U = 1$$

$$\downarrow \quad \begin{pmatrix} a^* & -b \\ b^* & a \end{pmatrix} \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

with constraint (unitary)

$$|a|^2 + |b|^2 = 1 \rightarrow 3 \text{ parameters for } SU(2)$$

$SU(2)$  subgroup of  $U(2)$  which does not have unitary constraint.

$e^{i\theta} \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix} \in U(2)$

but globally different.

$$\text{e.g. } U_{2\pi/2} = e^{-i \frac{\pi}{2} \vec{S} \cdot \hat{z}} = e^{-i\pi} \neq 1$$

but rot. by  $2\pi$  is  $= 1$ .

$\Rightarrow$  2 elements of  $SU(2) \rightarrow$  1 of  $SO(3)$

$\uparrow$   
has  $s=\frac{1}{2}$   
represent.

$$C_m^{\pm} = ??? \quad \xrightarrow{\text{positive definite}} l(l+1) \geq m(m\pm 1)$$

$$\langle lm | L_- L_+ | lm \rangle = \underbrace{|C_m^{(\pm)}|^2}_{?} \underbrace{\langle l m+1 | l m+1 \rangle}_1$$

Note:  $L^2 = L_- L_+ + L_z^2 + \hbar L_z$

$$= L_+ L_- + L_z^2 - \hbar L_z$$

$$\Rightarrow \langle lm | L_- L_+ | lm \rangle = \hbar^2 [l(l+1) - m(m+1)]$$

$$\langle lm | L_+ L_- | lm \rangle = \hbar^2 [l(l+1) - m(m-1)]$$

$$\Rightarrow C_m^{(\pm)} = \hbar \sqrt{l(l+1) - m(m\pm 1)}$$

must be positive

To keep  $C_m^{(\pm)}$  real need to have max & min values of  $m$ , s.t.  $C_{m_*}^{(\pm)} = 0$   
also because require  $L_z^2 < L^2$

$$\Rightarrow -l \leq m \leq l$$

$$m = l, l-1, l-2, \dots, l-n = -l$$

$$\Rightarrow l-n = -l$$

$$2l = n \Rightarrow l = \frac{1}{2}n$$

$\in \mathbb{Z}$  in order to vanish  
 $C_{m=-l}^{(\pm)} = 0$

i.e. SU(2)/SO(3) rotational group (non Abelian) structure

requires  $l = \frac{1}{2}n$ , i.e. integer or half integer  
that's it!  
 orbital  $\vec{L}$        $\uparrow$   
 spin  $\vec{S}$        $\uparrow$

scalar operator:  $[\hat{h}, \hat{L}] = 0 \Rightarrow$

$$\Rightarrow [\hat{h}, \hat{L}_+] = 0$$

$$\langle n' l' m' | (\hat{h} \hat{L}_+ - \hat{L}_+ \hat{h}) | n' l' m' \rangle$$

$$\langle n' l' m' | \hat{h} \hat{L}_+ | n' l' m' \rangle - \langle n' l' m' | \hat{L}_+ \hat{h} | n' l' m' \rangle$$

↑      ↑  
 diagonal    diagonal in  $ll$   
 in  $l, m$                       connects  $m \leftrightarrow m' = m-1$

$$\sum_{\substack{m \\ m'' \\ l'' \\ n''}} \left( \langle n' l' m' | \hat{h} | n' l' m' \rangle \langle n' l' m' | \hat{L}_+ | n' l' m' \rangle \right. \\ \left. - \langle n' l' m' | \hat{L}_+ | n' l' m' \rangle \langle n' l' m' | \hat{h} | n' l' m' \rangle \right) \\ = \langle n' l' m' | \hat{h} | n' l' m' \rangle \langle n' l' m' | \hat{L}_+ | n' l' m-1 \rangle \\ - \langle n' l' m' | \hat{L}_+ | n' l' m-1 \rangle \langle n' l' m-1 | \hat{h} | n' l' m-1 \rangle = 0$$

$$\Rightarrow \langle n' l' m' | \hat{h} | n' l' m' \rangle = \langle n' l' m-1 | \hat{h} | n' l' m-1 \rangle$$

independent of  $m$ !

$\Rightarrow$  2l+1 degeneracy.

More generally, expect degeneracy when:

- $[J_1, J_2] \neq 0$  but  $[H, J_{1,2}] = 0$

on

- $H$  invariant under nonAbelian group with representations of  $d > 1$  dimensions.

irreducible

Focus on orbital L with  $l \in \mathbb{Z}$ . (spm  $\frac{1}{2} n$  later,

Each  $l$  has  $2l+1$  m states.

- Degeneracy:

$H$  is a scalar  $\Rightarrow$  cannot depend on  $L_z$  alone, only through  $L^2$

$\Rightarrow H [L^2, \cancel{L_z}] \Rightarrow$  independent of  $L_z$

eigenvalue  $m \Rightarrow$  each  $|E, l, m\rangle$

is (at least)  $(2l+1)$ -fold degenerate

Note: operator  $\vec{L}$ , represented by a matrix valued vector  
 $L_{em, em'}$  is block-diagonal with  $l^{\text{th}}$  block

a matrix  $\stackrel{(e)}{L}_{m, m'} (2l+1) \times (2l+1)$ ;

each block

is independent

called  $2l+1$

dimensional representation

of  $\vec{L}$ . Each subspace

has fixed  $L^2$ , that remains

unchanged by  $\vec{L}$

$$L_x = \begin{pmatrix} (0) & \stackrel{l=0}{\begin{matrix} 0 & 0 & \dots \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{matrix}} \\ \vdots & \underbrace{\quad}_{3 \times 3, l=1} \\ \vdots & \underbrace{\quad}_{5 \times 5, l=2} \end{pmatrix}$$

$\Rightarrow$  guaranteed degeneracy iff non-Abelian group with representation  
 $> 1$ , and  $[H, L_{\pm}] = 0$ , where  $L_{\pm}$  is a ladder op moving between

$\langle j'm' | J_x | jm \rangle$

$(0,0) \quad (\frac{1}{2}, \frac{1}{2}), (\frac{1}{2}, -\frac{1}{2}), (1, 1) \quad (1, 0) \quad (1, -1)$

$J_x$

$jm$

$j'm'$

0	0	0	0	0	0
0	0	$\frac{\hbar}{2}$	0	0	0
0	$\frac{\hbar}{2}$	0	0	0	0
0	0	0	0	$\frac{\hbar}{\sqrt{2}}$	0
0	0	0	$\frac{\hbar}{\sqrt{2}}$	0	$\frac{\hbar}{\sqrt{2}}$
0	0	0	0	$\frac{\hbar}{\sqrt{2}}$	0

5. Coordinate representation:  $\vec{L} = \vec{r} \times \vec{p}$

$$\bullet L^2 = -\frac{\hbar^2}{\sin\theta} \partial_\theta' \sin\theta \partial_\theta - \frac{\hbar^2}{\sin^2\theta} \partial_\varphi^2$$

$$L_z = -i\hbar \partial_\varphi; L_\pm = \hbar e^{\pm i\varphi} (\pm \partial_\theta + i \cot\theta \partial_\varphi)$$

$$\Psi_{em}(\theta, \varphi) \equiv \langle \theta, \varphi | l m \rangle \equiv Y_{lm}(\theta, \varphi)$$

$\uparrow$   
the (so-called)  
spherical harmonics

$$\left\{ \begin{array}{l} L^2 \Psi_{em}(\theta, \varphi) = \hbar^2 l(l+1) \Psi_{em}(\theta, \varphi) \\ -i\hbar \partial_\varphi \Psi_{em} = \hbar m \Psi_{em} \end{array} \right.$$

these two diff. eqns can be solved directly via series solns method.  $\Rightarrow Y_{lm}(\theta, \varphi)$ .

easy via separation of vars  $\Psi_{em}(\theta, \varphi) = \Theta_{lm}(\theta) \Phi_m(\varphi)$

$$\Rightarrow \partial_\varphi \Phi_m(\varphi) = im \Phi_m(\varphi)$$

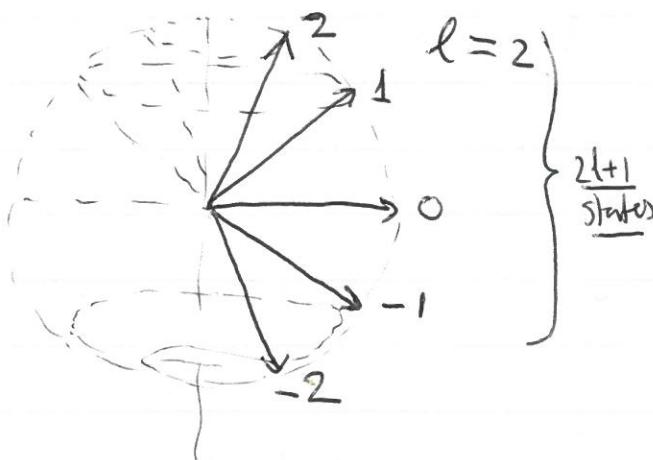
$$\Rightarrow \Phi_m(\varphi) = \frac{1}{\sqrt{2\pi}} e^{im\varphi} \quad \text{(Normalization: } \int_0^{2\pi} d\varphi |\Phi_m|^2 = 1 \text{)}$$

Note:  $\varphi \rightarrow \varphi + 2\pi$ :  $\Phi_m(\varphi) \rightarrow \Phi_m(\varphi + 2\pi) = e^{i2\pi m} \Phi_m(\varphi)$   
cannot require  $e^{i2\pi m} = 1$  since  $\Phi(\varphi)$  can

be multivalued, i.e. this is not the argument for  $m \in \mathbb{Z}$  quantization. Related to why it's ok to have fractional  $m$ .  $m \in \mathbb{Z}$  (or  $\frac{1}{2}\mathbb{Z}$ ) due to 3D rots & its non-Abelian nature.

• Note orthonormality

$$\langle \ell m | \ell m' \rangle = \delta_{mm'} = \frac{1}{2\pi} \int_0^{2\pi} d\varphi e^{-i(m-m')\varphi}$$



Note: only  $L_z$  has a definite value of  $m$ .  $L_x, L_y$  are not defined i.e. can be measured to be any value. cartoon: precession about  $\hat{z}$  axis.

Note:  $L^2 = \hbar^2 l(l+1) > L_z^2 = m^2 \hbar^2 = l^2 \hbar^2$

$$\sqrt{l(l+1)} > l \Rightarrow \text{even in } m=l \text{ state}$$

$\vec{L}$  vector is not straight up! why?

uncertainty in  $L_x \approx L_y$

$$L^2 = L_x^2 + L_y^2 + L_z^2$$

$$\langle \ell m | L^2 | \ell m \rangle = \hbar^2 l(l+1) = \langle L_z^2 \rangle + m^2 \hbar^2$$

$$\xrightarrow{\text{for } m=l} \hbar^2 (l(l+1) - l^2) = \hbar^2 l = \langle L_z^2 \rangle$$

$$\Rightarrow \underline{L_z^{\text{rms}}} = \hbar \sqrt{l}$$

$\Rightarrow$  large  $l$ , uncertainty in  $L_z \ll L^2$

$\Rightarrow$  classical limit for large  $l$ .

$$\langle \ell m | L_x^2 | \ell m \rangle = \langle \ell m | L_y^2 | \ell m \rangle = \frac{1}{2} \hbar^2 (l(l+1) - m^2)$$

$$\langle \hat{n} | l m \rangle = \langle \theta, \varphi | l m \rangle = Y_{lm}^{(\theta, \varphi)} (\text{cf. } \langle x | p \rangle = \psi_p(x))$$

$$\vec{L} = \vec{r} \times \vec{p} = ?$$

consider infinitesimal rots. or use  $r$  &  $p$  in  
coord. repres.

$$\Rightarrow L^x = -i\hbar \left( -\sin\varphi \frac{\partial}{\partial\theta} - \cot\theta \cos\varphi \frac{\partial}{\partial\varphi} \right)$$

$$L^y = -i\hbar \left( \cos\varphi \frac{\partial}{\partial\theta} - \cot\theta \sin\varphi \frac{\partial}{\partial\varphi} \right)$$

$$L^2 = \vec{r}^2 \vec{p}^2 - (\vec{r} \cdot \vec{p})^2 + i\hbar \vec{r} \cdot \vec{p}$$

- Waves,  $Y_{lm}(\theta, \varphi)$  via ladder operators:

Recall treatment of harmonic oscillator:

$$a|0\rangle = 0 \Rightarrow \int_x \langle x | a | x' \rangle \langle x' | 0 \rangle$$

$$= \frac{1}{\sqrt{2}}(x + \partial_x) \delta(x - x')$$

$$\Rightarrow (x + \partial_x) \psi_0(x) = 0$$

$$\Rightarrow \psi_0(x) = N e^{-x^2/2}$$

$$\psi_1(x) = \langle x | 1 \rangle = a^+ |0\rangle = \frac{1}{\sqrt{2}}(x - \partial_x) \psi_0(x)$$

$$\psi_1(x) = \langle x | 1 \rangle = \frac{1}{\sqrt{2}}(x - \partial_x) e^{-x^2/2}$$

⋮ ⋮

$$\psi_n(x) = \langle x | n \rangle = \left(\frac{1}{\sqrt{2}}\right)^n \frac{1}{\sqrt{n!}} (x - \partial_x)^n e^{-x^2/2} N$$

similarly with  $|lm\rangle$ ,  $L_+$ ,  $L_-$ :

$$L_+ |ll\rangle = 0, \text{ annihilation of "top most" state.}$$

$$L_\pm = \pm \hbar e^{\pm i\varphi} (\partial_\theta \pm i \cot\theta \partial_\varphi)$$

$$\Rightarrow (\partial_\theta + i \cot\theta \partial_\varphi) \Psi_{ll}(\theta, \varphi) = 0$$

$$\Psi_{ll}(\theta, \varphi) = \Theta_{ll}(\theta) e^{il\varphi}$$

$$\Rightarrow (\partial_\theta - l \cot\theta) \Theta_{ll}(\theta) = 0 \Rightarrow \Theta_{ll}(\theta) = (\sin\theta)^l \propto Y_{ll}$$

$$P_e^m(x) = P_e^{m=0}(x) = N \frac{d^l}{dx^l} [(1-x^2)^l]$$

$$P_e^m(x) = (1-x^2)^{m/2} \frac{d^m}{dx^m} P_e(x)$$

With proper normalization

$$Y_{ee}(\theta, \varphi) = (-1)^l \left[ \frac{(2l+1)!}{4\pi} \right]^{1/2} \frac{1}{2^l l!} (sm\theta)^l e^{il\varphi}$$

strongly confined to xy plane

$$|_{-1} |ll\rangle = \frac{1}{\sqrt{2l}} [l(l+1) - l(l-1)]^{1/2} |lf-1\rangle$$

$$\Rightarrow Y_{el-1}(\theta, \varphi) = \frac{1}{\frac{1}{\sqrt{2l}}} \left[ -e^{-i\varphi} (\partial_\theta - i \cot\theta \partial_\varphi) \right] Y_{el}(\theta, \varphi)$$

$$= \frac{1}{\sqrt{2l} \frac{1}{\sqrt{l}}} \left[ (-\frac{1}{\sqrt{l}}) e(\partial_\theta + l \cot\theta) (sm\theta)^l \right]$$

Note:  $(\partial_\theta + l \cot\theta) f(\theta) = \frac{1}{(sm\theta)^l} \partial_\theta (sm\theta)^l f(\theta)$

$$\Rightarrow Y_{el-1} = C_e \frac{e^{i(l-1)\varphi}}{(sm\theta)^l} (-\partial_\theta) [(sm\theta)^{2l}]$$

⋮

$$Y_{em}(\theta, \varphi) = C_{em} \frac{e^{im\varphi}}{(sm\theta)^m} \left( \frac{\partial}{\partial u} \right)^{l-m} [(1-u^2)^l]$$

where,  $u = \cos\theta$

Normalization  $\langle Y_{em} | Y_{em} \rangle = 1 = \int_0^{2\pi} d\varphi \int du |C|^2 \left[ \frac{1}{(1-u^2)^m} \partial_u (1-u^2)^l \right]^2$

$$\Rightarrow Y_{em}(\theta, \varphi) = (-1)^m \left[ \frac{2l+1}{4\pi} \frac{(l-m)!}{(l+m)!} \right]^{1/2} P_e^m(\cos\theta) e^{im\varphi}$$

$$Y_{l,-m} = (-1)^m Y_{em}^* ; P_e^m(u) = (-1)^{\frac{l+m}{2}} \frac{(l+m)!}{(l-m)!} \frac{(1-u^2)^{-m/2}}{2^l l!} \partial_u^{l-m} (1-u^2)^l$$

## Other props:

- $$\int_{-1}^1 P_\ell(\cos \theta) P_{\ell'}(\cos \theta) d(\cos \theta) = \frac{2}{2\ell+1} \delta_{\ell,\ell'}$$
- $$P_\ell(x) = \frac{1}{2^\ell \ell!} \frac{d^\ell}{dx^\ell} (x^2 - 1)^\ell$$
 Legendre Polyn.
- $$\int_0^1 (1-x^2)^m dx = \frac{2m!!}{(2m+1)!!}$$
- isotropy of closed shell

$$\sum_m |Y_{\ell m}(\theta, \varphi)|^2 = \frac{2\ell+1}{4\pi}$$
- generating func.

$$\frac{1}{\sqrt{1+s^2-2sx}} = \sum_{\ell=0}^{\infty} P_\ell(x) s^\ell$$
 ← multipole expansion
 

$$\int \frac{P(r')}{\sqrt{r^2 - r'^2}} d^3 r'$$
- orthonormality

$$\int Y_{\ell m}^*(\theta, \varphi) Y_{\ell' m'}(\theta, \varphi) d\Omega = \delta_{\ell\ell'} \delta_{mm'}$$
- $$P_\ell(\hat{n} \cdot \hat{n}') = \frac{4\pi}{2\ell+1} \sum_{m=-\ell}^{\ell} Y_{\ell m}^*(\hat{n}') Y_{\ell m}(\hat{n})$$

$\uparrow$   $\hat{n}'$  ↗ quantization axis  
 $\uparrow$   $\hat{n}'$  ↗ rotation of  $\hat{z} \rightarrow \hat{n}'$        $\uparrow$  complete set. " "

$$Y_{\ell 0}(\hat{n}) = \sum_m C_{\ell m}(\hat{n}') Y_{\ell m}^{\frac{1}{2}}(\hat{n})$$

$$= Y_{\ell 0}(\hat{n}') = \sum_m C_{\ell m}(\hat{n}) Y_{\ell m}^{\frac{1}{2}}(\hat{n}')$$

$$\Rightarrow C_{\ell m}(\hat{n}) = Y_{\ell m}^{\frac{1}{2}}(\hat{n}) !$$

Closure relation  $\Rightarrow$

- $$\int P_\ell(\hat{n} \cdot \hat{n}') P_{\ell'}(\hat{n} \cdot \hat{n}'') d\Omega_{\hat{n}} = \frac{4\pi}{2\ell+1} \delta_{\ell\ell'} P_\ell(\hat{n}' \cdot \hat{n}'')$$
- Parity:  $r \rightarrow r$ ,  $\theta \rightarrow \pi - \theta$ ,  $\phi \rightarrow \phi + \pi$ :  $Y_{\ell m}(\theta, \varphi) \rightarrow (-1)^\ell Y_{\ell m}(\theta, \varphi)$   
 $\Leftrightarrow (\vec{r} \rightarrow -\vec{r})$   $[P.L_\pm] = 0$

10.17

$$Y_{lm}(\theta, \varphi) = (-1)^l \left[ \frac{(2l+1)!}{4\pi} \right]^{1/2} \frac{1}{2^l l!} \left[ \frac{(1+m)!}{(2l)!(l-m)!} \right]^{1/2}$$

$$\times e^{im\varphi} \frac{1}{(\sin\theta)^m} \frac{\partial^{l-m}}{\partial(\cos\theta)^{l-m}} (\sin\theta)^{2l}$$

spherical harmonics:  $\propto (\sin\theta)^{|m|} \underbrace{P_{l-|m|}(\cos\theta)}$

highest monomial

$$\int Y_{lm}^*(\theta, \varphi) Y_{lm}(\theta, \varphi) d\Omega = \delta_{ll'} \delta_{mm'}$$

complete orthonormal basis.

$$\Psi(r, \theta, \varphi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l C_{lm}(r) Y_{lm}(\theta, \varphi)$$

Explicit list:

Hydrogen orbitals.

$$Y_{0,0} = \frac{1}{\sqrt{4\pi}}$$

+

$$Y_{1,\pm 1} = \mp \left( \frac{3}{8\pi} \right)^{1/2} \sin\theta e^{\pm i\varphi} \propto x \pm iy$$



$$Y_{1,0} = \left( \frac{3}{4\pi} \right)^{1/2} \cos\theta \propto z$$

-

$$Y_{2,\pm 2} = \left( \frac{15}{32\pi} \right)^{1/2} \sin^2\theta e^{\pm i2\varphi} \propto x^2 - y^2 \pm izxy$$

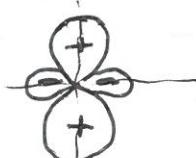
+

confined to xy plane since high rotation rate.

$$Y_{2,\pm 1} = \mp \left( \frac{15}{8\pi} \right)^{1/2} \sin\theta \cos\theta e^{\pm i\varphi} \propto xy \pm izy$$

-

$$Y_{2,0} = \left( \frac{5}{16\pi} \right)^{1/2} (3\cos^2\theta - 1) \propto 3z^2 - 1$$



Cartesian tensors

$$x_i x_j x_k = T_{ijk} ; Q_{ij} = x_i x_j$$

traceless  $\frac{3+4}{2} - 1$