

Simple Applications III

Lecture 5: Simple Problems in 1d

solve: $\hat{H} |\psi_n\rangle = E_n |\psi_n\rangle$ in most convenient representation, e.g. $|r\rangle$

$$H_n \psi_n(r) = E_n \psi_n(r); \quad H_n = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x)$$

Simple examples:

require continuity of $\psi(x)$ & $\psi'(x)$

(a) free particle, $V(x) = 0$

$$\Rightarrow -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \psi_n(x) = E_n \psi_n(x)$$

$$\Rightarrow \psi_k(x) = A e^{ikx}, \quad E_k = \frac{\hbar^2 k^2}{2m}$$

for finite $V(x)$ only.

A - normalization determined by putting a system in a box of size L .

$$1 = \int_{-L/2}^{L/2} dx A^2 \Rightarrow A = \frac{1}{\sqrt{L}}$$

(...more carefully, in a box with periodic b.c.

$$e^{ikL} = 1 \Rightarrow k_n = \frac{2\pi}{L} n$$

$$\sum_n \psi_n^*(x) \psi_n(x') = \sum_{k_n} \frac{1}{L} e^{ik_n(x-x')} = \int_{-\infty}^{\infty} \frac{dk}{2\pi} e^{ik(x-x')} = \delta(x-x') \quad \checkmark$$

relation to $U(x, x', t)$ convolution:

$$\psi(x, t) = \int dx' U(x, x'; t) \psi(x', 0)$$

$$= \int_{x'} \langle x | \hat{U}_A | x' \rangle \langle x' | \psi(0) \rangle$$

$$= \int_{x'} \varphi_E(x) \varphi_E^*(x') e^{-\frac{i}{\hbar} E t} \psi(x', 0)$$

$$\langle x | \psi(x, t) \rangle = \sum_{\vec{E}} \langle x | E \rangle e^{-\frac{i}{\hbar} E t} \langle E | \psi(0) \rangle$$

$$| \psi(x, t) \rangle = \sum_{\vec{E}} | E \rangle \langle E | \psi(0) \rangle e^{-\frac{i}{\hbar} E t}$$

More formally: $\hat{H} = \frac{\hat{p}^2}{2m}$

$$\Rightarrow \hat{H} |\psi_n\rangle = E_n |\psi_n\rangle \Rightarrow \frac{\hat{p}^2}{2m} |p\rangle = \frac{p^2}{2m} |p\rangle$$

where $\hat{p} |p\rangle = p |p\rangle$

$$|E_p\rangle = |\pm \sqrt{2mE}\rangle \leftarrow 2 \text{ states } \pm p \text{ for every } E.$$

recall for free particle:

$$U(x, t; x', 0) = \left(\frac{m}{2\pi\hbar i t} \right)^{1/2} e^{i m (x-x')^2 / 2\hbar t}$$

$$\text{Evolution of } \psi(x', 0) = e^{i \frac{p_0}{\hbar} x'} \frac{e^{-x'^2 / 2\Delta^2}}{(\pi \Delta^2)^{1/2}}$$

gaussian wave packet

$\Rightarrow$ see hw 2.

$$\psi(x, t) = \left[\pi^{1/2} \left(\Delta + \frac{i\hbar t}{m\Delta} \right) \right]^{-1/2} e^{-\frac{(x - p_0 t/m)^2 / m^2}{2\Delta^2 (1 + i\hbar t / m\Delta^2)}} e^{i \frac{p_0}{\hbar} x - i \frac{p_0^2}{2m} t / \hbar}$$

$$\Rightarrow \langle X \rangle = \frac{p_0 t}{m} = \frac{\langle \hat{p} \rangle}{m} t$$

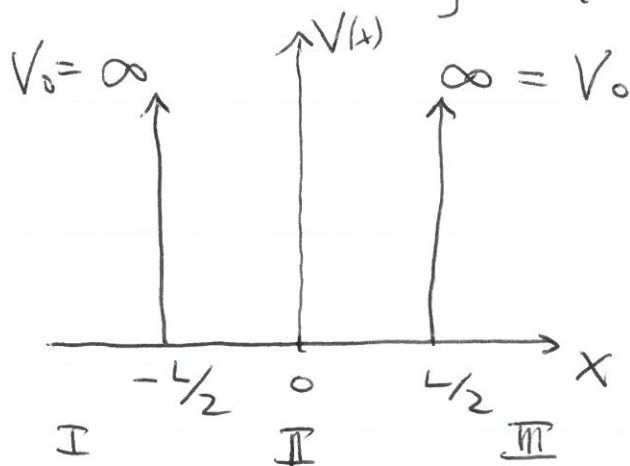
$$\langle \delta X(t) \rangle = \frac{\Delta(t)}{\sqrt{2}} = \frac{\Delta}{\sqrt{2}} \left(1 + \frac{\hbar^2 t^2}{m^2 \Delta^4} \right)^{1/2}$$

Why? $\delta X(0) = \Delta \Rightarrow$ uncertainty grows in time
 $\delta p(0) = \frac{\hbar}{\Delta} \Rightarrow \delta X(t) \sim \frac{\hbar}{\Delta m} t$ ✓

(b) Particle in a "box" - infinite square poten.

$$V(x) = 0, \quad |x| < L/2$$

$$= V_0 \rightarrow \infty, \quad |x| \geq L/2$$



$\Rightarrow \psi(\pm L/2)$ can be discontinuous.

$$\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2} (E - V(x)) \psi = 0$$

$$\text{III. } \frac{d^2\psi_{\text{III}}}{dx^2} - \frac{2m}{\hbar^2} (\underbrace{V_0 - E}_{\text{large } > 0}) \psi_{\text{III}} = 0$$

$$\Rightarrow \psi_{\text{III}} = A e^{-\kappa x} + B e^{\kappa x}$$

$$\Rightarrow \boxed{\psi_{\text{III}} = A e^{-\kappa x} \xrightarrow{V_0 \rightarrow \infty} 0} \quad \text{choose } B=0 \text{ so that } \psi_{\text{III}}(x > L/2) \text{ finite.}$$

$$\kappa \equiv \sqrt{2m(V_0 - E)/\hbar^2}$$

$$\text{I. } \psi_{\text{I}} = \underbrace{C e^{-\kappa x}}_{\text{choose } C=0} + D e^{\kappa x}$$

choose $C=0$ so that $\psi_{\text{I}}(x < -L/2)$ finite

$$\boxed{\psi_{\text{I}}(x) = D e^{\kappa x} \xrightarrow{V_0 \rightarrow \infty} 0}$$

$$\Psi_{II}(x) = A e^{ikx} + B e^{-ikx}, \quad k = \sqrt{2mE}/\hbar$$

Require continuity of Ψ , i.e.

$$\begin{cases} \Psi_{II}(x=L/2) = \Psi_{III}(x=L/2) = 0 \\ \Psi_{II}(x=-L/2) = \Psi_I(x=-L/2) = 0 \end{cases}$$

leads to energy eigenvalues quantization
(cf. notes/harmonics on a guitar string)

$$A e^{-ikL/2} + B e^{ikL/2} = 0$$

$$A e^{ikL/2} + B e^{-ikL/2} = 0$$

$$\det \begin{pmatrix} e^{-ikL/2} & e^{ikL/2} \\ e^{ikL/2} & e^{-ikL/2} \end{pmatrix} = \sin kL = 0$$

$$\sin kL = 0 \Rightarrow k_n = n\pi/L, \quad n \in \mathbb{Z}.$$

$$A = -e^{i\pi n} B = (-1)^n B$$

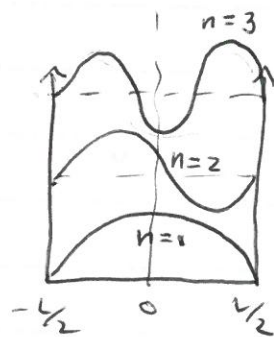
$$\Rightarrow \Psi_n(x) = \left(\frac{2}{L}\right)^{1/2} \sin \frac{n\pi x}{L}, \quad n \text{ even } > 0$$

$$= \left(\frac{2}{L}\right)^{1/2} \cos \frac{n\pi x}{L}, \quad n \text{ odd } > 0$$

($n=0$, boring since $\Psi_0=0$)

$$E_n = \frac{\hbar^2 k_n^2}{2m} = \frac{\hbar^2 \pi^2 n^2}{2mL^2}$$

only positive
as $n < 0$
are not distinct

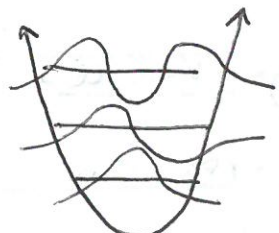


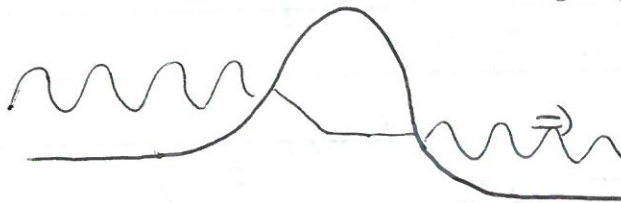
Note: in system with origin at $x=0 \Rightarrow$ (see Exers. 5.25 Shankar pg 164)
 $\Psi_n = \left(\frac{2}{L}\right)^{1/2} \sin \left(\frac{n\pi x}{L}\right), \quad n = 1, 2, 3, \dots$

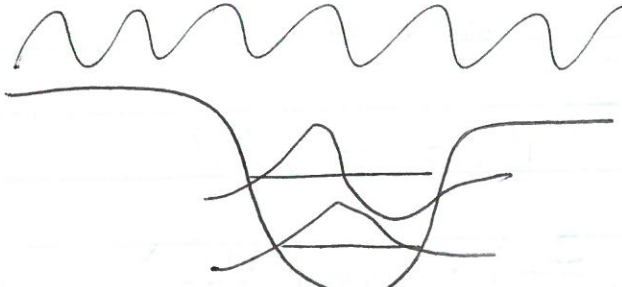
- "crude" soln of S.Eqn.:

balance $\underbrace{-\frac{\hbar^2 \nabla^2}{2m} \psi \text{ with } V\psi \text{ with } E\psi}$

Three classes of $V(x)$ probs

-  $\Rightarrow$ only discrete spectrum, since $V(x \rightarrow \pm \infty) \rightarrow \infty$
 $\Rightarrow \psi(x \rightarrow \pm \infty) \rightarrow 0$

-  $\Rightarrow$ only continuum spectrum.
 $\psi(x \rightarrow \pm \infty)$ oscillates.

-  $\Rightarrow$ discrete & continuum set of states.

- All eigenvalues are discrete, all states are bound $\rightarrow$ since $V_0 \rightarrow \infty$
more generically a range of discrete E_n 's for each bound state & for $E > E_n^{\max} = V_0$ continuous spectrum
- n counts # of nodes in $\psi_n(x)$
higher $n \rightarrow$ higher $k \rightarrow$ higher E_k
- lowest $E_{n=1} = \frac{\hbar^2 \pi^2}{2mL^2} \leftrightarrow \begin{cases} \delta p \sim \frac{\hbar}{L} \\ \delta x \sim L \end{cases}$
uncertainty principle
- energy discretization comes from b.c. of continuity of ψ, ψ' that is only possible at discrete set of energies E_n .
- in 1d E uniquely labels states, i.e. no degeneracy (almost $E \Rightarrow \pm p = \pm \sqrt{2mE/\hbar^2}$) for bound states.
- in 1d (& 2d) bound state for arbitrarily weak attractive potential. (see HW3)

$\rightarrow$ expect this physically

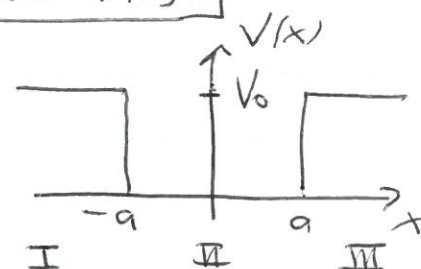
$$E = \frac{p^2}{2m} \rightarrow \text{in 1d no other d.o.f. for point particle.}$$

$$\begin{aligned} \Delta \quad & -\frac{\hbar^2}{2m} \psi_1'' + V \psi_1 = E \psi_1 \\ & -\frac{\hbar^2}{2m} \psi_2'' + V \psi_2 = E \psi_2 \Rightarrow \end{aligned}$$

$$\begin{aligned} (\psi_2 \psi_1' - \psi_1 \psi_2')' &= 0 \Rightarrow \psi_1 \psi_2' - \psi_2 \psi_1' = c = 0 \\ &\Rightarrow \psi_1 = A \psi_2 \end{aligned} \quad \begin{array}{l} \text{if } \psi_1 = 0 \\ \text{at } \pm \infty. \end{array}$$

(c) Finite square-well potential $V(x)$:

$$V(x) = \begin{cases} 0, & |x| \leq a \\ V_0, & |x| > a \end{cases}$$



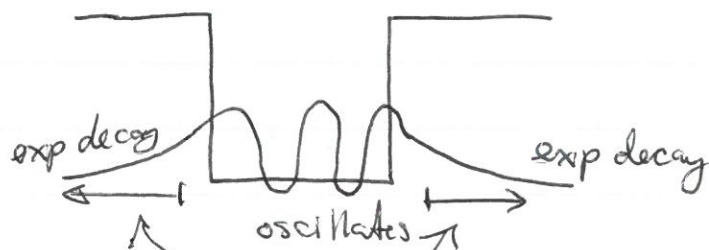
Bound states: $E < V_0$.

$$\begin{aligned} \Psi_I &= C e^{+\kappa x} \\ \Psi_{III} &= D e^{-\kappa x} \end{aligned} \quad \left. \vphantom{\begin{aligned} \Psi_I &= C e^{+\kappa x} \\ \Psi_{III} &= D e^{-\kappa x} \end{aligned}} \right\} \kappa = \sqrt{2m(V_0 - E)}/\hbar > 0$$

$$\Psi_{II} = A e^{ikx} + B e^{-ikx} = \tilde{A} \sin kx + \tilde{B} \cos kx.$$

$$k = \sqrt{2mE}/\hbar$$

qualitatively



leaks/tunneling into classically forbidden region.

match at $\pm a = x$, requiring continuity of Ψ, Ψ' .

$$\begin{aligned} \text{(i)} \quad \Psi_I(-a) &= \Psi_{II}(-a) & C e^{-\kappa a} &= -\tilde{A} \sin ka + \tilde{B} \cos ka \\ \text{(ii)} \quad \Psi_I'(-a) &= \Psi_{II}'(-a) & \Leftrightarrow +\kappa C e^{-\kappa a} &= \tilde{A} k \cos ka + \tilde{B} k \sin ka \\ \text{(iii)} \quad \Psi_{II}(a) &= \Psi_{III}(a) & D e^{-\kappa a} &= \tilde{A} \sin ka + \tilde{B} \cos ka \\ \text{(iv)} \quad \Psi_{II}'(a) &= \Psi_{III}'(a) & -\kappa D e^{-\kappa a} &= \tilde{A} k \cos ka - \tilde{B} k \sin ka \end{aligned}$$

4 eqns, 3 unknown coefficients (ratios, since linear homogeneous) + 1 unknown energy $E \rightarrow$ eigenvalues!

$$\begin{aligned}
 C e^{-\kappa a} &= -A \sin \kappa a + B \cos \kappa a \\
 D e^{-\kappa a} &= A \sin \kappa a + B \cos \kappa a \\
 C \kappa e^{-\kappa a} &= A \kappa \cos \kappa a + B \kappa \sin \kappa a \\
 -D \kappa e^{-\kappa a} &= A \kappa \cos \kappa a - B \kappa \sin \kappa a
 \end{aligned}
 \quad \left. \begin{array}{l} \\ \\ \\ \end{array} \right\} \begin{array}{l} \text{(drop } \sim) \\ \\ \text{diff} = 0 \\ \Rightarrow \text{messy!} \end{array}$$

2 types of solns: (a) $C = D$ and $A = 0$
 (b) $C = -D$ and $B = 0$

why? Parity is a good quantum #:

$$V(x) = V(-x) = P[V(x)]$$

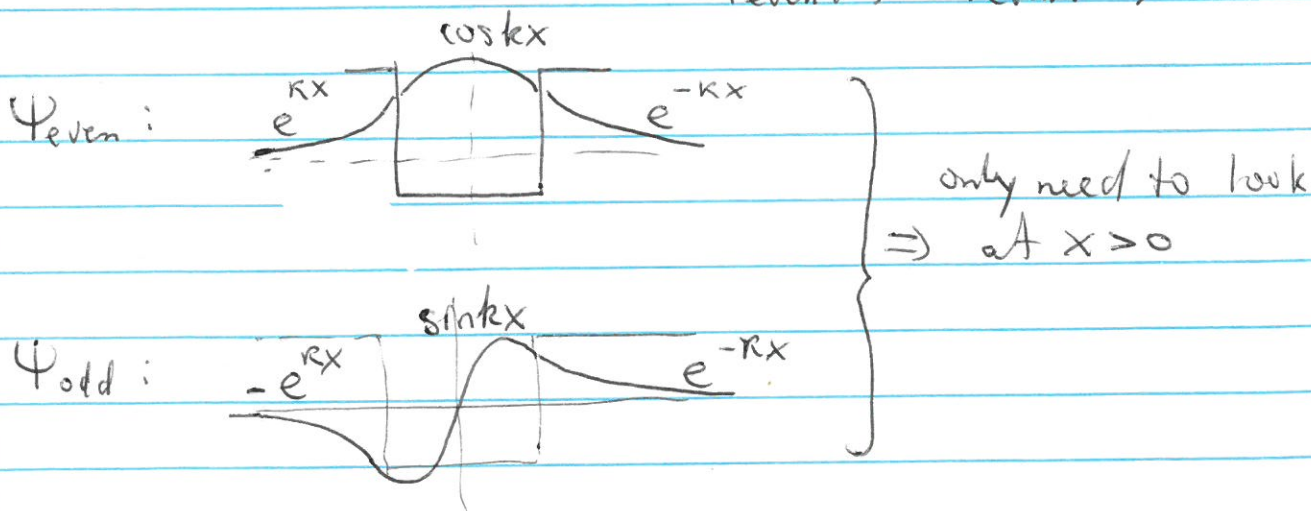
↑ sends $x \rightarrow -x$

$$[P, H] = 0 \Rightarrow \psi \text{ eigenfunc. of } H \text{ \& } P$$

$$P\psi = \epsilon\psi, \text{ but } P^2 = 1 \Rightarrow \epsilon = \pm 1$$

$$\Rightarrow \psi(x) = \pm \psi(-x) \rightarrow \psi_{\text{odd}}(x) = -\psi_{\text{odd}}(-x)$$

$$\psi_{\text{even}}(x) = \psi_{\text{even}}(-x)$$



$$\Psi_{\text{even}} = \begin{cases} B \cos kx & , 0 < x \leq a \\ D e^{-\kappa x} & , a < x \end{cases}$$

$$\Rightarrow B \cos ka = D e^{-\kappa a} \Rightarrow \boxed{k \tan ka = \kappa}$$

$$-B k \sin ka = -D \kappa e^{-\kappa a}$$

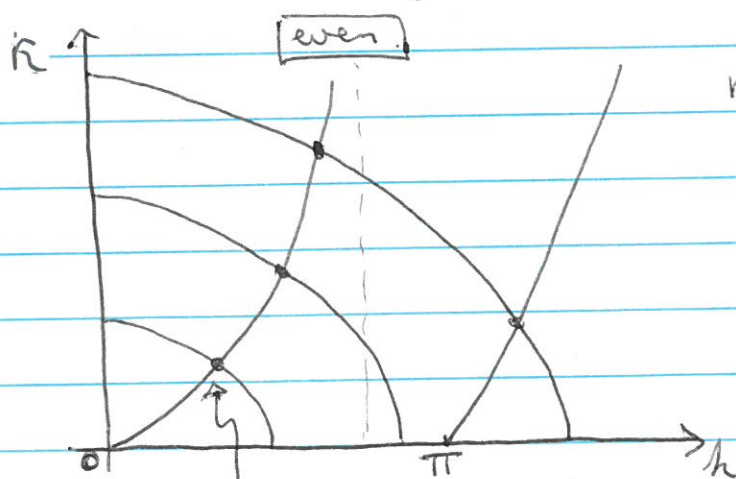
$$\Psi_{\text{odd}} = \begin{cases} A \sin kx & , 0 < x \leq a \\ D e^{-\kappa x} & , a < x \end{cases}$$

$$\Rightarrow A \sin ka = D e^{-\kappa a} \Rightarrow \boxed{k \cot ka = -\kappa}$$

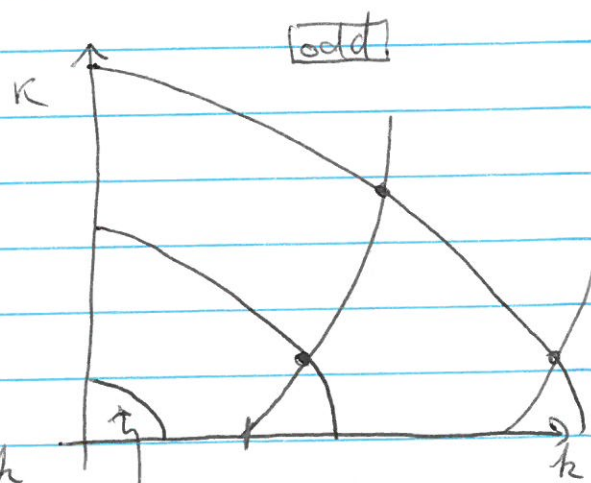
$$A k \cos ka = -D \kappa e^{-\kappa a}$$

Note: $k^2 + \kappa^2 = \frac{2mV_0}{\hbar^2}$

$\Rightarrow$ intersections of circle & $k \tan ka = \kappa$ or $k \cot ka = -\kappa$ for even & odd eigenfns.



always at least
1 even soln (bound state)



no soln for weak
enough V_0 .

$\Rightarrow$ even groundstate, always at least 1 bound state.

What happens to soln's for $V = \infty$, $x < 0$
 $V = 0$, $0 < x < a$, $V = V_0$, $x > a$?

Note: • $V_0 \rightarrow \infty$, $R \rightarrow \infty$

$\Rightarrow$ even solns: $k \tan ka = \kappa \rightarrow \infty$
($\cos kx$)

$$\Rightarrow ka = \frac{\pi}{2}(2n+1) \Rightarrow k = \frac{\pi}{2a}(2n+1)$$

$\Rightarrow$ odd solns: $k \cot ka \rightarrow -\infty$ $k = \frac{\pi}{L}(2n+1) \checkmark$
($\sin kx$)

$$\Rightarrow ka = \pi(1+n) \Rightarrow k = \frac{\pi}{a}(n+1)$$

$$k = \frac{\pi}{L} 2(n+1) \checkmark$$

• Always bound state (at least one) even soln!

Continuum states.

$$= A \cos(k'x + \delta)$$

even for $x > 0$: $\psi_{II}^> = \cos kx$, $\psi_{III}^> = C \sin k'x + D \cos k'x$

$$k = \sqrt{2mE}/\hbar, \quad k' = \sqrt{2m(E-V_0)}/\hbar$$

$$\left. \begin{aligned} \cos ka &= C \sin k'a + D \cos k'a \\ -k \sin ka &= C k' \cos k'a - D k' \sin k'a \end{aligned} \right\} \begin{array}{l} 2 \text{ eqns, 2 unknowns} \\ C, D \Rightarrow k \Rightarrow E \\ \text{unconstrained} \\ \Rightarrow \text{continuum.} \end{array}$$

(Note: for $x < 0$: $\psi_{II}^< = \cos kx$, $\psi_{III}^< = -C \sin k'x + D \cos k'x$)

$$\underbrace{\begin{pmatrix} \sin k'a & \cos k'a \\ k' \cos k'a & -k' \sin k'a \end{pmatrix}}_M \begin{pmatrix} C \\ D \end{pmatrix} = \begin{pmatrix} \cos ka \\ -k \sin ka \end{pmatrix}$$

$$\Rightarrow M^{-1} = \begin{pmatrix} \sin k'a & \frac{1}{k'} \cos k'a \\ \cos k'a & -\frac{1}{k'} \sin k'a \end{pmatrix}$$

$$\Rightarrow \begin{aligned} C^e &= \cos k a \sin k' a - \frac{k}{k'} \cos k' a \sin k a \\ D^e &= \cos k a \cos k' a + \frac{k}{k'} \sin k a \sin k' a \\ \left(\frac{D^e}{C^e} = -\cot \delta \right) \end{aligned}$$

odd for $x > 0$: $\psi_{II}^> = \sin k x$, $\psi_{III}^> = C \sin k' x + D \cos k' x$
 $= A \sin(k' x + \delta)$

(Note: for $x < 0$, $\psi_{II}^< = \sin k x$, $\psi_{III}^< = C \sin k' x - D \cos k' x$)

$$\begin{pmatrix} \sin k' a & \cos k' a \\ k' \cos k' a & -k' \sin k' a \end{pmatrix} \begin{pmatrix} C \\ D \end{pmatrix} = \begin{pmatrix} \sin k a \\ k \cos k a \end{pmatrix}$$

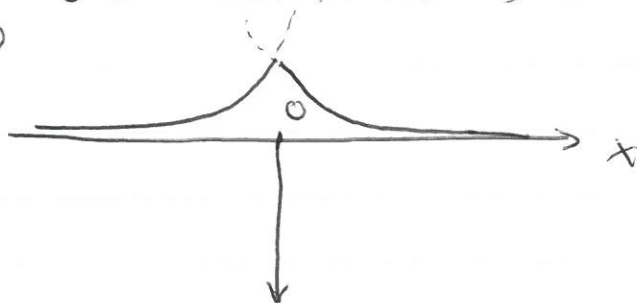
$$\Rightarrow \begin{aligned} C^o &= \sin k' a \sin k a + \frac{k}{k'} \cos k' a \cos k a \\ D^o &= \cos k' a \sin k a - \frac{k}{k'} \sin k' a \cos k a \\ \left(\frac{D^o}{C^o} = \tan \delta \right) \end{aligned}$$

Note: wavefn does something "complicated" near $V(x) \neq 0$, but far away just a phase shift.
 δ ← key quantity determining scattering of particle by a potential, localized.

(d) Attractive δ -fnc potential

$$V_0 = +\frac{U_0}{2a} \xrightarrow{a \rightarrow 0} -U_0 \delta(x) = V(x)$$

Bound states:



even soln:

$$\psi = \begin{cases} e^{-\kappa x} & , x > 0 \\ e^{\kappa x} & , x < 0 \end{cases} , \quad \underline{E = -\frac{\hbar^2 \kappa^2}{2m}}$$

$$\psi(0) = 1, \quad \psi'(0^\pm) = \mp \kappa$$

$$\int_{-\varepsilon}^{+\varepsilon} \left[-\frac{\hbar^2}{2m} \psi'' - U_0 \delta(x) \psi = E \psi \right]$$

$$\varepsilon \rightarrow 0 \quad -\frac{\hbar^2}{2m} \left(\underbrace{\psi'(0^+)}_{-\kappa} - \underbrace{\psi'(0^-)}_{\kappa} \right) - U_0 \underbrace{\psi(0)}_1 = 2E \varepsilon \psi(0) = 0$$

$$\frac{\hbar^2}{m} \kappa = U_0 \Rightarrow \boxed{\kappa = \frac{m U_0}{\hbar^2}}$$

$$\boxed{E = -\frac{\hbar^2 \kappa^2}{2m} = -\frac{m U_0^2}{2\hbar^2}}$$

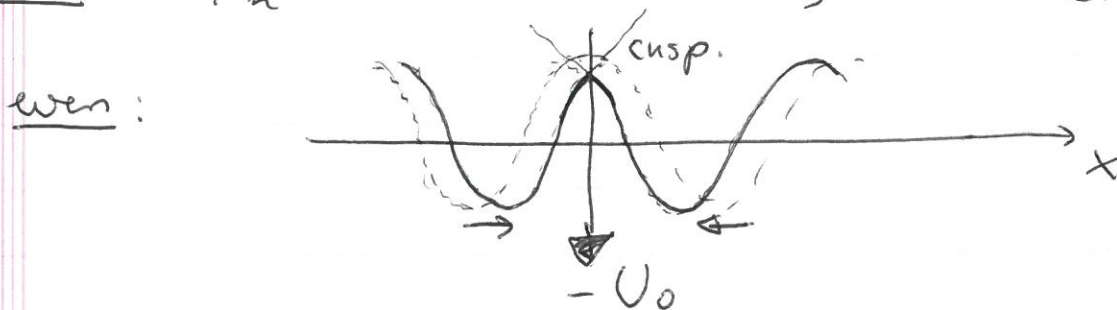
odd soln: $\psi_{\text{odd}}(x) \delta(x) = \psi_{\text{odd}}(0) \delta(x) = 0$

$\Rightarrow$ odd soln do not "see" $V(x) = -U_0 \delta(x)$

$\Rightarrow$ unmodified free $\Rightarrow \boxed{\psi_{\text{odd}}(x) = \sin kx}$
continuum.

Continuum states:

odd: $\psi_h^{\text{odd}}(x) = \sin kx$, $E = \frac{\hbar^2 k^2}{2m}$



$$\psi^>(x>0) = \cos(kx + \delta), \quad \psi^<(x<0) = \cos(kx - \delta)$$

$$\psi'_>(0^+) = -k \sin \delta, \quad \psi'_<(0^-) = k \sin \delta \quad (= \psi^>(x \rightarrow -x))$$

$$-\frac{\hbar^2}{2m} (\underbrace{\psi'_>(0^+) - \psi'_<(0^-)}_{-2k \sin \delta}) - U_0 \cos \delta = 0$$

$$\boxed{\frac{\hbar^2 k}{m U_0} = \cot \delta \equiv k a_s}, \Rightarrow a_s = \frac{\hbar^2}{m U_0} > 0$$

Renormalized $k a_s$

Compare to finite-sitewell $V_0 = \frac{U_0}{2a} \rightarrow \infty, a \rightarrow 0$

$$E = \frac{\hbar^2 k'^2}{2m} = \frac{\hbar^2 k^2}{2m} - \frac{U_0}{2a} \Rightarrow \frac{\hbar^2 k^2}{2m} = \frac{U_0}{2a} \rightarrow \infty$$

$\uparrow$ finite. $\uparrow \infty$ $\uparrow \infty$

b.e. of bound state.

$$C^e \underset{a \rightarrow 0}{\approx} 0 - \frac{k^2 a}{k'} = - \frac{\frac{\hbar^2 k^2}{m} a}{\frac{\hbar^2 k'}{m}} = U_0 = - \frac{U_0}{\left(\frac{\hbar^2 k'}{m}\right)} = C^e$$

$$D^e \underset{a \rightarrow 0}{\approx} 1 + \frac{k^2 a}{k'} k' a \rightarrow \boxed{D^e = 1}$$

$$\Rightarrow \frac{D^e}{C^e} = -\cot \delta = -\frac{\hbar^2 k'}{m U_0} \Rightarrow \boxed{\cot \delta = \frac{\hbar^2 k'}{m U_0}} \quad \checkmark$$

Note: $\delta \rightarrow 0$ as $U_0 \rightarrow 0$ ✓

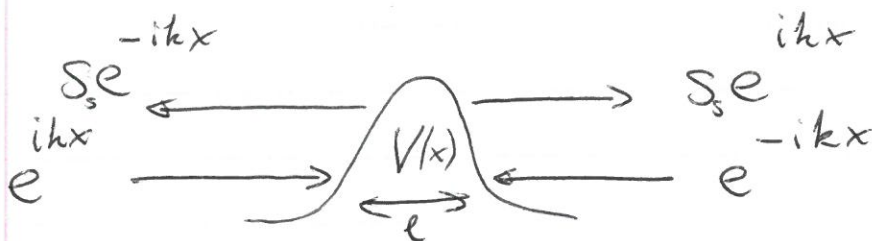
$$C^0 \underset{a \rightarrow 0}{\simeq} 0 + \frac{k}{k'} \rightarrow \infty, \quad D^0 \underset{a \rightarrow 0}{\simeq} 0 - ka \rightarrow 0.$$

$$\Rightarrow \boxed{\psi = \sin kx} \quad \checkmark \quad \frac{C^0}{D^0} = \cot \delta = \infty \Rightarrow \boxed{\delta = 0} \quad \checkmark$$

General theory of 1d scattering on bounded potential $V(x)$:

even

$$\psi_e = A \begin{cases} \cos(kx + \delta_s) & , x \gg l \\ \cos(kx - \delta_s) & , x \ll -l \end{cases}$$



$$\psi_e = \frac{A}{2} \begin{cases} e^{-i\delta_s} (e^{-ikx} + e^{i2\delta_s} e^{ikx}) & , x > 0 \\ e^{-i\delta_s} (e^{ikx} + e^{i2\delta_s} e^{-ikx}) & , x < 0 \end{cases}$$

$$S_s = e^{i2\delta_s} \equiv S_s$$

$$\psi_o = A \begin{cases} \sin(kx + \delta_A) & , x \gg l \\ \sin(kx - \delta_A) & , x \ll -l \end{cases}$$

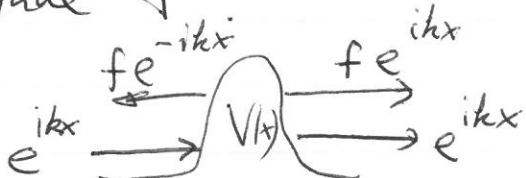
$$\psi_o = \frac{A}{2i} \begin{cases} -e^{-i\delta_A} (e^{-ikx} - e^{i2\delta_A} e^{ikx}) & , x > 0 \\ e^{-i\delta_A} (e^{ikx} - e^{i2\delta_A} e^{-ikx}) & , x < 0 \end{cases}$$

$$S_o = e^{i2\delta_A}$$

odd, even are ^{1d} analogs of l-partial waves of 3d

In terms of scattering amplitude f :

$$\psi_{\text{scatt}} = \begin{cases} e^{ikx} + f_s e^{+ikx} + f_A e^{ikx} & , x > 0 \\ e^{ikx} + f_s e^{-ikx} - f_A e^{-ikx} & , x < 0 \end{cases}$$



$$t = 1 + f_s + f_A, \quad r = f_s - f_A$$

Scattering
matrix

$$T = |t|^2, \quad R = |r|^2$$

$$\psi_{\text{scat}} = \begin{cases} t e^{ikx} & , x > 0 \\ e^{ikx} + r e^{-ikx} & , x < 0 \end{cases}$$

↳ construct symm (even) & antisymm (odd) combinations to relate $f_{S/A}$ to $S_{S/A}$

$$\psi_e = \frac{1}{2} (\psi_s(x) + \psi_s(-x))$$

$$= \begin{cases} \frac{1}{2} (t e^{ikx} + e^{-ikx} + r e^{ikx}) & , x > 0 \\ \frac{1}{2} (e^{ikx} + r e^{-ikx} + t e^{-ikx}) & , x < 0 \end{cases}$$

$$= \frac{A e^{-i\delta_s}}{2} \begin{cases} e^{-ikx} + S_s e^{ikx} & , x > 0 \\ e^{ikx} + S_s e^{-ikx} & , x < 0 \end{cases}$$

$$\Rightarrow \boxed{t + r = S_s = 2f_s + 1}$$

$$\psi_o = \frac{1}{2} (\psi_s(x) - \psi_s(-x)) = \frac{1}{2} \begin{cases} t e^{ikx} - e^{-ikx} - r e^{ikx} & , x > 0 \\ e^{ikx} + r e^{-ikx} - t e^{-ikx} & , x < 0 \end{cases}$$

$$= \frac{A}{2i} e^{-i\delta_A} \begin{cases} -e^{-ikx} + S_A e^{ikx} & , x > 0 \\ e^{ikx} - S_A e^{-ikx} & , x < 0 \end{cases}$$

$$\boxed{S_A = t - r = 1 + 2f_A}$$

Note: poles in $S_{S/A}$ with $k = iK$ ($K > 0$) give even/odd bound states

For $V(x) = -U_0 \delta(x)$

$$\psi_{\text{odd}} = \sin kx = \frac{(e^{ikh} - e^{-ikh})}{2i}$$

$$\Rightarrow S_A = e^{i2\delta_A} = 1 \Rightarrow \boxed{\delta_A = 0, f_A = 0.}$$

$\Rightarrow t = 1 + r$

$$\psi_{\text{even}} = \begin{cases} \cos(kx + \delta_s) & , x > 0 \\ \cos(kx - \delta_s) & , x < 0 \end{cases}$$

$$\boxed{\cot \delta_s = \frac{\hbar^2 k}{mU_0}} ; \quad k \rightarrow 0, \delta_s \rightarrow \frac{\pi}{2}$$

↑
 $k \rightarrow 0, \delta_s \rightarrow \frac{\pi}{2}^- \Rightarrow \boxed{\delta_s \approx \frac{\pi}{2} - \frac{\hbar^2}{mU_0} k} (U_0 > 0)$

$$f_s = \frac{1}{2}(S_s - 1) = \frac{1}{2}(e^{i2\delta_s} - 1)$$

$$\cot \delta_s = ck \quad (c \equiv \frac{\hbar^2}{mU_0})$$

$$i \frac{e^{i\delta_s} + e^{-i\delta_s}}{e^{i\delta_s} - e^{-i\delta_s}} = ck \Rightarrow -ick = \frac{e^{i2\delta_s} + 1}{e^{i2\delta_s} - 1} = \frac{S_s + 1}{S_s - 1}$$

$$\Rightarrow (S_s - 1)(-ick) = S_s + 1$$

$$\Rightarrow S_s(1 + ick) = -(1 - ick)$$

$$S_s \equiv e^{i2\delta_s} = - \frac{1 - ick}{1 + ick}$$

$f_s(k \rightarrow 0) \rightarrow -1$
for all 1d. potent.
 $\Rightarrow t = 0, r = -1$
complete reflection

$$\Rightarrow \boxed{f_s = \frac{1}{2}(S_s - 1) \underset{\text{exact}}{=} \frac{1}{-ika - 1}} , \quad a \equiv \frac{\hbar^2}{m} \frac{1}{U_0}$$

scattering length.

Note: with this defn U_0 ($V(x) < 0$), $a > 0$!
attractive

Bound states:

$$\Psi_e = \begin{cases} e^{-ikx} + S_s e^{ikx}, & x > 0 \\ e^{ikx} + S_s e^{-ikx}, & x < 0 \end{cases}$$

for special k s.t. $S_s(k) \rightarrow \infty$ drop

$$e^{\pm ikx}, \quad x \geq 0 \quad (\text{first term})$$

require $k_* = +iK$. ($K > 0$)

$$S_s = -\frac{1-iaK}{1+iaK} \rightarrow \infty, \quad \text{for } \underline{k_* = ia^{-1}}$$

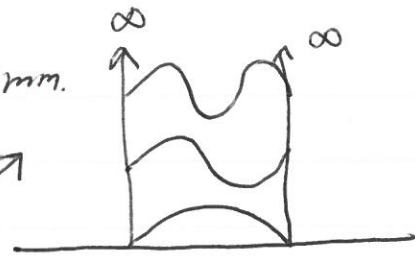
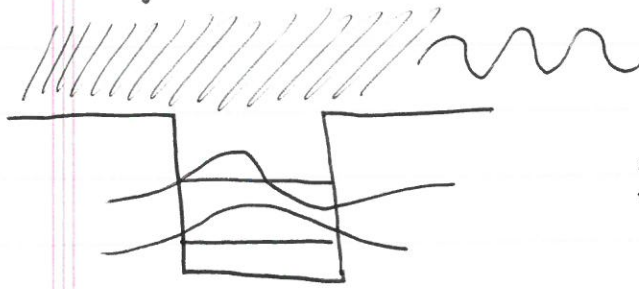
$\Rightarrow$ bound state for $a > 0$, i.e. $U_0 > 0$
i.e. attractive potential.

$$\Rightarrow \Psi_{\text{bound}} = \begin{cases} e^{-x/a}, & x > 0 \\ -e^{x/a}, & x < 0 \end{cases} \quad \checkmark$$

$$a = K^{-1} = \frac{\hbar^2}{mU_0} \quad \checkmark$$

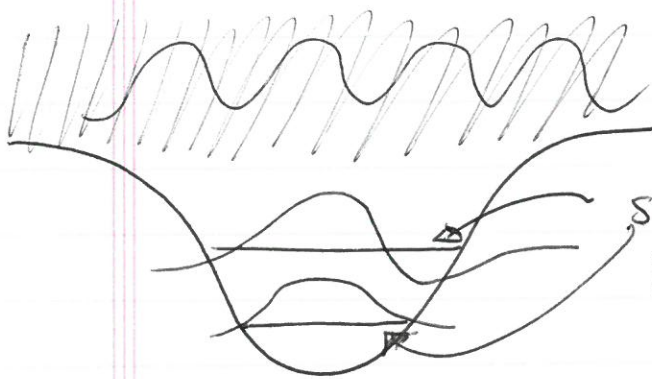
$$E_{\text{bound}} = \frac{\hbar^2 k^2}{2m} = -\frac{\hbar^2}{2ma^2} = -\frac{mU_0^2}{2\hbar^2} \quad \checkmark$$

Recap: important use of parity symm.



only discrete spectrum.

... more generically



continuum.

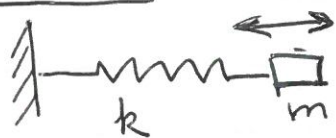
sharp discrete levels $\rightarrow$ bound states.

special to 1d:

- nondegenerate states if $\psi(x \rightarrow \infty) \rightarrow 0$
see proof in Shankar
- bound state (in 1d & 2d) for arbitrarily weak attractive potential (HW3)
- for localized potential  $\psi = Ae^{ikx} + Be^{-ikx}$
 $= \tilde{A} \cos(kx + \delta)$
 δ - scattering phase shift
key ingredient in scattering
- crude estimate of spectrum & size of bound states:
 $E = \frac{p^2}{2m} + V(x)$, $p_n = \frac{\hbar}{x} n$.
 $\frac{\partial E}{\partial x} = 0 \Rightarrow p_n, x, E(p_n, x) = E_n$.

Lecture 6: Harmonic Oscillator

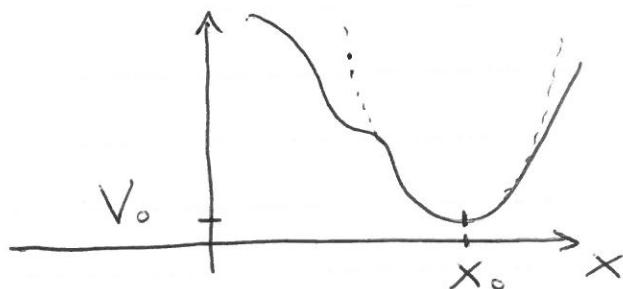
one mass



work-horse of theoretical physics.

$$H = \frac{p^2}{2m} + V(x)$$

if $V(x)$ has a minimum at x_0



$$\Rightarrow V(x) \approx V(x_0) + \frac{1}{2} V''(x_0) (x - x_0)^2$$

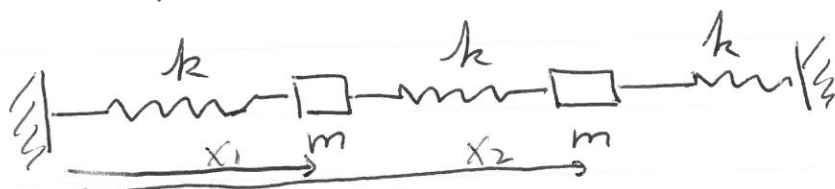
$$\equiv V_0 + \frac{1}{2} k (x - x_0)^2$$

shift origin, drop const.

$$\Rightarrow H \approx \frac{p^2}{2m} + \frac{1}{2} k x^2 \quad \leftarrow \text{simple harmonic oscillator.}$$

$$x(t) = A \cos(\omega_0 t + \phi), \quad \omega_0 = \sqrt{\frac{k}{m}}$$

two coupled oscillators



$$H = \frac{p_1^2}{2m} + \frac{p_2^2}{2m} + \frac{1}{2} k x_1^2 + \frac{1}{2} k x_2^2 + \frac{1}{2} k (x_1 - x_2)^2$$

decouple via normal mode analysis via a canonical transformation:

$$T = \frac{p_1^2}{2m} + \frac{p_2^2}{2m}$$

$$V(x_1, x_2) = k(x_1^2 + x_2^2) - k x_1 x_2$$

(6.2)

$$V(x_1, x_2) = k(x_1^2 + x_2^2) - kx_1 x_2$$

Canon. transf $\rightarrow$ rotation by $\pi/4$

$$\bar{x}_1 = \frac{1}{\sqrt{2}}(x_1 + x_2), \quad \bar{x}_2 = \frac{1}{\sqrt{2}}(x_1 - x_2)$$

$$\begin{pmatrix} \bar{x}_1 \\ \bar{x}_2 \end{pmatrix} = \begin{pmatrix} \cos \pi/4 & \sin \pi/4 \\ -\sin \pi/4 & \cos \pi/4 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

$$x_1^2 + x_2^2 = \bar{x}_1^2 + \bar{x}_2^2$$

$$x_1 x_2 = (\bar{x}_1^2 - \bar{x}_2^2) \frac{1}{2}$$

$$p_1^2 + p_2^2 = \bar{p}_1^2 + \bar{p}_2^2$$

$$H(p, x) \rightarrow H(\bar{p}, \bar{x}) = \underbrace{\frac{\bar{p}_1^2}{2m} + \frac{1}{2}k\bar{x}_1^2}_{H_1} +$$

decoupled
into normal
modes.

$$\left\{ + \underbrace{\frac{\bar{p}_2^2}{2m} + \frac{3}{2}k\bar{x}_2^2}_{H_2} \right.$$

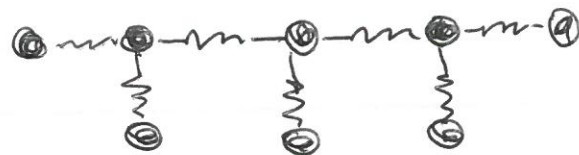
$$\bar{x}_1(t) = \bar{x}_1(0) e^{i\omega_1 t}, \quad \omega_1 = \sqrt{\frac{k}{m}}$$

$$\bar{x}_2(t) = \bar{x}_2(0) e^{i\omega_2 t}, \quad \omega_2 = \sqrt{\frac{3k}{m}}$$

• N coupled oscillators e.g. crystal of atoms.

$$H = \sum_{i=1}^N \frac{p_i^2}{2m_i} + \underbrace{V(x_1, x_2, \dots, x_N)}$$

$$V(0) + \frac{1}{2} \sum_{\substack{i=1 \\ j=1}}^N x_i \underbrace{\partial_i \partial_j V(0)}_{\equiv V_{ij}} x_j$$



(6.3)

$$H = \sum_{i,j=1}^N p_i \frac{\delta_{ij}}{2m} p_j + \sum_{i,j=1}^N x_i V_{ij} x_j$$

$\Rightarrow V_{ij}$ diagonalizable

by an orthogonal transformation $\rightarrow$ canonical transf.

↑
symmetric
 $V_{ij} = V_{ji}$

$$\bar{X}_\alpha = O_{\alpha i} X_i, \quad O^T O = \mathbb{1}$$

$$H = \frac{1}{2m} \mathbf{p}^T \mathbb{1} \mathbf{p} + \mathbf{x}^T \mathbf{V} \mathbf{x}$$

$$= \frac{1}{2m} \bar{\mathbf{p}}^T \underbrace{O \mathbb{1} O^T}_{\mathbb{1}} \bar{\mathbf{p}} + \frac{1}{2} \bar{\mathbf{x}}^T \underbrace{O \mathbf{V} O^T}_{k_\alpha \delta_{\alpha\beta}} \bar{\mathbf{x}}$$

$$H = \sum_\alpha \left(\frac{\bar{p}_\alpha^2}{2m} + \frac{1}{2} k_\alpha \bar{x}_\alpha^2 \right)$$

$$= \sum_\alpha H(p_\alpha, x_\alpha) \quad \leftarrow \quad N \text{ decoupled H.O.'s.}$$

Quantization of oscillator in coord. repr.

(6.4)

single oscillator

$$\hat{H}|\psi\rangle = i\hbar \partial_t |\psi\rangle$$

$$|\psi\rangle = \sum_n c_n e^{-i/\hbar E_n t} |E_n\rangle$$

$$\Rightarrow \hat{H}|E_n\rangle = E_n |E_n\rangle \Rightarrow \langle x|E_n\rangle \equiv \psi_n(x)$$

$$\Rightarrow -\frac{\hbar^2}{2m} \psi_n'' + \frac{1}{2} m \omega_0^2 x^2 \psi_n = E_n \psi_n$$

N decoupled oscillators

$$\psi(x_1, x_2, x_3, \dots, x_N)$$

$$\left[-\frac{\hbar^2}{2m} \left(\frac{d^2}{dx_1^2} + \frac{d^2}{dx_2^2} + \dots + \frac{d^2}{dx_N^2} \right) + \sum_{i=1}^N V(x_i) \right] \psi = E \psi$$

product state

$$\psi(x_1, \dots, x_N) = \psi_1(x_1) \psi_2(x_2) \psi_3(x_3) \dots \psi_N(x_N)$$

$$\Rightarrow -\frac{\hbar^2}{2m} \frac{d^2}{dx_i} \psi_i(x_i) + V(x_i) \psi_i(x_i) = E_i \psi_i(x_i)$$

$$E = E_1 + E_2 + \dots + E_N = \sum_{i=1}^N E_i$$

$\Rightarrow$ just focus on one harmonic oscillator:

$$-\frac{\hbar^2}{2m} \frac{d^2 \psi}{dx^2} + \frac{1}{2} m \omega_0^2 x^2 \psi = E \psi$$

solve with b.c. $\psi(x \rightarrow \infty) \rightarrow 0$, continuous etc...

well studied eqn, everything is known.

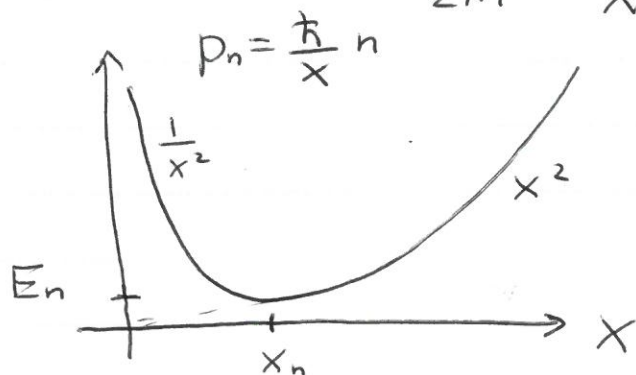
... but since H.O. is so important let's look in more detail to learn about soln.

A. crude, dimensional analysis estimate: (6.5)

$$E(\hat{p}, \hat{x}) = \frac{\hat{p}^2}{2m} + \frac{1}{2} m \omega_0^2 \hat{x}^2$$

$$= -\frac{\hbar^2}{2m} \frac{d^2}{dX^2} + \frac{1}{2} m \omega_0^2 X^2$$

$$E(x) \simeq + \frac{\hbar^2 n^2}{2m} \frac{1}{X^2} + \frac{1}{2} m \omega_0^2 X^2$$



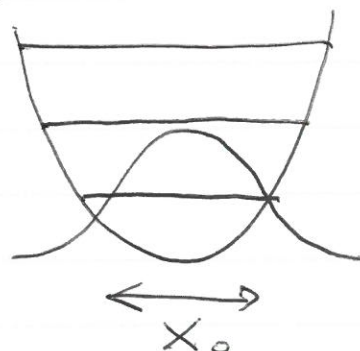
$$\frac{\partial E}{\partial X} = -\frac{\hbar^2 n^2}{m} \frac{1}{X^3} + m \omega_0^2 X = 0$$

$$\Rightarrow \boxed{X_n = \sqrt{n} X_0 = \sqrt{n} \left(\frac{\hbar}{m \omega_0} \right)^{1/2}}$$

$$E(X_n) = \frac{\hbar^2 n^2}{2m} \frac{1}{n X_0^2} + \frac{1}{2} m \omega_0^2 n X_0^2$$

$$= n \left(\frac{\hbar^2 n \omega_0}{2m \hbar} + \frac{m \omega_0^2 \hbar}{2 n \omega_0} \right)$$

$$\boxed{E_n = \hbar \omega_0 n} \simeq \left(\frac{\hbar^2}{2m X_0^2} \right) n$$



$$\updownarrow \hbar \omega_0$$

$$\updownarrow E_1 \simeq \hbar \omega_0$$

$$\updownarrow E_2$$

$$\updownarrow E_3$$

B. transform to dimensionless form.

$$\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2} E \psi - \underbrace{\frac{m^2 \omega_0^2}{\hbar^2}}_{\frac{1}{X_0^4}} x^2 \psi = 0$$

$$X_0^2 \frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2} E X_0^2 \psi - \left(\frac{x}{X_0}\right)^2 \psi = 0$$

$$y \equiv \frac{x}{X_0} \quad 2\varepsilon \equiv \frac{2E}{\hbar\omega_0}$$

$$\frac{d^2\psi}{dy^2} + (2\varepsilon - y^2) \psi = 0$$

natural length scale

natural energy scale.

• look at $y \rightarrow \infty$ limit: $\psi'' - y^2 \psi = 0$

$$\Rightarrow \psi \approx A y^m e^{\pm y^2/2} \rightarrow y^m e^{-y^2/2} \quad \text{asy. at } y \rightarrow \infty$$

• look at $y \rightarrow 0$ limit: $\psi'' + 2\varepsilon \psi = 0$

$$\Rightarrow \psi(y) \underset{y \rightarrow 0}{\sim} A \cos(\sqrt{2\varepsilon} y) + B \sin(\sqrt{2\varepsilon} y)$$

$$\rightarrow A + cy + \dots$$

$$\Rightarrow \text{Ansatz: } \psi(y) = H(y) e^{-y^2/2}$$

$$\Rightarrow H'' - 2y H' + (2\varepsilon - 1) H = 0$$

$$H(y) = \sum_{n=0}^{\infty} C_n y^n$$

$$\Rightarrow \sum_{n=0}^{\infty} C_n \left[n(n-1) y^{n-2} - 2n y^n + (2\varepsilon - 1) y^n \right] = 0$$

must be regular as $y \rightarrow 0$.

(6.7)

shift indices:

$$\frac{n!}{(\frac{n}{2}+1)!} = \frac{1}{\frac{n}{2}+1} \approx \frac{2}{n} \checkmark$$

$$\sum_{n=0}^{\infty} y^n [C_{n+2}(n+2)(n+1) + C_n(2\varepsilon - 1 - 2n)] = 0$$

$$\Rightarrow C_{n+2} = C_n \frac{2n+1-2\varepsilon}{(n+2)(n+1)} \xrightarrow{n \rightarrow \infty} C_n \frac{2}{n} \approx y^m e^{y^2}$$

two
independent
solns

$$\Rightarrow C_0 \rightarrow C_2 \rightarrow C_4 \rightarrow C_6, \dots \text{even powers.}$$

$$C_1 \rightarrow C_3 \rightarrow C_5 \rightarrow C_7, \dots \text{odd powers}$$

but must require that $u(y) \xrightarrow{y \rightarrow \infty} y^m$ well behaved ($y \rightarrow \infty$) soln if series terminates:

$$\varepsilon_n = n + \frac{1}{2}, \quad n = 0, 1, 2, \dots \in \mathbb{Z}$$

$$\Rightarrow C_{n+2} \text{ vanishes.}$$

$$\Rightarrow \psi(y) = H_n(y) e^{-y^2/2} = \begin{cases} C_0 + C_2 y^2 + C_4 y^4 + \dots + C_n y^n \\ C_1 y + C_3 y^3 + C_5 y^5 + \dots + C_n y^n \end{cases} e^{-y^2/2}$$

$$\Rightarrow \boxed{E_n = (n + \frac{1}{2}) \hbar \omega_0}$$

zero-point energy (due to Heisenberg uncert. princ. $[x, p] = i\hbar$)

$$H_n'' - 2y H_n' + 2n H_n = 0$$

Hermite Egn for n^{th} Hermite polynomial

$$\begin{cases} \text{odd/even } n \\ \bar{p}, H = 0 \end{cases} \begin{cases} H_0(y) = 1 \\ H_1(y) = 2y \\ H_2(y) = -2(1 - 2y^2) \\ H_3(y) = -12(y - \frac{2}{3}y^3) \end{cases} \begin{cases} H_n' = 2n H_{n-1} \\ H_{n+1} = 2y H_n - 2n H_{n-1} \end{cases}$$

$$\text{generating func: } Z(y, s) = e^{-s^2 + 2sy}$$

Generating func: $\left[\frac{\partial^n Z}{\partial s^n} \right]_{s=0} = H_n(y) = (-1)^n e^{y^2} \frac{\partial^n}{\partial y^n} (e^{-y^2})$

$$Z(y, s) = e^{-s^2 + 2sy} = \sum_n \frac{s^n}{n!} H_n(y)$$

extremely useful to compute with H_n 's.

$$\psi_n(x) = A_n H_n\left(\frac{x}{x_0}\right) e^{-\frac{1}{2} x^2/x_0^2}, \quad E_n = \hbar \omega_c (n + \frac{1}{2})$$

Normalization A_n :

$$1 = \int_{-\infty}^{\infty} |\psi_n(x)|^2 dx = x_0 A_n^2 \int_{-\infty}^{\infty} H_n^2(y) e^{-y^2} dy$$

Look at more general form.

$$\int_{-\infty}^{\infty} dy e^{-y^2} e^{-s^2 + 2sy} e^{-t^2 + 2ty} = \sum_{n,m} \frac{s^n t^m}{n! m!} \int_{-\infty}^{\infty} H_n(y) H_m(y) e^{-y^2} dy$$

↓ Gaussian integral
 $-s^2 - t^2 + (2(s+t))^2 \frac{1}{4}$

$$= e^{-s^2 - t^2 + (s+t)^2} \sqrt{\pi} = \pi^{1/2} e^{2st} = \sum_{n,m} \frac{s^n t^m}{n! m!} \int_{-\infty}^{\infty} H_n(y) H_m(y) e^{-y^2} dy$$

$$= \pi^{1/2} \sum_{n=0}^{\infty} \frac{(2st)^n}{n!}$$

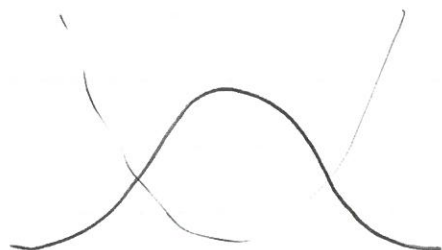
$$\Rightarrow \int_{-\infty}^{\infty} H_n(y) H_m(y) e^{-y^2} dy = \delta_{nm} \pi^{1/2} 2^n \sqrt{n!}$$

$$\Rightarrow A_n = \left(\frac{x_0^{-1}}{\pi^{1/2} 2^n n!} \right)^{1/2} \sim \frac{1}{\sqrt{x_0}} \checkmark$$

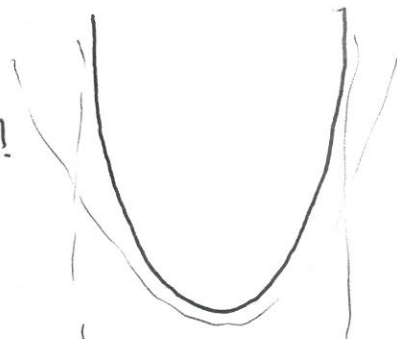
similarly evaluate: $\langle x \rangle$, $\langle x^2 \rangle$, $\langle n | x | m \rangle$, etc.

Correspondence principle.

Q.M.

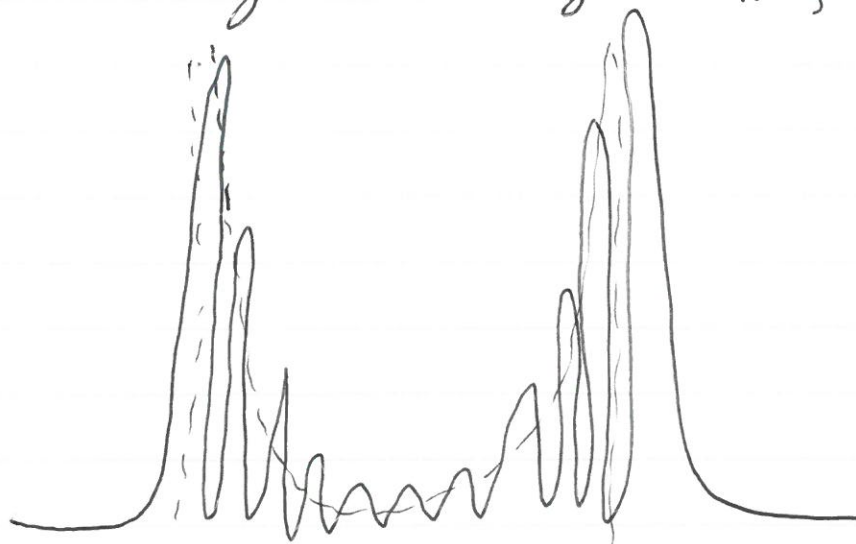


C.M.



very different at low E_n (small n)

Similarly for large E_n , as expected



spends a lot of time at classical turning point.

$$v_{cl} = \omega_0 \sqrt{x_0^2 - x^2}$$

$$\hookrightarrow x_0^2 = \frac{2E}{m\omega_0^2}$$

$$\frac{1}{2}mv^2 = E - \frac{1}{2}m\omega_0^2 x^2 \quad \rightarrow \quad v = \sqrt{\frac{2E}{m} - \omega_0^2 x^2}$$

Even with this eigenstates are very counter-intuitive / nonclassical - nothing is oscillating or even changing in time!

- Evolution operator:

$$U(x, t; x', t') = \sum_n \Psi_n^*(x) \Psi_n(x') e^{-\frac{i}{\hbar} E_n t}$$

$$= \sum_n A_n^2 H_n\left(\frac{x}{x_0}\right) H_n\left(\frac{x'}{x_0}\right) e^{-\frac{1}{2} \omega_c^2 (x^2 + x'^2) - i \omega_c (n + \frac{1}{2}) (t - t')}$$

(6.10)

- matrix elements.

$$\langle n | \hat{X} | n' \rangle = x_0 \frac{1}{\sqrt{2}} (\sqrt{n+1} \delta_{n', n+1} + \sqrt{n} \delta_{n', n-1})$$

$$\langle n | \hat{P} | n' \rangle = \frac{\hbar}{x_0} \frac{-i}{\sqrt{2}} (\sqrt{n+1} \delta_{n', n+1} - \sqrt{n} \delta_{n', n-1})$$

- Correspondence principle:

$$\begin{pmatrix} 0 & \sqrt{1} & 0 & 0 \\ \sqrt{1} & 0 & \sqrt{2} & 0 \\ 0 & \sqrt{2} & 0 & \sqrt{3} \\ 0 & 0 & \sqrt{3} & \ddots \end{pmatrix}$$

$$\text{large mass } \langle E \rangle \approx \frac{1}{2} \underset{\hbar}{m} \underset{\hbar} \omega^2 \underset{\hbar} x_0^2 \approx 1 \text{ erg}$$

$$\Delta E = \hbar \omega \approx 10^{-27} \text{ erg} \quad 2g \text{ 1 rad/s} \quad 1 \text{ cm}$$

$$\Rightarrow \Delta E \ll E \rightarrow n \approx 10^{27} \gg 1$$

(Konrad Lehner)
counter-experiments.

↑ impossible to notice quantization of E_n for macroscopic objects.

- E_n 's equally spaced $\Rightarrow$ think of $|n\rangle$ as an oscillator state with n -quanta of excitations $\leftrightarrow n$ -“particles” of vibrations $\Rightarrow$ identical bosonic particles
 $|n\rangle \rightarrow$ state of n -quanta of excitations
 $\rightarrow$ phonons $\rightarrow$ quanta of vibration of a crystal field
 $\rightarrow$ photons $\rightarrow$ quanta of vibration of E&M fields $\vec{A}$.

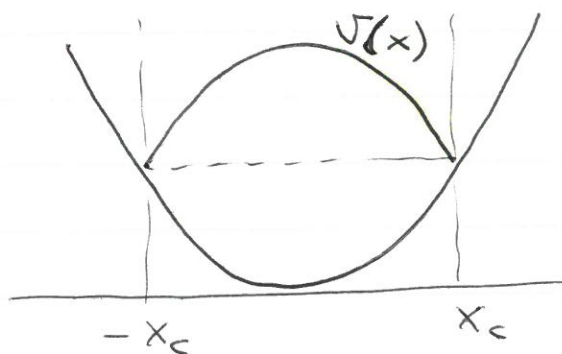
- $\Psi_n(x)$ extends outside classical turning pnt.

$$E = \frac{p^2}{2m} + \frac{1}{2} m \omega_0^2 x^2$$

turning point: $p = 0$

$$\frac{1}{2} m \omega_c^2 X_c^2 = \hbar \omega_0 \left(n + \frac{1}{2}\right) = E_n$$

$$\Rightarrow X_c = \left(\frac{\hbar}{m \omega_c}\right)^{1/2} \sqrt{2n+1} = X_0 \sqrt{2n+1}$$



$$\frac{1}{2} m v^2 + \frac{1}{2} m \omega_0^2 x^2 = E$$

$$\sqrt{\frac{2E}{m} - \omega_0^2 x^2} = v(x)$$

$$v(x) = \omega_0 \sqrt{X_c^2 - x^2}$$

$$P_{cl}(x) \sim \frac{1}{v(x)} = \frac{1}{\omega_0 \sqrt{X_c^2 - x^2}}$$

- duality between p & x

$$H = \frac{1}{2} m^{-1} p^2 + \frac{1}{2} m \omega_0^2 x^2$$

$$\Psi_n(x) \rightarrow \tilde{\Psi}_n(p) = \Psi_n(x)$$

$$\text{e.g. } \tilde{\Psi}_0(p) = \left(\frac{1}{m \hbar \omega_0 \pi}\right)^{1/4} e^{-\frac{p^2}{2(m \hbar \omega_0)}} \quad \begin{matrix} m \omega_0 \leftrightarrow \frac{1}{m \omega_0} \\ x \leftrightarrow p \end{matrix}$$

$$X_0 \rightarrow p_0 = \frac{\hbar}{X_0} = \left(\frac{\hbar}{m \omega_0}\right)^{1/2}$$

C. Energy & number basis — Fock states.
(due to Dirac)

$$H = \frac{1}{2m} p^2 + \frac{1}{2} m \omega_0^2 x^2, \quad [x, p] = i\hbar$$

$$H = \hbar \omega_0 \left[\frac{1}{2} \frac{p^2}{p_0^2} + \frac{1}{2} \frac{x^2}{x_0^2} \right]$$

$$\equiv \hbar \omega_0 \hat{h}(\hat{p}, \hat{x})$$

$$\hat{h}(\hat{p}, \hat{x}) = \frac{1}{2} \hat{p}^2 + \frac{1}{2} \hat{x}^2; \quad \hat{p} \equiv \frac{p}{p_0}, \quad \hat{x} \equiv \frac{x}{x_0}$$

Note: $[\hat{x}, \hat{p}] = [x, p] \underbrace{\frac{1}{p_0 x_0}}_{\frac{1}{\hbar}} = i$ $p_0 = \frac{\hbar}{x_0}, \quad x_0 = \sqrt{\frac{\hbar}{m\omega_0}}$

$$\Rightarrow [\hat{x}, \hat{p}] = i$$

$$\hat{h} = \frac{1}{\sqrt{2}} (\hat{x} - i\hat{p}) \frac{1}{\sqrt{2}} (\hat{x} + i\hat{p}) - \frac{i}{2} [\hat{x}, \hat{p}]$$

Unitary trans.

$$\frac{1}{2} \hat{x}^2 + \frac{1}{2} \hat{p}^2 + \underbrace{\frac{i}{2} [\hat{x}, \hat{p}]}_{-\frac{1}{2}}$$

$$\boxed{\hat{h} = a^\dagger a + \frac{1}{2}}$$

$$\Rightarrow H = \hbar \omega_0 \left(a^\dagger a + \frac{1}{2} \right)$$

$$a = \left(\frac{m\omega_0}{2\hbar} \right)^{1/2} x + i \left(\frac{1}{2m\omega_0\hbar} \right)^{1/2} p$$

$$a^\dagger = \left(\frac{m\omega_0}{2\hbar} \right)^{1/2} x - i \left(\frac{1}{2m\omega_0\hbar} \right)^{1/2} p$$

not Hermitian

$$a = \frac{1}{\sqrt{2}} \left(\frac{x}{x_0} + i \frac{p}{p_0} \right)$$

$$\begin{aligned} \text{cf} \\ f &= \frac{1}{2} x^2 + \frac{1}{2} y^2 \\ &= \frac{1}{\sqrt{2}} (x - iy) \frac{1}{\sqrt{2}} (x + iy) \end{aligned}$$

Note: $[\bar{a}, a^+] = \frac{1}{2}[(\hat{x} + i\hat{p})(\hat{x} - i\hat{p})]$

$$= +\frac{i}{2}[\underbrace{\hat{p}, \hat{x}}_{-i}] - \frac{i}{2}[\underbrace{\hat{x}, \hat{p}}_i]$$

$\Rightarrow \boxed{[\bar{a}, a^+] = 1}$ $\leftarrow$ Key result:

Note: a, a^+ act like $a, -\frac{\partial}{\partial a}$ wrt fnc's of $f(a, a^+) \rightarrow$ representation of a, a^+ .

Why? $[a, -\frac{\partial}{\partial a}] = 1$

$\Leftrightarrow a, a^+ \rightarrow \frac{\partial}{\partial a}, a^+ \Rightarrow [\frac{\partial}{\partial a}, a^+] = 1$

c.f. $[x, p] = i\hbar \Rightarrow [x, -i\hbar \partial_x] = i\hbar$.

$\Rightarrow [f(a), a^+] = \frac{\partial f}{\partial a} [\underbrace{a, a^+}_1] = \frac{\partial f}{\partial a} \leftarrow$ true for any two ops whose $[,] = \text{const c.}$

So what?

Note: $H = \hbar\omega_0 (a^+a + \frac{1}{2})$ and $[H, a^+] = \hbar\omega_0 a^+$

Suppose $|0\rangle \leftarrow$ groundstate of H , i.e.,

$H|0\rangle = E_0|0\rangle$ $\checkmark$ not normalized

look at $|\tilde{1}\rangle \equiv a^+|0\rangle$ - also eigenstate:

$H|\tilde{1}\rangle = H a^+|0\rangle = a^+ \underbrace{H|0\rangle}_{E_0|0\rangle} + \hbar\omega_0 a^+|0\rangle$

$\Rightarrow \boxed{H(a^+|0\rangle) = (E_0 + \hbar\omega_0)(a^+|0\rangle)}$

(6.14)

$\Rightarrow a^+|0\rangle \equiv |\tilde{1}\rangle$ is an energy eigenstate too.
 i.e. excited state with energy $\hbar\omega_0$ above ground state E_0 .

$(a^+)^n|0\rangle \equiv |\tilde{n}\rangle \rightarrow n^{\text{th}}$ excited state
 with energy $E_n = E_0 + n\hbar\omega_0$!

Similarly, because $[H, a] = -\hbar\omega_0 a$

$$H a |\tilde{n}\rangle = -\hbar\omega_0 (a |\tilde{n}\rangle) + E_n (a |\tilde{n}\rangle)$$

$$\Rightarrow H(a |\tilde{n}\rangle) = (E_n - \hbar\omega_0)(a |\tilde{n}\rangle)$$

$\Rightarrow a |\tilde{n}\rangle \equiv |\tilde{n-1}\rangle$ eigenstate of H with
 eigenvalue $E_{n-1} = E_n - \hbar\omega_0$.
 $= E_0 + (n-1)\hbar\omega_0$.

(used the fact that there is no degeneracy in 1d)

$a^+, a \rightarrow$ raising & lowering ops.
 $\Leftrightarrow$ creation & annihilation ops.

Ground state has lowest energy $E_0 \Rightarrow$
 cannot be lowered $\Rightarrow$

$$a|0\rangle = 0 \Rightarrow H|0\rangle = \frac{1}{2}\hbar\omega_0|0\rangle$$

$$\Rightarrow \boxed{E_n = \hbar\omega_0(n + \frac{1}{2})} \text{ \& \& } \boxed{|\tilde{n}\rangle = (a^+)^n|0\rangle}$$

eigenenergies. eigenstates

Normalized states: $|n\rangle \equiv N_n |\tilde{n}\rangle$

Define number operator: $\hat{N} = a^\dagger a$
 $= \frac{\hat{H}}{\hbar\omega_0} - \frac{1}{2}$

$$\langle n | \hat{N} | n \rangle = n \underbrace{\langle n | n \rangle}_1$$

$\langle n | \hat{N} | n \rangle = n \leftarrow$ counts # energy quanta $\hbar\omega_0$ in state $|n\rangle$

$$\hat{N} | n \rangle = n | n \rangle$$

$\hat{N}$ eigenstate. (Fock state)
 $\hat{H} = \hbar\omega_0 (\hat{N} + \frac{1}{2})$

$$a | n \rangle = A_n | n-1 \rangle;$$

$$\langle n | a^\dagger a | n \rangle = |A_n|^2 \langle n-1 | n-1 \rangle =$$

$$n = |A_n|^2$$

$$\Rightarrow \boxed{A_n = \sqrt{n}}$$

(choose $\phi = 0$)

$$a^\dagger | n \rangle = B_n | n+1 \rangle$$

Equivalently:

$$\begin{aligned} \langle n | a a^\dagger | n \rangle &= |B_n|^2 \\ &= \langle n | a^\dagger a + 1 | n \rangle = (n+1) \langle n+1 | n+1 \rangle \\ &= (n+1) |B_{n+1}|^2 \end{aligned}$$

$$\underline{a a^\dagger} | n \rangle = B_n a | n+1 \rangle = B_n A_{n+1} | n \rangle$$

$$(a^\dagger a + 1) | n \rangle = (n+1) | n \rangle = B_n A_{n+1} | n \rangle$$

$$\Rightarrow \boxed{B_n = \sqrt{n+1}}$$

$$\Rightarrow \boxed{a | n \rangle = \sqrt{n} | n-1 \rangle, \quad a^\dagger | n \rangle = \sqrt{n+1} | n+1 \rangle}$$

$$\Rightarrow \boxed{| n \rangle = \frac{1}{\sqrt{n!}} a^{+n} | 0 \rangle}$$

• Matrix elements.

(6.16)

$$\langle n' | a | n \rangle = \sqrt{n} \langle n' | n-1 \rangle = \sqrt{n} \delta_{n', n-1}$$

$$\langle n' | a^\dagger | n \rangle = \sqrt{n+1} \langle n' | n+1 \rangle = \sqrt{n+1} \delta_{n', n+1}$$

$\Rightarrow$ Much easier (than using coord. repr. $\text{th } e^{-\frac{x^2}{2}}$)
to compute any matrix element involving $a, a^\dagger$.

$\Rightarrow$ e.g. $\langle n | p^2 | n \rangle$, $\langle n | x^4 | n \rangle$
 $\Rightarrow \langle V(x, p) \rangle$ easy since:

$$\underline{x = \frac{1}{\sqrt{2}} x_0 (a^\dagger + a)}, \quad \underline{p = \frac{i}{\sqrt{2}} \frac{\hbar}{x_0} (a^\dagger - a)}$$

In matrix form $a^\dagger$:

$$(a^\dagger)_{n, n'} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \dots \\ 1^{1/2} & 0 & 0 & 0 & 0 & \dots \\ 0 & 2^{1/2} & 0 & 0 & 0 & \dots \\ 0 & 0 & 3^{1/2} & 0 & 0 & \dots \\ 0 & 0 & 0 & 4^{1/2} & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

$(a)_{nn'}$ is just Hermitian conjugate, since real $\Rightarrow$ just transpose.

$X_{nn'}$, $P_{nn'}$ easy.

$$H_{nn'} = \hbar \omega_0 \begin{pmatrix} 1/2 & 0 & 0 & 0 & 0 & \dots \\ 0 & 3/2 & 0 & 0 & 0 & \dots \\ 0 & 0 & 5/2 & 0 & 0 & \dots \\ 0 & 0 & 0 & 7/2 & 0 & \dots \\ 0 & 0 & 0 & 0 & 9/2 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

diagonal in $|n\rangle$
basis

Note: in Heisenberg representation

$$\hat{a}(t) \equiv e^{+\frac{i}{\hbar} \hat{H} t} \hat{a} e^{-\frac{i}{\hbar} \hat{H} t}$$

$$\frac{d\hat{a}(t)}{dt} = \frac{i}{\hbar} [\hat{a}, \hat{H}] = \frac{i\omega_0}{\hbar} [\hat{a}, \hat{a}^\dagger \hat{a} + \frac{1}{2}]$$

$$\frac{d\hat{a}}{dt}$$

$$= -i\omega_0 \hat{a}$$

and

$$\hat{a}(t) = \hat{a} e^{-i\omega_0 t}$$

$$\hat{a}^\dagger(t) = \hat{a}^\dagger e^{i\omega_0 t}$$

- Passage from $|n\rangle \rightarrow |x\rangle$ basis: $-x^2/2$
i.e. connection with $\psi_n(x) = A_n H_n e^{-x^2/2}$

Why? useful to obtain $P(x) = |\psi(x)|^2$

Recall: $\psi_n(x) = \langle x | n \rangle$

Look at ground state first: $a|0\rangle = 0$

$$\langle x | a | 0 \rangle = 0$$

$$\int_{x'} \langle x' | \langle x' | = 1$$

$$\Rightarrow \int_{x'} \langle x | a | x' \rangle \underbrace{\langle x' | 0 \rangle}_{\psi_0(x)} = 0$$

$$\text{use } a = \frac{1}{\sqrt{2}} \left(\frac{x}{x_0} + i \frac{p}{p_0} \right)$$

$$\int dx' \langle x | a | x' \rangle \psi_0(x') = 0$$

$$\frac{1}{\sqrt{2}} \int dx' \langle x | \frac{x}{x_0} + i \frac{p}{p_0} | x' \rangle \psi_0(x') = 0$$

$$\int dx' \left(\frac{x}{x_0} \delta(x-x') + i \frac{(-i\hbar)}{p_0} \partial_x \delta(x-x') \right) \psi_0(x') = 0$$

$$\left(\frac{x}{x_0} + \frac{\hbar}{p_0} \frac{\partial}{\partial x} \right) \psi_0(x) = 0$$

i.e. derive coord. repr. of a ;

$$a_{xx'} = \frac{1}{\sqrt{2}} \left(\frac{1}{x_0} x + x_0 \frac{\partial}{\partial x} \right) \delta(x-x')$$

$$\left(\hat{x} + \frac{\partial}{\partial \hat{x}} \right) \psi_0(\hat{x}) = 0$$

$$x \psi_0(x) + \psi_0' = 0$$

$$\Rightarrow \int \frac{d\psi_0}{\psi_0} = -\frac{1}{2} x^2 \Rightarrow \psi_0(x) = e^{-\frac{x^2}{2x_0^2}}$$

$$\Rightarrow \psi_0(x) = \left(\frac{m\omega_0}{\pi\hbar} \right)^{1/4} e^{-\frac{m\omega_0 x^2}{2\hbar}}$$

Note: $= \frac{1}{2} \frac{m\omega_0^2 x^2}{\hbar\omega_0} = \frac{V(x)}{\hbar\omega_0}$

What about: $\psi_n(x) = ?$

$$|n\rangle = \frac{(a^+)^n}{\sqrt{n!}} |0\rangle$$

$$\Rightarrow \psi_n(x) \equiv \langle x | n \rangle = \langle x | \frac{a^{+n}}{\sqrt{n!}} | 0 \rangle$$

$$= \int_{x'} \langle x | \frac{a^{+n}}{\sqrt{n!}} | x' \rangle \underbrace{\langle x' | 0 \rangle}_{\psi_0(x)}$$

$$\psi_n(x) = \int_{x'} \left(\frac{1}{\sqrt{2}} \right)^n \left(\frac{1}{x_0} x - x_0 \frac{\partial}{\partial x} \right)^n \frac{1}{(n!)^{1/2}} \delta(x-x') \psi_0(x')$$

$$\psi_n(\hat{x}) = \frac{1}{(n!)^{1/2}} \left[\frac{1}{2^{1/2}} \left(\hat{x} - \frac{\partial}{\partial \hat{x}} \right) \right]^n \psi_0(\hat{x})$$

$$\psi_n(x) = \frac{1}{\pi^{1/4} x_0^{1/2}} \left(\frac{1}{n! 2^n} \right)^{1/2} \left(\hat{x} - \frac{\partial}{\partial \hat{x}} \right)^n e^{-\frac{\hat{x}^2}{2}}$$

$$\Rightarrow \underline{H_n(\hat{x}) = e^{\frac{\hat{x}^2}{2}} \left(\hat{x} - \frac{\partial}{\partial \hat{x}} \right)^n e^{-\frac{\hat{x}^2}{2}}}$$

see applications in Shankar Exercises:

- $a|n\rangle = n^{1/2} |n-1\rangle \Rightarrow H_n'(x) = 2n H_{n-1}(x)$
- $(a + a^+) = 2^{1/2} x \Rightarrow H_{n+1} = 2x H_n - 2n H_{n-1}$
- harmonic oscillator thermodynamics
 - Planck's black-body radiation
 - Bose-Einstein & Fermi-Dirac dist's.
 - phonons in a solid/crystal
- QED & QFT

D. Coherent states:

$|n\rangle$ Fock states are $\hat{H}$ eigenstates, but highly non-classical, e.g.:

$$\langle n | x | n \rangle = 0 \quad !?!$$

AND

"Nothing" oscillates (since eigenstates) !?!
(physical)

Consider (a seemingly complicated)
"coherent" state

$$|Z\rangle = e^{Za^+} |0\rangle = \sum_{n=0}^{\infty} \frac{Z^n}{n!} a^{+n} |0\rangle$$

$$\underbrace{\quad}_{\substack{\text{not} \\ \text{normalized}}} = \sum_{n=0}^{\infty} \frac{Z^n}{\sqrt{n!}} |n\rangle$$

labeled by
complex $\# Z$.

different states for different Z 's
but not orthonormal & over-complete
i.e. too many Z 's ($\infty \#$) vs. compared to
integer labels $|n\rangle$ Fock states.

Why $|Z\rangle$ coherent states important/useful
?

$$e^{za^\dagger} |0\rangle = |z\rangle$$

displacement operator; displaces eigenvalue of a , namely $z=0$ to z via

$$e^{za^\dagger}$$

canonically conjugate to a .

Note analogy

$$\hat{X} |x_0\rangle = x_0 |x_0\rangle$$

$$\hat{X} \left(e^{-\frac{i}{\hbar} d \hat{p}} |x_0\rangle \right)$$

$$e^{-\frac{i}{\hbar} d \hat{p}} |x_0\rangle = |x_0 + d\rangle$$

$$= e^{-\frac{i}{\hbar} d \hat{p}} (\hat{X} + d) |x_0\rangle$$

$$= (x_0 + d) \left(e^{-\frac{i}{\hbar} d \hat{p}} |x_0\rangle \right)$$

$$\Rightarrow = |x_0 + d\rangle \checkmark$$

displacement op of eigenvalue of $\hat{X}$ by d as exp.

$$e^{-\frac{i}{\hbar} d \frac{\partial}{\partial x}}$$

$$[x, \frac{\partial}{\partial x}] = -1$$

$$\text{cf } [a, a^\dagger] = 1$$

$$e^{-\frac{i}{\hbar} d \hat{p}} |\psi\rangle = |\psi'\rangle$$

$$\psi'(x) \equiv \langle x | \psi' \rangle = \langle x - d | \psi \rangle \equiv \psi(x - d)$$

Actually:

$$\frac{\hat{p}}{p_0} = +\frac{i}{\sqrt{2}} (a^\dagger - a) \Rightarrow e^{-\frac{i}{\hbar} d \hat{p}} = e^{-\frac{i}{\hbar} i d \frac{p_0}{\sqrt{2}} (a^\dagger - a)}$$

$$D \equiv e^{\frac{d}{x_0 \sqrt{2}} (a^\dagger - a)}$$

Note: $D |0\rangle \propto e^{\frac{d}{x_0 \sqrt{2}} a^\dagger} |0\rangle$
 $= |z = \frac{d}{x_0 \sqrt{2}}\rangle$

$|z\rangle$ is eigenstate of $\hat{a}$ with eigenvalue z ! i.e.

$$\underline{a|z\rangle = z|z\rangle}$$

Easy to prove:

$$\begin{aligned} a|z\rangle &= a e^{za^\dagger} |0\rangle = (e^{za^\dagger} a + \underbrace{[a, e^{za^\dagger}]}_{ze^{za^\dagger}}) |0\rangle \\ &= 0 + z e^{za^\dagger} |0\rangle \end{aligned}$$

$$\Rightarrow a|z\rangle = z|z\rangle \quad \checkmark$$

(recall $a, a^\dagger \leftrightarrow \frac{\partial}{\partial a}, a^\dagger$)

$$\begin{aligned} \underline{\text{equiv.}} \quad a|z\rangle &= \sum_{n=0}^{\infty} \frac{a z^n}{\sqrt{n!}} |n\rangle \\ &= \sum_{n=1}^{\infty} \frac{z^n}{\sqrt{n!}} \sqrt{n} |n-1\rangle \\ &= \sum_{n=0}^{\infty} \frac{z^{n+1}}{\sqrt{n!}} |n\rangle \end{aligned}$$

$$\Rightarrow \underline{a|z\rangle = z|z\rangle} \quad \checkmark$$

Note: $\langle z| = \langle 0| e^{z^* a}$ with $\langle z| a^\dagger = \langle z| z^*$.

Normalization: $\langle z_2| z_1\rangle = \langle 0| e^{z_2^* a} e^{z_1 a^\dagger} |0\rangle$

$$\text{use } e^A e^B = e^{A+B+\frac{1}{2}[A,B]} = e^B e^A e^{\frac{1}{2}[A,B]}$$

valid for A, B s.t. $[A, B]$ commutes with A & B . $\Rightarrow$ Baker-Hausdorff formula.

(not difficult to derive, motivate by Taylor exp).

Note: $\psi_z(x) = \langle x | \tilde{z} \rangle = \left(\frac{m\omega}{\pi \hbar} \right)^{1/4} e^{-\frac{z^2}{2}} e^{-\frac{x^2}{2x_0^2}} e^{\sqrt{2} \frac{zx}{x_0}}$

• $U(z_N, z_0; t) = \langle z_N | \hat{U}(t) | z_0 \rangle$

$e^{-\frac{1}{2} \left(\frac{x}{x_0} - \sqrt{2} z \right)^2}$

$U(z_N, z_0; t) = e^{z_N^* z_0 e^{-i\omega_0 t}}$

$A_n H_n\left(\frac{x}{x_0}\right) e^{-\frac{x^2}{2x_0^2}}$

see hw 4: $\langle x | \tilde{z} \rangle = \sum_n \langle x | \frac{z^n a^{+n}}{n!} | 0 \rangle = \sum_n \frac{\langle x | n \rangle}{\sqrt{n!}} z^n e^{-\frac{x^2}{2x_0^2}}$

$\psi_z(x) = \langle x | \tilde{z} \rangle = \sum_n \frac{1}{x_0^{1/2}} \left(\frac{1}{\pi^{1/4} 2^{n/2} \sqrt{n!}} \right) \frac{z^n}{\sqrt{n!}} H_n\left(\frac{x}{x_0}\right) e^{-\frac{x^2}{2x_0^2}}$

$= \frac{1}{\pi^{1/4} x_0^{1/2}} \sum_n \frac{z^n}{2^{n/2}} \frac{1}{n!} H_n\left(\frac{x}{x_0}\right) e^{-\frac{x^2}{2x_0^2}} \Rightarrow s = \frac{z}{\sqrt{2}}$

Note: Evolution op. $\hat{U} = e^{-\frac{i}{\hbar} H(a^+, a) t}$

is really simple in coherent state basis:

$\langle z_N | \hat{U}(t) | z_0 \rangle = \hat{U}(z_N, z_0; t)$

for H.O.: $= \langle z_N | z_0(t) \rangle$

$= \langle z_N | z_0 e^{-i\omega_0 t} \rangle = e^{z_N^* z_0 e^{-i\omega_0 t}}$

For H.O. $\Rightarrow \hat{U}(z_N, z_0; t) = e^{z_N^* z_0 e^{-i\omega_0 t}}$

$$\begin{aligned}
 \Rightarrow \langle z_2 | z_1 \rangle &= \langle 0 | e^{z_2^* a} e^{z_1 a^+} | 0 \rangle \\
 &= \langle 0 | e^{z_1 a^+} e^{z_2^* a} | 0 \rangle e^{[z_2^* a, z_1 a^+]} \\
 \underline{\langle z_2 | z_1 \rangle} &= e^{z_2^* z_1}
 \end{aligned}$$

Resolution of identity:

$$1 = \int \frac{dx dy}{\pi} |z\rangle \langle z| e^{-|z|^2} = \int \frac{dz dz^*}{2\pi i} |z\rangle \langle z| e^{-z^* z}$$

↑ Jacobian.

$$\text{use } |z\rangle = e^{z a^+} |0\rangle = \sum_0^\infty \frac{z^n}{n!} |n\rangle$$

Note: $\langle z | : f(a^+, a) : | z \rangle = f(z^*, z) !$

where $: f(a^+, a) :$ is f of a^+, a
 where all a 's are to the right of a^+ 's.
 called Normal-ordered e.g.

$a^+ a^2$ is "N.O."ed but $a^2 a^+$ is not.

Normal order by commuting a 's to right.

Note simple, classical-like evolution of $|z\rangle$

$$|z(t)\rangle = U(t) |z\rangle = U(t) e^{z a^+} U^\dagger(t) U(t) |0\rangle$$

For h.o. $a^+(t) = a^+ e^{-i\omega_0 t}$

$H = \hbar\omega_0 a^+ a \quad \Rightarrow \quad e^{(ze^{-i\omega_0 t}) a^+} |0\rangle$

$$\Rightarrow \boxed{|z(t)\rangle = |z e^{-i\omega_0 t}\rangle}$$

oscillates !!! } remains
 like classical state } coherent