

Lectures on Unconventional Superconductivity

Superfluid ^3He

Heavy Fermion Superconductors

High T_c cuprates

Ruthenates (?)

Organic Metals

(Signatures of Broken Symmetries)

Introduction - Broken Symmetry, Order Parameters

Fermi-liquid Superconductivity } Thermodynamics
 "BCS + Landau + ..." } Currents
 Disorder

Fermi-liquid SC-II : Transport in UnSC.

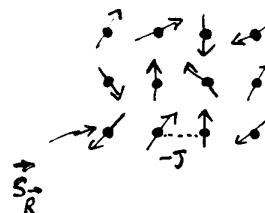
Non-equilibrium Dynamics : { Collective Modes
 Vortex Dynamics

①

Long Range Order - Ferromagnets

②

Atomic Spins on a Lattice (3D)



$$T > T_c \quad \vec{S} = \sum_{\vec{R}} \vec{S}_{\vec{R}}$$



$$T < T_c$$

• $\langle \vec{S} \rangle = 0$
 $\text{Tr}\{e^{\beta H} \dots\}$

- Disordered Phase
- Rotationally Invariant

• $G(\vec{R}, \vec{R}') \xrightarrow{|\vec{R} - \vec{R}'| \rightarrow \infty} 0$

• $\langle \vec{S} \rangle \neq 0$

- Ordered Phase
- Broken Rotational Symmetry

• $G(\vec{R}, \vec{R}') \rightarrow \sim |\langle \vec{S} \rangle|^2$

→ Long-range Order in The Spin-Spin Correlations

$$G(\vec{R}, \vec{R}') \equiv \langle \vec{S}(\vec{R}) \cdot \vec{S}(\vec{R}') \rangle \sim \langle \vec{S}(\vec{R}) \rangle \cdot \langle \vec{S}(\vec{R}') \rangle$$

Sauls
 Lecture 1

Condensation in Bose Fluids/Gases

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- One-particle Density Matrix

$$\rho_1(\vec{r}, \vec{r}') \equiv \langle \psi^\dagger(\vec{r}) \psi(\vec{r}') \rangle$$

$$\psi^\dagger(\vec{r}) = \sum_{\vec{p}} \varphi_{\vec{p}}^*(\vec{r}) a_{\vec{p}}^\dagger$$

$$\psi(\vec{r}) = \sum_{\vec{p}} \varphi_{\vec{p}}(\vec{r}) a_{\vec{p}}$$

$$\rho_1(\vec{r}, \vec{r}') = \sum_{\vec{p}, \vec{p}'} \varphi_{\vec{p}}^*(\vec{r}) \varphi_{\vec{p}'}(\vec{r}') \langle a_{\vec{p}}^\dagger a_{\vec{p}'} \rangle$$

Translationally Invariant System

$$\langle a_{\vec{p}}^\dagger a_{\vec{p}'} \rangle = \delta_{\vec{p}, \vec{p}'} * \overbrace{\langle a_{\vec{p}}^\dagger a_{\vec{p}} \rangle}^{\bar{n}_{\vec{p}}}$$

Macroscopic Occupation of the $\vec{p}=0$ state ($T < T_{BE}$)

$$\bar{n}_{\vec{p}} = N_0 \delta_{\vec{p}, 0} + \tilde{n}_{\vec{p}} (1 - \delta_{\vec{p}, 0})$$

$$\begin{matrix} \uparrow \\ N_0 \sim \mathcal{O}(N) \end{matrix}$$

$$\begin{matrix} \uparrow \\ \tilde{n}_{\vec{p}} \sim \mathcal{O}(1) \end{matrix}$$

Long-Range Order below T_{BE}

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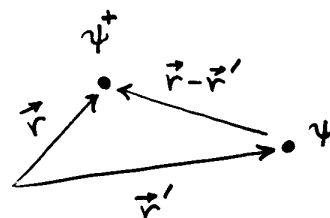
$$\bar{n}_{\vec{p}} = N_0 \delta_{\vec{p}, 0} + \tilde{n}_{\vec{p}} (1 - \delta_{\vec{p}, 0}) \quad \leftarrow 1/(e^{\beta \epsilon_{\vec{p}}} - 1)$$

$$\rho_1(\vec{r}, \vec{r}') = N_0 \varphi_0^*(\vec{r}) \varphi_0(\vec{r}') + \tilde{\rho}_1(\vec{r}, \vec{r}')$$

$$\tilde{\rho}_1(\vec{r}, \vec{r}') = \int \frac{d^3 p}{(2\pi\hbar)^3} e^{i\vec{p} \cdot (\vec{r} - \vec{r}')/\hbar} \tilde{n}_{\vec{p}}$$

$$\tilde{\rho}_1(\vec{r}, \vec{r}') \xrightarrow{|\vec{r} - \vec{r}'| \rightarrow \infty} 0.$$

$$\rho_1(\vec{r}, \vec{r}') \xrightarrow{|\vec{r} - \vec{r}'| \rightarrow \infty} N_0 \varphi_0^*(\vec{r}) \varphi_0(\vec{r}')$$



Macroscopically Occupied
Single Quantum State

$$\Psi \sim \sqrt{\frac{N_0}{V}}$$

$$\langle \psi^\dagger(\vec{r}) \psi(\vec{r}') \rangle \xrightarrow{|\vec{r} - \vec{r}'| \rightarrow \infty} \overbrace{\Psi^*(\vec{r}) \Psi(\vec{r}')}^{\text{Macroscopically Occupied Single Quantum State}}$$

Off-Diagonal Long-Range Order $\frac{1}{2}$ $\langle \Psi \rangle$

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$T=0$ Ground State of the Bose Gas

$$|N\rangle = \frac{1}{\sqrt{N!}} (a_0^\dagger)^N |vac\rangle \leftrightarrow$$

$$\langle \vec{r}_1 \dots \vec{r}_N | N \rangle \sim \varphi_0(\vec{r}_1) \dots \varphi_0(\vec{r}_N)$$

$$\langle \Psi^\dagger(\vec{r}) \rangle \equiv \langle N+1 | \Psi^\dagger(\vec{r}) | N \rangle = \sum_{\vec{p}} \varphi_{\vec{p}}^*(\vec{r}) \langle N+1 | a_{\vec{p}}^\dagger | N \rangle$$

$$\downarrow = \sqrt{N+1} \varphi_0^*(\vec{r}) \approx \sqrt{N} \varphi_0^*(\vec{r}) \equiv \Psi^*(\vec{r})$$

$$\langle \Psi(\vec{r}') \rangle \equiv \langle N | \Psi(\vec{r}') | N+1 \rangle = \sqrt{N} \varphi_0(\vec{r}') \equiv \underline{\underline{\Psi(\vec{r})}}$$

$T=0$

$$\begin{aligned} \rho_1(\vec{r}, \vec{r}') &= N \varphi_0^*(\vec{r}) \varphi_0(\vec{r}') = \langle \Psi^\dagger(\vec{r}) \rangle \langle \Psi(\vec{r}') \rangle \\ &= \Psi^*(\vec{r}) \Psi(\vec{r}') \end{aligned}$$

Macroscopic Matter field: $\Psi \sim \sqrt{\frac{N}{V}}$

Superfluid Helium (^4He)

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$$T_\lambda = 2.17 \text{ K} \sim T_{BE} \quad (\text{F. London})$$

Strongly Interacting Bose Liquid

Condensation w/ $n_0 \lesssim \mathcal{O}(\frac{N}{V})$.

ODLRO in ^4He

(Penrose & Onsager)

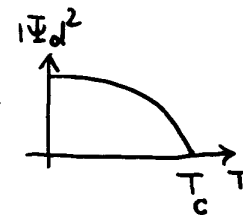
$$\rho_1(\vec{r}, \vec{r}') \rightarrow \Psi^*(\vec{r}) \Psi(\vec{r}')$$

$$\text{w/ } |\Psi(\vec{r})| \approx \sqrt{n_0} = \Psi_0$$

$$\underline{\Psi(\vec{r})} = \boxed{\text{Order Parameter}} \equiv \text{Macroscopic 'Wave function' of condensate particles}$$

$$\cong \boxed{\Psi_0} e^{i\theta(\vec{r})}$$

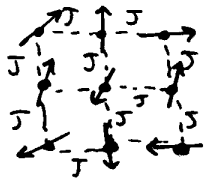
Thermodynamic Variable of State



Dynamical Variable

$$\boxed{\hbar \vec{\nabla} \theta \equiv \vec{p}_s} \rightarrow \text{Superflow}$$

Broken (spin) Rotation Symmetry in Ferromagnets



$$H = -J \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j \quad \text{Heisenberg}$$

$$\text{Symmetry: } U[\vec{\theta}] = e^{-i \vec{S} \cdot \vec{\theta}}$$

$$\vec{S} = \sum_i \vec{S}_i$$

$$U[\vec{\theta}] \vec{S}_i U^\dagger[\vec{\theta}] = \vec{R}[\vec{\theta}] \cdot \vec{S}_i \Rightarrow \boxed{U H U^\dagger = H}$$

Ground State (Ψ_0)

$$|\Psi_0\rangle \neq U[\vec{\theta}] |\Psi_0\rangle$$

$$\text{Degeneracy: } E[\Psi_0] = E[U_\theta \Psi_0]$$

↑
Parametrizes Degeneracy.

► Finite Temperature

$$\langle \vec{S} \rangle = \text{Tr}[\rho \vec{S}] \neq 0 \rightarrow \boxed{U \rho U^\dagger \neq \rho} \quad \begin{matrix} [\vec{S}, \rho] \neq 0 \\ \text{but } [U H U^\dagger = H] \\ [\vec{S}, H] = 0 \end{matrix}$$

Broken Gauge Symmetry

$T \neq 0$

$$\Psi(\vec{r}) \equiv \langle \psi(\vec{r}) \rangle = \text{Tr}[\rho \psi(\vec{r})]$$

Gauge Transformations

$$\psi(\vec{r}) \rightarrow \psi'(\vec{r}) = U[\phi] \psi(\vec{r}) U^\dagger[\phi] = e^{i\phi} \psi(\vec{r})$$

$$U[\phi] = e^{-i \hat{N} \phi} \quad \begin{matrix} \text{phase parameter} \\ \text{generator} \end{matrix}$$

$$\hat{N} = \int d\vec{r} \psi^\dagger(\vec{r}) \psi(\vec{r})$$

Expect

$$\Psi(\vec{r}) \xrightarrow{\phi} e^{i\phi} \Psi(\vec{r})$$

$$\langle \psi(\vec{r}) \rangle = \text{Tr}[\underbrace{U^\dagger U}_1 \rho \underbrace{U^\dagger U}_1 \psi(\vec{r})]$$

↓

$$= \text{Tr}[\rho_\phi \psi(\vec{r})] e^{i\phi} \quad \text{w/ } \rho_\phi = U \rho U^\dagger$$

$$* \langle \psi \rangle \neq 0 \Rightarrow \boxed{[\hat{N}, \rho] \neq 0} \quad \text{even if } [H, \hat{N}] = 0$$

$$\rho_\phi = \rho \Rightarrow [\hat{N}, \rho] = 0 \neq \langle \psi \rangle = 0$$

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Ensembles w/ Broken Symmetry (η -Ensembles)■ Spontaneous Magnetization: $\langle \vec{S} \rangle$

► Add an infinitesimal field:

$$\mathcal{H}_\eta = \mathcal{H} - \vec{\eta} \cdot \vec{S} \Rightarrow \boxed{\rho_\eta \sim e^{-\beta \{ \mathcal{H} - \vec{\eta} \cdot \vec{S} - \mu N \}}}$$

$$\langle \vec{S} \rangle = \lim_{\eta \rightarrow 0} \frac{1}{Z} \text{Tr} \{ \rho_\eta \vec{S} \} \neq 0 \quad (T < T_c)$$

■ Spontaneous Condensation: $\langle \psi \rangle$

► Introduce a c-number field: "seed condensates"

$$\mathcal{H}_\eta = \mathcal{H} + \frac{1}{2} \int d^3R \{ \eta(\vec{R}) \psi(\vec{R}) + \eta^*(\vec{R}) \psi^\dagger(\vec{R}) \}$$

$$\rho_\eta \sim e^{-\beta \{ \mathcal{H} + \frac{1}{2} \int d^3R \{ \eta \psi + \eta^* \psi^\dagger \} - \mu N \}}$$

$$\langle \psi(\vec{R}) \rangle \equiv \lim_{\eta \rightarrow 0} \frac{1}{Z} \text{Tr} \{ \rho_\eta \psi(\vec{R}) \} \neq 0 \Rightarrow \text{ODLRO}$$

ODLRO in Fermion Systems

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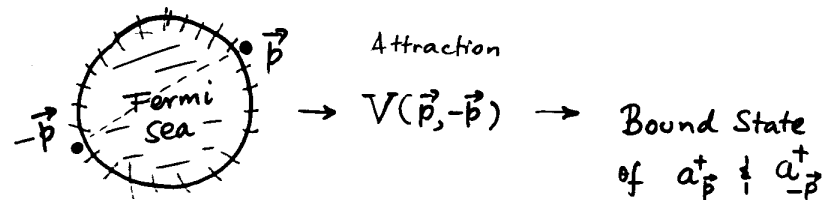
• 1-particle density Matrix

$$\rho_1(\vec{r}\sigma, \vec{r}'\sigma') = \langle \psi_\sigma^\dagger(\vec{r}) \psi_{\sigma'}(\vec{r}') \rangle = \sum_i^{\text{states}} \rho_i \psi_i^\dagger(\vec{r}\sigma) \psi_i(\vec{r}'\sigma')$$

* $\rho_i \leq 1/v$ for all states (Pauli Exclusion)No ODLRO in ρ_1 .► 2-particle Correlations ($x \equiv (\vec{r}, \sigma)$)

$$\rho_2(x_1, x_2; x_3, x_4) \equiv \langle \psi^\dagger(x_1) \psi^\dagger(x_2) \psi(x_3) \psi(x_4) \rangle$$

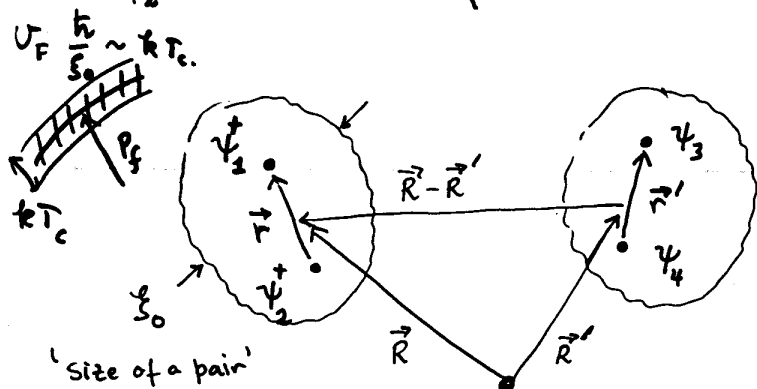
• Cooper Instability: Pair formation on the Fermi Surface

• Superfluidity for Fermions $\leftrightarrow$ ODLRO in the pair channel

ODLRO $\leftrightarrow$ Pair Condensation

- Yang...
- Gor'kov.

$$\rho_2(x_1, x_2; x_3, x_4) = \langle \psi^\dagger(x_1) \psi^\dagger(x_2) \psi(x_3) \psi(x_4) \rangle$$



$$\rho_2 \xrightarrow{|\vec{R}-\vec{R}'| \rightarrow \infty} \Psi_{\sigma_1 \sigma_2}^*(\vec{R}, \vec{r}) \Psi_{\sigma_3 \sigma_4}(\vec{R}', \vec{r}')$$

Center-of-Mass Motion

Internal Orbital State

$$\sim \langle \psi(x_3) \psi(x_4) \rangle$$

$$\Psi_{\sigma_1 \sigma_2}(\vec{R}, \vec{r}) \sim \mathcal{O}\left(\frac{N}{2V}\right)$$

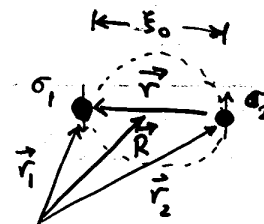
Spin State of Cooper Pairs

(Macroscopically Occupied 2-particle state)

Order Parameter = Pair Amplitude

$$\Psi_{\sigma_1 \sigma_2}(\vec{R}, \vec{r}) \sim \langle \psi_{\sigma_1}(\vec{r}_1) \psi_{\sigma_2}(\vec{r}_2) \rangle$$

Spin projections of the fermions



► Fermion Anti-Symmetry

$$\Psi_{\sigma_1 \sigma_2}(\vec{r}_1, \vec{r}_2) = -\Psi_{\sigma_2 \sigma_1}(\vec{r}_2, \vec{r}_1)$$

$$\Psi_{\sigma_1 \sigma_2}(\vec{R}, \vec{r}) = -\Psi_{\sigma_2 \sigma_1}(\vec{R}, -\vec{r})$$

Ex.: S-wave Pairing ('Conventional' Superconductors)

$$L_{\text{pair}} = 0 \text{ (isotropic } \Psi) \quad \Psi_{\uparrow \downarrow}(\vec{R}, \vec{r}) = |\Psi(\vec{R})| e^{i\Theta(\vec{R})}$$

Rotationally Invariant Pairs

Magnetically Inert Pairs

$S_{\text{pair}} = 0$ (singlet spins)

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Orbital Momentum / CM Representation

$$F_{\sigma_1 \sigma_2}(\vec{R}, \vec{p}) = \int d\vec{r} e^{-i\vec{p} \cdot \vec{r}} \underbrace{\Psi_{\sigma_1 \sigma_2}(\vec{R}, \vec{r})}_{\langle \psi_{\sigma_1}(\vec{R} + \frac{\vec{r}}{2}) \psi_{\sigma_2}(\vec{R} - \frac{\vec{r}}{2}) \rangle}$$

- Homogeneous Equilibrium

$$F_{\sigma_1 \sigma_2}(\vec{p}) \simeq \langle a_{\vec{p}\sigma_1} a_{-\vec{p}\sigma_2} \rangle$$

- Spin - Matrix Structure

$$\hat{F}(\vec{p}) = \begin{pmatrix} F_{\uparrow\uparrow} & F_{\uparrow\downarrow} \\ F_{\downarrow\uparrow} & F_{\downarrow\downarrow} \end{pmatrix}$$

- Fermion Anti-Symmetry

$$F_{\sigma_1 \sigma_2}(\vec{p}) = -F_{\sigma_2 \sigma_1}(-\vec{p})$$

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Even Parity / Spin Singlet Pairing

$$F_{\sigma_1 \sigma_2}(\vec{p}) = +F_{\sigma_1 \sigma_2}(-\vec{p}) \quad (\text{Even parity})$$



$$F_{\sigma_1 \sigma_2}(\vec{p}) = -F_{\sigma_2 \sigma_1}(\vec{p}) \quad (\text{Singlet Pairing})$$

$$F_{\sigma_1 \sigma_2}(\vec{p}) = [i\sigma_y]_{\sigma_1 \sigma_2} \boxed{d_0(\vec{p})} \Rightarrow \hat{F}(\vec{p}) = \begin{bmatrix} 0 & d_0 \\ -d_0 & 0 \end{bmatrix}$$

Odd-Parity / Spin Triplet Pairing

$$F_{\sigma_1 \sigma_2}(\vec{p}) = -F_{\sigma_1 \sigma_2}(-\vec{p}) \quad (\text{odd parity})$$



$$F_{\sigma_1 \sigma_2}(\vec{p}) = +F_{\sigma_2 \sigma_1}(\vec{p}) \quad (\text{spin triplet})$$

$$F_{\sigma_1 \sigma_2}(\vec{p}) = [i\vec{\sigma} \cdot \vec{\sigma}_y]_{\sigma_1 \sigma_2} \cdot \boxed{\vec{d}(\vec{p})}$$

$$\hat{F}(\vec{p}) = \begin{bmatrix} \uparrow\uparrow & \uparrow\downarrow\downarrow \\ -d_x + id_y & id_z \\ \hline id_z & d_x + id_y \\ \uparrow\downarrow\uparrow & \downarrow\downarrow \end{bmatrix}$$

Balian & Werthamer

Spin Rotation of the Pair Amplitudes

$$F_{\alpha\beta}(\vec{p}) = [i\sigma_y]_{\alpha\beta} \overset{\text{scalar}}{d_0(\vec{p})} + [i\vec{\sigma}\sigma_y]_{\alpha\beta} \cdot \overset{\text{vector}}{\vec{d}(\vec{p})}$$

Spin Rotations:

$$\psi_\alpha(\vec{r}) \xrightarrow{\vec{\theta}} \left[e^{-i\vec{\theta}\cdot\vec{\sigma}/2} \right]_{\alpha\beta} \psi_\beta(\vec{r})$$

↓

$$F_{\alpha\beta}(\vec{p}) \rightarrow \left[e^{-i\vec{\theta}\cdot\vec{\sigma}/2} \right]_{\alpha\gamma} \left[e^{-i\vec{\theta}\cdot\vec{\sigma}/2} \right]_{\beta\delta} F_{\gamma\delta}(\vec{p})$$

$$= \left[e^{-i\vec{\theta}\cdot\vec{\sigma}/2} \hat{F} (e^{-i\vec{\theta}\cdot\vec{\sigma}/2})^{tr} \right]_{\alpha\beta}$$

$$\sigma_y \vec{\sigma} \sigma_y = -\vec{\sigma}^{tr}$$

$$\star \sigma_y (e^{-i\vec{\theta}\cdot\vec{\sigma}/2})^{tr} = e^{i\vec{\theta}\cdot\vec{\sigma}/2} \sigma_y$$

$$F_{\alpha\beta}(\vec{p}) \xrightarrow{\vec{\theta}} \underbrace{[i\sigma_y]_{\alpha\beta} d_0(\vec{p})}_{\text{Rotational Invariant}} + i \underbrace{\left[e^{-i\vec{\theta}\cdot\vec{\sigma}/2} \vec{\sigma} e^{i\vec{\theta}\cdot\vec{\sigma}/2} \right]_{\alpha\beta}}_{= \vec{R}_{\vec{\theta}} \cdot (i\vec{\sigma})} \cdot \vec{d}$$

$$\rightarrow [i\sigma_y]_{\alpha\beta} d_0 + [i\vec{\sigma}\sigma_y]_{\alpha\beta} \cdot \underbrace{\left[\vec{R}_{\vec{\theta}}^{-1} \cdot \vec{d}(\vec{p}) \right]}_{\text{Spin Vector}}$$

Ginzburg-Landau Free Energy Functional (ala Landau c.a. 1937)

► $F_{\alpha\beta}(\vec{p}, \vec{R})$ is a macroscopic Variable of State

$$\Delta\Omega[F_{\alpha\beta}] = -\frac{1}{T} \ln T_{r(F)} \left\{ e^{-\beta(H-\mu N)} \right\} - \Omega_0$$

$\nwarrow$ $\uparrow$ $\uparrow$
 fixed $\neq$ Normal State Free Energy

• States which are slowly varying with respect to $\vec{R}$ on scale ξ_0

• $\Delta\Omega[F]$ is an Energy functional w.r.t. F , $\neq$ a free-energy w.r.t. microscopic scale

■ Full Free Energy includes fluctuations of F

$$\Delta\Omega = -\beta \ln T_F e^{-\beta \Delta\Omega[F]}$$

$\uparrow$ Sum over all F configurations

* GL Theory :

$\Delta\Omega = \Delta\Omega[F_{min}]$

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$\Delta\Omega[F] \Rightarrow$ Energy functional for a configuration defined by the Order parameter $F(\vec{p}, \vec{R})$.

$$\rho_F = \text{prob. density for } F : \frac{1}{Z_0} \bar{e}^{\beta \Delta\Omega[F]}$$

Partition function for configurations of F :

$$Z_F = Z_0 * \text{Tr}_F \left\{ \bar{e}^{\beta \Delta\Omega[F]} \right\}$$

Landau Theory is the stationary-point approx.

$$\rho_F \rightarrow \rho_F^{\text{MAX}} = \frac{1}{Z_0} \bar{e}^{\beta \Delta\Omega_{\min}} \quad \leftarrow \Delta\Omega[F_{\min}]$$

$$\Delta\Omega = \Delta\Omega[F_{\min}] \quad \checkmark / \quad \left. \frac{\delta \Delta\Omega}{\delta F} \right|_{F_{\min}} = 0.$$

Expand around $F_{\min}$:

$$\Delta\Omega[F] = \Delta\Omega[F_{\min}] + \delta\Delta\Omega[\delta F]$$

$$Z_F = \underbrace{Z_0 \bar{e}^{\beta \Delta\Omega[F_{\min}]}}_{Z_{\text{Landau}}} * \underbrace{\text{Tr}_{\delta F} \left(\bar{e}^{\beta \delta\Delta\Omega[\delta F]} \right)}_{Z_{\text{Fluc}}}$$

Fluctuation Energy Functional

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Superfluid ^3He & "Related Models"
(Weak Spin-Orbit Coupling)

$$E_F \approx 1 \text{ K}^0$$

$$T_c \approx 10^{-3} \text{ K}^0$$

$$\xi_0 \approx \frac{\hbar v_F}{2\pi T_c} \approx \underline{\underline{10^3 \text{ \AA}}} \gg \frac{\hbar}{p_F} \sim \underline{\underline{\text{ \AA}}}$$

$$* \vec{s}_1 \uparrow \quad \vec{s}_2 \downarrow \quad \Rightarrow E_{\text{dipole}} = \left(\frac{\gamma \hbar}{2} \right)^2 \frac{1}{a^3} \sim \underline{\underline{10^{-7} \text{ K}^0}}$$

space inversion

$$G \cong \underbrace{SO(3)}_{\text{spin}} \times \underbrace{SO(3)}_{\text{orbit}} \times \underbrace{\mathbb{P} \times \mathbb{T} \times U(1)}_{\substack{\uparrow \text{ gauge} \\ \text{time-reversal}}} \xrightarrow{\text{space inversion}} G_{\text{rot}}$$

Symmetry of Normal ^3He
(or Normal Metal)

Point Group
e.g. D_{6h} for $U\text{Pt}_3$

Unconventional Pairing

$$F_{\alpha\beta}(\vec{p}, \vec{R}) \xrightarrow{g} F'_{\alpha\beta}(\vec{p}, \vec{R}) \neq F_{\alpha\beta}(\vec{p}, \vec{R})$$

for $g \in G/U(1)$

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Representations of G

(Spin-Singlet)
 $d(\vec{p}, \vec{r}) \Rightarrow$ identity Representation of $SO(3)_{\text{spin}}$

$$\hookrightarrow = \sum_{l,m}^{\text{even-}l} d_{lm}(\vec{r}) \boxed{Y_{lm}(\hat{p})}$$

$d^{(l)} = \{d_{lm}\}$ - even- l rep. of $SO(3)_{\text{orbit}}$
 Dim = $(2l+1)$

$$\left(\begin{array}{c} d^{(0)} \\ \hline d_{+2}^{(2)} \\ d_{+1}^{(2)} \\ d_0^{(2)} \\ d_{-1}^{(2)} \\ d_{-2}^{(2)} \\ \hline \vdots \\ l=4 \\ \hline \end{array} \right) \left\{ \begin{array}{l} \text{s-wave, 1 dim, identity rep.} \\ \\ \text{d-wave, 5-dim Rep.} \\ \\ \text{g-wave, 9-dim, Rep.} \end{array} \right.$$

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$\vec{d}(\vec{p}, \vec{r}) \Rightarrow$ 3 dim 'Vector' Rep.
 of $SO(3)_{\text{spin}}$

$$\vec{d} = \sum_{l,m}^{\text{odd-}l} \vec{d}_m^{(l)} y_{lm}(\hat{p})$$

$$\left(\begin{array}{c} d_{+1}^{(1)} \\ d_0^{(1)} \\ d_{-1}^{(1)} \\ \hline \vdots \\ l=3 \\ \hline \end{array} \right) \left\{ \begin{array}{l} \text{"Vector Rep."} \\ \\ l=1, \text{ p-wave} \\ 3 \text{ dim, Rep. of } SO(3)_{\text{orbit}} \\ \\ \text{f-wave} \\ 7 \text{ dim Rep.} \end{array} \right.$$

Spin-Singlet, s-wave SC (conventional)

$$d_o(\vec{r}) \xrightarrow{\alpha} e^{i\alpha} d_o(\vec{r}) : U(1)$$

Landau - Ginzburg Functional

$$\Delta\Omega[d_o] = \int d^3R \Delta\phi[d_o(\vec{r})]$$

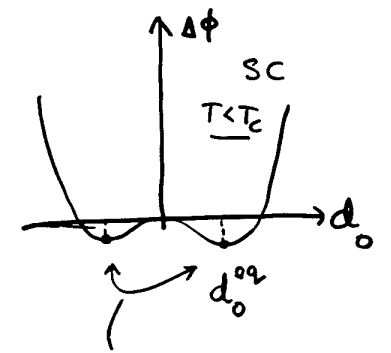
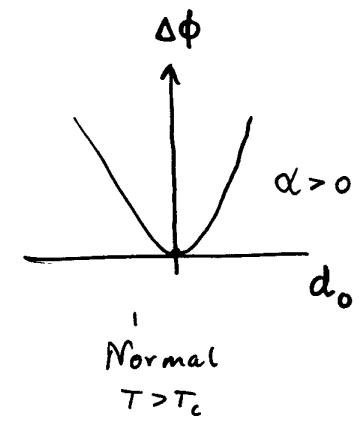
- $d_o \rightarrow 0$ as $T \rightarrow T_c^-$ + $d_o = 0$ for $T > T_c$
- $|T - T_c| \ll T_c$ Expand in d_o
- $\Delta\phi[d_o(\vec{r})] = \alpha(T) |d_o(\vec{r})|^2 + \beta |d_o(\vec{r})|^4 + \gamma |\vec{\nabla} d_o(\vec{r})|^2$
- No odd powers of $d_o \rightarrow U(1)$ symm.

Material Parameters:

$$\alpha(T) = \alpha' \left(\frac{T - T_c}{T_c} \right) \quad \text{w/ } \alpha' > 0$$

$$\beta > 0 \quad (\text{stability})$$

$$\gamma > 0 \quad (\text{homogeneous states favored})$$



Degeneracy is Continuous
 $d_o^{eq} \rightarrow d_o^{eq} * e^{i\alpha}$

$$\frac{\partial \Delta\phi}{\partial d_o} = 0 \Rightarrow |d_o(T)|^2 = - \frac{\alpha(T)}{2\beta} \approx \frac{(T_c - T)}{2\beta}$$

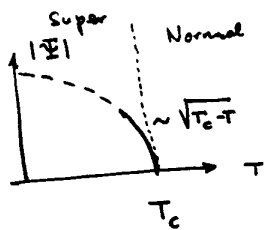
$$\Delta\phi_{eq} = - \frac{\alpha(T)^2}{4\beta} \propto -(T - T_c)^2$$

Specific Heat Jump $\Rightarrow \Delta C = -T_c \left. \frac{\partial^2 \phi}{\partial T^2} \right|_{T_c} = \frac{(\alpha')^2}{2\beta T_c}$

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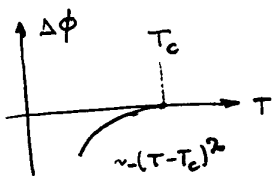
I. Order Parameter

$$\Psi_0 = \begin{cases} 0 & ; T > T_c \\ \sqrt{\frac{\alpha'}{2\beta}} (T_c - T)^{\frac{1}{2}} & ; T < T_c \end{cases}$$



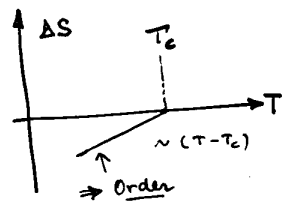
II. Condensation Energy:

$$\Delta\phi = \begin{cases} 0 & ; T > T_c \\ -\frac{1}{2} \left(\frac{\alpha'}{2\beta} \right) (T - T_c)^2 & ; T < T_c \end{cases}$$



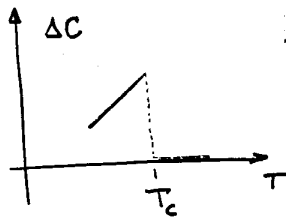
III. Entropy: $\Delta S = - \frac{\partial \Delta\phi}{\partial T}$

$$\Delta S = \begin{cases} 0 & ; T > T_c \\ \left(\frac{\alpha'}{2\beta} \right) (T - T_c) & ; T < T_c \end{cases}$$



IV. Specific Heat: $\Delta C = T \frac{\partial \Delta S}{\partial T}$

$$\Delta C = \begin{cases} 0 & ; T > T_c \\ \left(\frac{\alpha'}{2\beta} \right) T & ; T < T_c \end{cases}$$



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Non-Uniform Stationary Points (GL Equation)

$$-\gamma \nabla^2 d_0 + \alpha(T) d_0(\vec{R}) + 2\beta |d_0(\vec{R})|^2 d_0(\vec{R}) = 0$$

GL coherence length

$$\xi(T) = \sqrt{\frac{\gamma}{|\alpha(T)|}} \sim (T_c - T)^{-\frac{1}{2}}$$

$\gamma \rightarrow$ stiffness

$\xi(T) \Rightarrow$ scale of spatial fluctuations
where $|\alpha(T)| |d_0|^2 \sim \gamma |\nabla d_0|^2$

$$\psi = d_0(\vec{R}) / |d_0|$$

$$\Rightarrow -\xi(T)^2 \nabla^2 \psi - \psi + |\psi|^2 \psi = 0$$

(25)

Spin-Triplet, P-wave Pairing

Full Orbital Rotation Group $\Rightarrow$ 3He

$$d_i(\vec{p}) = A_{ij} (\hat{p})_j$$

Vector w.r.t. Spin Rotations $\nearrow$ A_{ij} $\nearrow$ vector w.r.t. orbital rotations $(\hat{p})_j$

$$\begin{pmatrix} \hat{p}_x \\ \hat{p}_y \\ \hat{p}_z \end{pmatrix} \parallel \begin{pmatrix} Y_{10} \sim p_z \\ Y_{11} \sim p_x + ip_y \\ Y_{1-1} \sim p_x - ip_y \end{pmatrix}$$

GL Free Energy Functional

1. Construct Scalar invariants
2. $U(1) \rightarrow$ equal $\nless A_{ij}$ as A_{ij}^*
3. Form scalar products under spin & orbital rotations — Separately

(26)

V. Ambegaokar's Construction

$$A_{ij} \leftrightarrow \begin{array}{c} \text{---} \circ \text{---} \\ \text{spin} \quad \text{orbit} \end{array}$$

$$A_{ij}^* \leftrightarrow \begin{array}{c} \text{---} \text{---} \circ \\ \text{spin} \quad \text{orbit} \end{array}$$

2nd Order:

$$\begin{array}{c} \text{---} \circ \text{---} \\ \text{---} \circ \end{array} = A_{ij} A_{ij}^* = \underline{\underline{\text{Tr} AA^+}}$$

4th Order : (5 independent invariants)

$$\begin{array}{c} \text{---} \circ \text{---} \\ \text{---} \text{---} \circ \end{array}$$

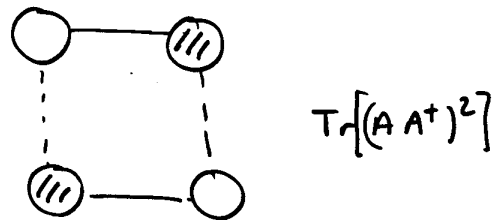
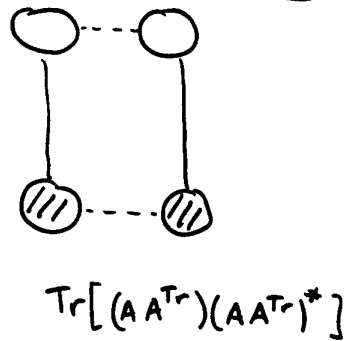
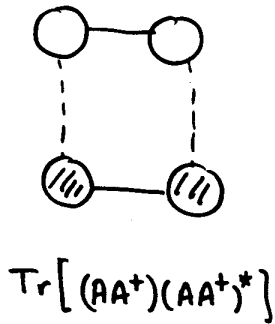
$$[\text{Tr} AA^+]^2$$

$$\begin{array}{c} \text{---} \circ \text{---} \\ \text{---} \circ \end{array}$$

$$\begin{array}{c} \text{---} \text{---} \circ \\ \text{---} \text{---} \circ \end{array}$$

$$|\text{Tr} AA^+|^2$$

(27)



$$\begin{aligned} \Delta\phi[A] = & \alpha \text{Tr} AA^\dagger + \beta_1 |\text{Tr} AA^{Tr}|^2 \\ & + \beta_2 [\text{Tr}(AA^\dagger)]^2 + \beta_3 \text{Tr}[(AA^{Tr})(AA^{Tr})^*] \\ & + \beta_4 \text{Tr}(AA^\dagger)^2 + \beta_5 \text{Tr}(AA^\dagger)(AA^\dagger)^* \end{aligned}$$

- Multiple Stationary Points $\Rightarrow$
 $\Rightarrow$ Multiple SC phases

~~Many~~
 Nearly
 Degenerate
 Phases

(28)

Multiple Superconducting Phases



Multi-Component Order Parameter



$\dagger_{\alpha\beta}(\vec{p}, \vec{R}) \in \text{Representation}$
 w/ $\dim > 1$



F is defined
 by two
 nearly degenerate
Representations

► Superfluid ^3He

► Superconducting UPt_3

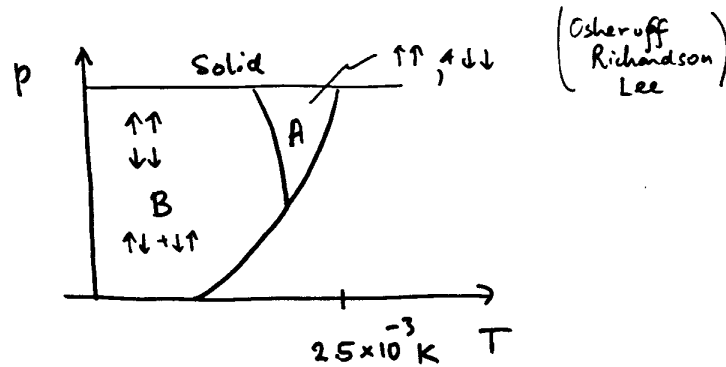
► Superconducting
 $(\text{U,Th})\text{Be}_{13}$ (?)

► Surface States
 of HTC , YBCO (?)

Other candidates for Multiple SC phases: Sr_2RuO_4

Multiple Superfluid Phases of ^3He

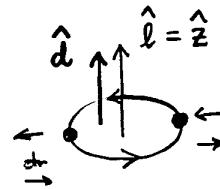
29



A-phase: Equal - Spin - Pairing (ESP)

$$d_3(\vec{p}) = 0$$

Anderson-Brinkman-Morel



$$\vec{d}(\vec{p}) = \Delta(\tau) \boxed{\hat{d}} (p_x + ip_y)$$

Real unit vector

orbital & Momentum

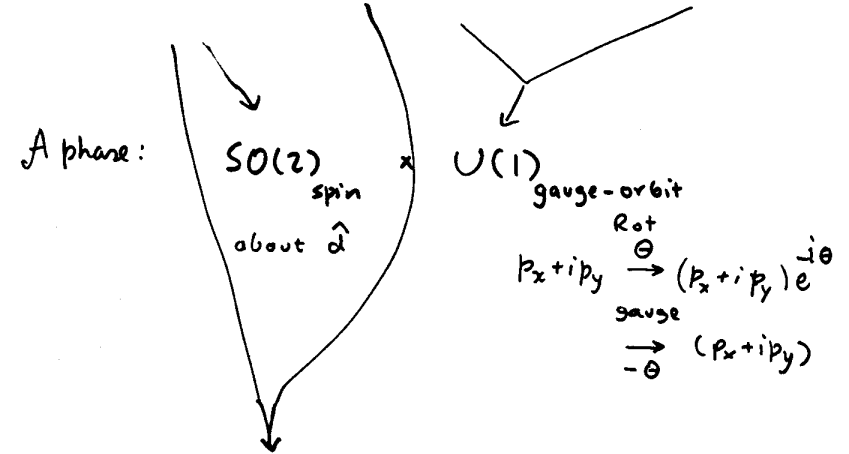
B-phase: Not ESP, ($J = L + S = 0$) + $\hbar$

$$\vec{d}(\vec{p}) = \Delta(\tau) \hat{p} ! \rightarrow |\vec{d}(\vec{p})|^2 = \Delta(\tau)^2$$

Symmetry Breaking in ^3He

30

$$SO(3)_{\text{spin}} \times SO(3)_{\text{orbit}} \times P \times T \times U(1)$$



B phase: $SO(3)_{S-L} \times T$ ← preserved.

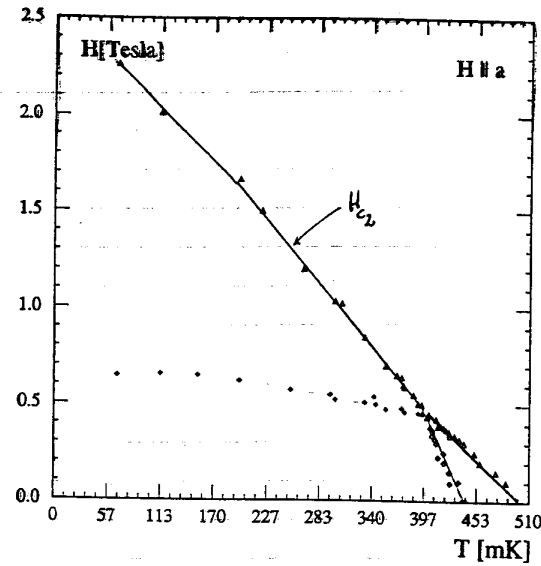
joint
Spin-orbit rotations

* Both phases break Relative Spin-Orbit Rot. Symm.
 $\Rightarrow$ NMR shifts + Acoustic Faraday Effect
 (Leggett)

Phase Diagram of $U\text{Pt}_3$ ($\vec{H} \perp \hat{c}$)

31

- Sound Velocity Anomalies — S. Adenwalla, et al. PRL 1990.



- Two Meissner phases
- Three Flux phases w/ Tetracritical Point
- Qualitatively similar phase diagram for $\vec{H} \parallel \hat{c}$