

Scanning Tunneling Microscopy (I) [Yazdani]

Reasons for local probe:

- ▲ randomly doped system can be inhomogeneous
- ▲ systems close to transition may segregate into different phases
- ▲ can learn about correlation by measuring response to defects
- ▲ search for "fluctuating" order.

Length Scales

- ▲ Inter-atomic $\sim \text{\AA}$
- ▲ e^- wavelength: good metal $\sim \text{\AA}$, bad metal $\sim 10 \text{\AA}$, semiconductor $\sim 100 \text{\AA}$
- ▲ correlation length: $100 - 1000 \text{\AA}$
- ▲ phase domain: $1000 \text{\AA} \sim \mu\text{m}$

Tunneling junction by Giaever (1963) — Aluminum-oxide:

- ▲ Al_2O_3 is "self-limiting" — the layer stops growing at $\sim 30 \text{\AA}$



Tunneling rate governs by Fermi's golden rule

$$I(V, r) \propto \int_{\epsilon_F}^{\epsilon_F + V} |M|^2 \rho_{\text{tip}} \rho_{\text{sample}}(\epsilon, r) d\epsilon$$

→ assume to be const. in ϵ .

- ▲ Assume tunneling is elastic
- ▲ It is possible to use inelastic processes for measurement too.

Vacuum Tunneling: Binnig & Rohrer (IBM-1980)

- ▲ oxide insulating layer is highly varying in space
- ▲ The idea of using tunneling for imaging date by to Russell Young (1972)
- ▲ Key idea is to use piezoelectric elements to move tips
- ▲ $1 \text{V} \sim 1 \text{\AA}$, stable voltage $\sim \text{mV}$

⇒ voltage noise not a problem.



Scanning Tunneling Microscopy

- Tip + sample in vibration isolation, Feedback loop to adjust tunneling current constant.
 - ▲ Vacuum between tip/sample $\sim 5-6 \text{ \AA}$
 - ▲ First example: Si shows 7×7 pattern on (111) surface.
- Building STM.
 - ▲ Ultra high vacuum ($\sim 10^{-6}$ torr), vibration isolation, cryogenics
 - ▲ Main problem: noise associate with building vibration ($\sim 6 \text{ Hz}$), air-conditioning & pump noise, etc.
- Prefer single crystal, & cleave to nice surface, heat to remove defects, etc.
- Example: Cu (111)
 - ▲ Have surface states manifest as waves, & surface defects create dips in e^- density.
- How clean vacuum need be depends on sample (e.g. Au is tolerating).
- Can fix on particular pixel and measure full $\frac{dI}{dV}$ curve, then scan through pixels.
 - ▲ caveat in data: matrix element $|M|^2$ need be almost invariant across space and voltage.
- Example: scattering through hard wall
 - ▲ From fitting to one-particle wavefunction, can get dephasing scale as function of energy.
- Example: building particle-in-a-box (but leaky box!) & observe standing wave of surface states

• STM on GeAs (110) surface

- ▲ easy to cleave — exposed to UHV.
- ▲ states at +1.6V dominated by Ga, states at -1.6V dominated by As \Rightarrow able to distinguish atoms.

▲ Dopants create \sim hydrogenic electronic density profile on top of original profile (it can get more complicated).

- ▲ However, STM tip can modify (bend) semiconductor band structure.

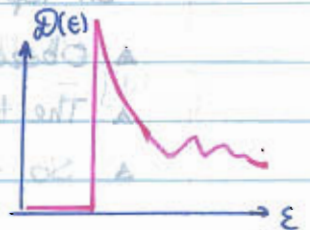


• Example: high- T_c ferromagnet in Mn doped GaAs

- ▲ Mn creates acceptor states & also interstitial defects.
- ▲ STM indicates that dopants occupy random sites & can have very different local environment.
- ▲ It is possible to do spin polarized STM to observe magnetism directly.

• Example: STM on superconductor

- ▲ data back to Giaever
- ▲ detail analysis shows bump & wiggles \Rightarrow indicate strong coupling between e^- & phonon.



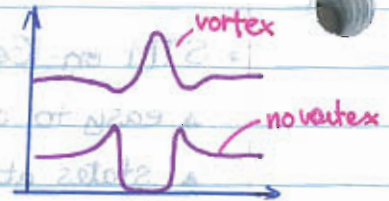
Conclusively shows that conventional SC is phonon mediated.

• Example: STM on NbSe₂

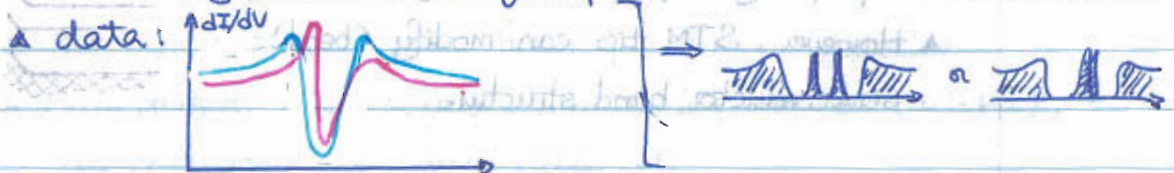
- ▲ STM can reveal SDW. It also gives gap measurement

• Other than mechanics, resolution of STM depends on temperature, since Fermi function enters into eqn. of I

- STM can be used to show vortices in SC
 - ▲ The vortex in NbSe_2 shows hexagonal structure, consistent with BdG eqn.



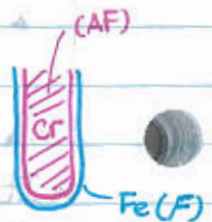
- STM can also show effect of SINGLE magnetic impurity in SC
 - ▲ Theoretically, it bounds a quasiparticle (mixed e⁻/hole) to it.



- ▲ Picture: spin-dependent potential \Rightarrow trap quasiparticles
- ▲ Vortex survives \sim coherent length while impurity effect generally decays quickly.

• Spin-polarized STM

- ▲ take a usual tip, & add a thin magnetic layer on top of it.
- ▲ Observed layered magnetization on Cr (an antiferro)
- ▲ The tip is more magnetically sensitive at specific energy
- ▲ So far measurement focus on simpler systems



• Inelastic STM.

- ▲ C_2H_2 on top of $\text{Cu}(100)$. See jump at energy iden with vibrational excitation of C_2H_2 .