

Optical conductivity: phase fluctuations and vortices

BCS theory accounts for supercurrent, but doesn't explain it. Clearly "phase coherence" and symmetry breaking underlie the phenomena.

Seen clearly in the Anderson pseudospin picture

$$H_{\text{BCS}} = \sum_k \epsilon_k \hat{n}_k - V \sum_{k,k'} c_{k'}^\dagger c_k^\dagger c_k c_{-k}$$

To take advantage of interaction we form coherent states

$$|BCS\rangle \text{ contains } \sum_{k\uparrow, -k\downarrow} \alpha_k |00\rangle_k + \beta_k |11\rangle$$

Superposition of states with time reversed pair occupied and unoccupied.

Anderson pseudospin picture

BCS paired state. Consider time-reversed

pair $|k\uparrow, -k\downarrow\rangle$

$$\text{BCS} \sim \alpha_k |00\rangle + \beta_k |11\rangle$$

No probability for $|01\rangle$ or $|10\rangle$

α, β are the same as the u, v discussed previously

Pseudospin Define $|\uparrow\rangle_k = |1\ 1\rangle_{k\uparrow\ -k\downarrow}$

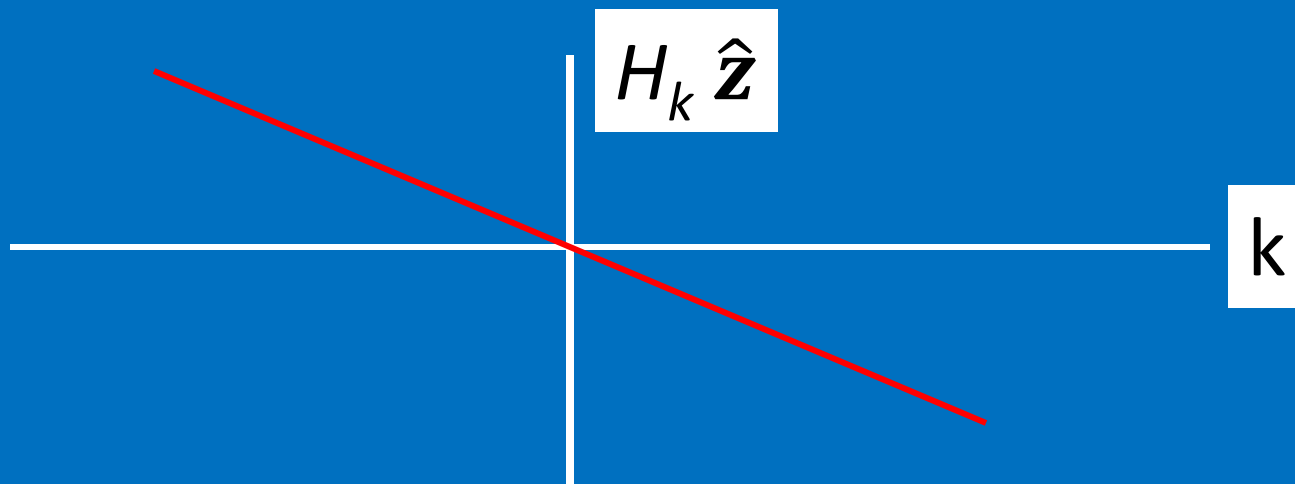
$$|\downarrow\rangle_k = |0\ 0\rangle_k$$

We can write the states as spinors

$$\psi_k = \begin{pmatrix} \alpha_k \\ \beta_k \end{pmatrix}$$

Rewriting H_{BCS} in spin language

$$\sum_k \epsilon_k \hat{n}_k \rightarrow \sum_k \frac{\epsilon_k}{2} \sigma_z \quad [\text{shifting zero of energy}]$$

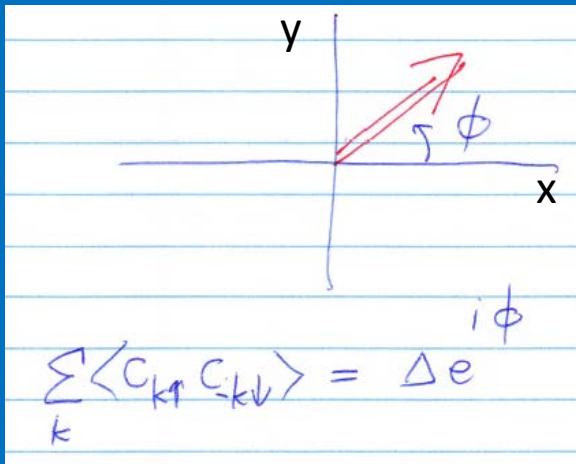


Second term scatters one spin state to another

$$-V \sum_{kk'} c_{k'}^\dagger c_{-k'}^\dagger c_k c_{-k}$$

$$\rightarrow \frac{V}{4} \sum_{kk'} \underbrace{\sigma_{xk'} \sigma_{xk} + \sigma_{yk'} \sigma_{yk}}$$

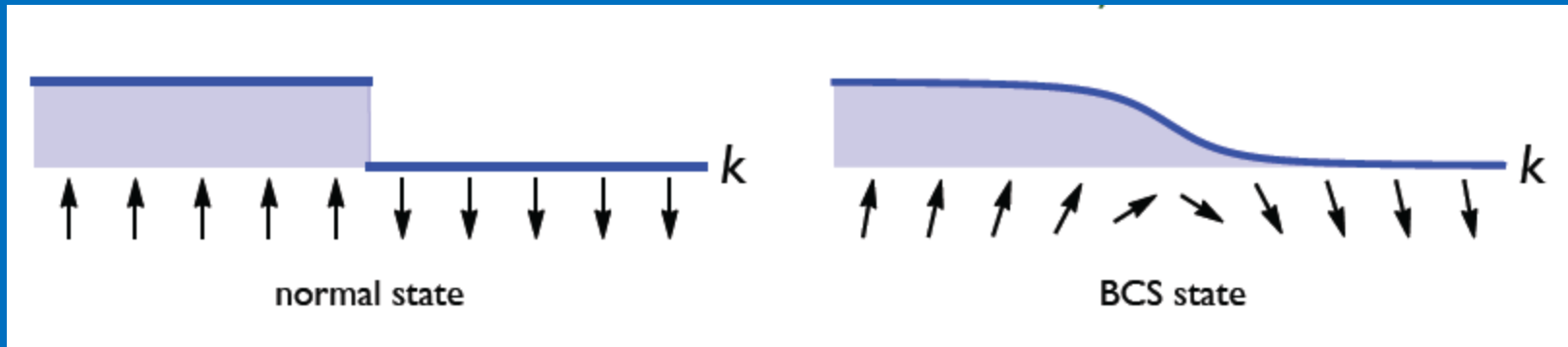
$\vec{\sigma}_{k'} \cdot \vec{\sigma}_k$ for xy components of pseudospin



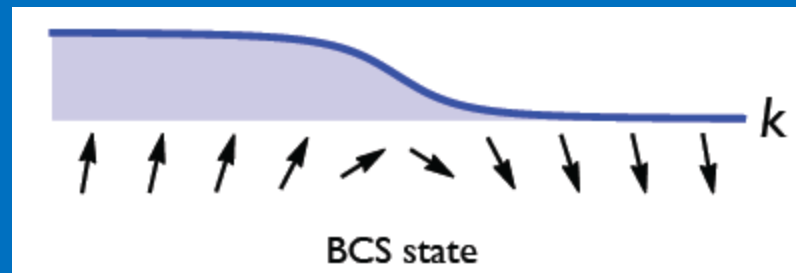
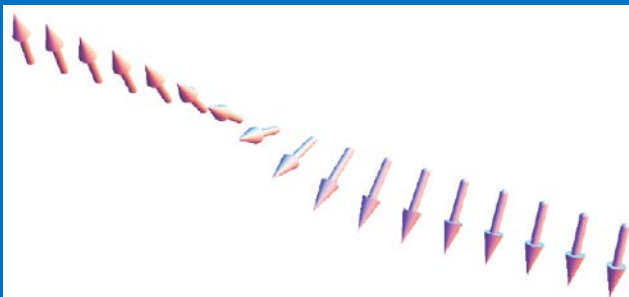
mean field solution

Spins experience a mean field in the xy plane arising from spin-spin interactions - could choose any direction in xy plane: U(1)

Visualizing mean field solution



This is the breaking of $U(1)$ symmetry. The original H was ~~symmetry~~ symmetric w.r.t ϕ but the mean-field solution breaks this symmetry



Number and phase are conjugate

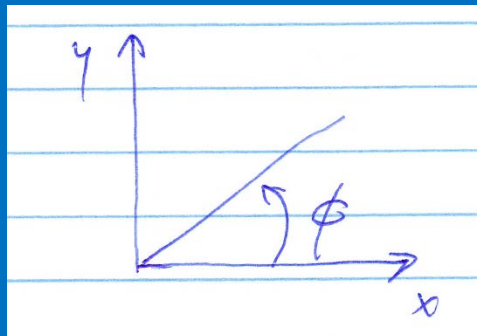
Define number operator $\hat{n}_k = 1 + \sigma_{zk}$

Rotation about $\hat{z}$ axis is $e^{i\hat{n}_k\phi_k}$ to

within a phase factor. Thus the $\hat{n}$ operator generates

rotation in ϕ . Thus $\hat{n}$ and ϕ are conjugate

operators



Rotation about z-axis

The energy is minimized when ϕ is constant in space.

Thus the effective Hamiltonian must contain a term

like $\mathcal{H}_{\text{eff}} = \frac{g_s}{2} |\nabla \phi|^2$ where g_s is the

phase stiffness. In Fourier space $\mathcal{H}_{\text{eff}} = \frac{g_s}{2} \sum_{\mathbf{q}} q^2 \phi_{\mathbf{q}}^2$.

As $\hat{n}_{\mathbf{q}}$ and $\phi_{\mathbf{q}}$ are conjugate

$$i[\mathcal{H}(\phi_{\mathbf{q}}), \hat{n}_{\mathbf{q}}] = \frac{\partial \mathcal{H}}{\partial \phi_{\mathbf{q}}} ; \text{ also } \dot{\phi}_{\mathbf{q}} = \frac{i}{\hbar} [\mathcal{H}(\phi_{\mathbf{q}}), \hat{n}_{\mathbf{q}}]$$

Josephson relations

$$\text{Therefore } \frac{\partial n_g}{\partial t} = \frac{1}{\hbar} \frac{\partial \mathcal{H}(\phi_g)}{\partial \phi_g}$$

$$\text{by charge conservation } \frac{\partial n_g}{\partial t} = g J_g$$

$$\text{or } J_g = \rho_s g \phi_g \Rightarrow \boxed{J_s = \rho_s \nabla \phi}$$

Also leads to Josephson relation

$$\frac{\partial \phi}{\partial t} = \frac{1}{i\hbar} \frac{\partial H}{\partial n} = \frac{\mu}{i\hbar}$$

Josephson relations: contrast with CDW

Superconductor	$I_s \sim \nabla \phi$	$\frac{\partial \phi}{\partial t} \sim \frac{\mu}{i\hbar}$
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Charge density wave	$I_s \sim \frac{\partial \phi}{\partial t}$	$\frac{\partial \phi}{\partial x} \sim \rho(x)$
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Phase fluctuations and optical conductivity.

Starting point

$$\mathbf{J}_s(\mathbf{r}) = \frac{n_s e \hbar}{m} \nabla \varphi(\vec{r}) \quad \text{in static case}$$

$$\text{where } \nabla \varphi(\vec{r}) = \nabla \theta(\vec{r}) - \frac{e}{\hbar} \vec{A}(\vec{r})$$

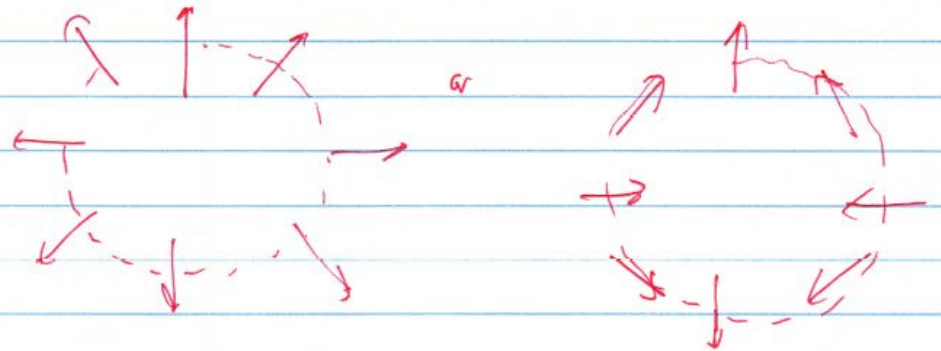
$$\nabla \times \vec{J}_s = \frac{ns\hbar e}{m} \left(\nabla \times \nabla \theta(\vec{r}) - \frac{e}{\hbar} \vec{B} \right)$$

which yields a gauge invariant result. For typical scalar field $\nabla \times \nabla \theta(\vec{r}) \equiv 0$. However θ is compact in the sense that $\theta + 2\pi \equiv \theta$. Therefore we can have a topological defect

$$\nabla \times \nabla \theta \rightarrow \delta(R)$$

For an ensemble of vortices at position R_i :

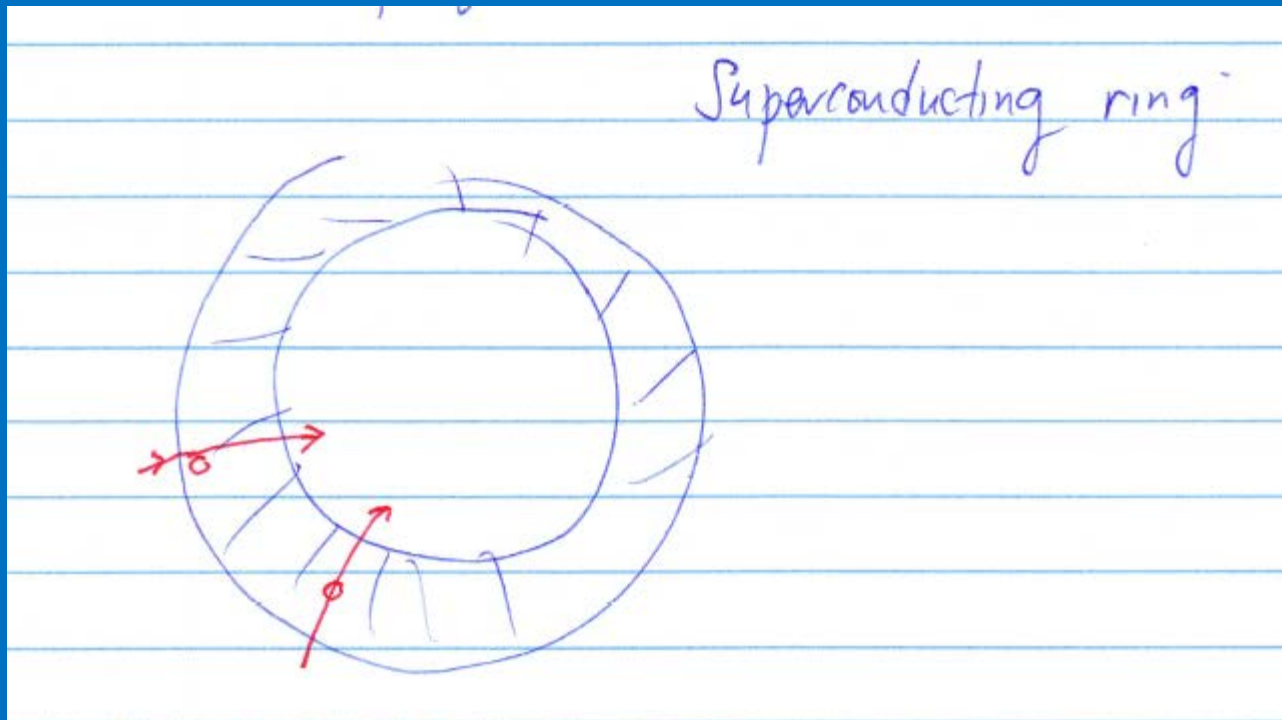
$$\nabla \times \vec{J}_s = \frac{ns\hbar e}{m} \left[\sum_i (\pm) \delta(\vec{R}_i) - \frac{e}{\hbar} \vec{B} \right]$$



vortex

anti-vortex

Optical conductivity with vortices



Consider flow of vortices from outside to inside ring.

Each vortex carries a magnetic flux Φ_0

$$\Delta V = -\frac{1}{c} \frac{d\Phi}{dt} = -\frac{\Phi_0}{c} \frac{dN_v}{dt}$$

$$\frac{dN_v}{dt} = n_v v_D \cdot 2\pi R$$

N_v is number that enter ring

n_v is areal density

v_D is drift velocity

$$\vec{E} = -n_v \vec{V}_D \frac{\Phi_0}{c} \times \hat{z}$$

Φ_0 is the superconducting flux quantum

Motion of vortices leads to a non-vanishing E-field

Phenomenological of vortex dynamics in the presence of superfluid.

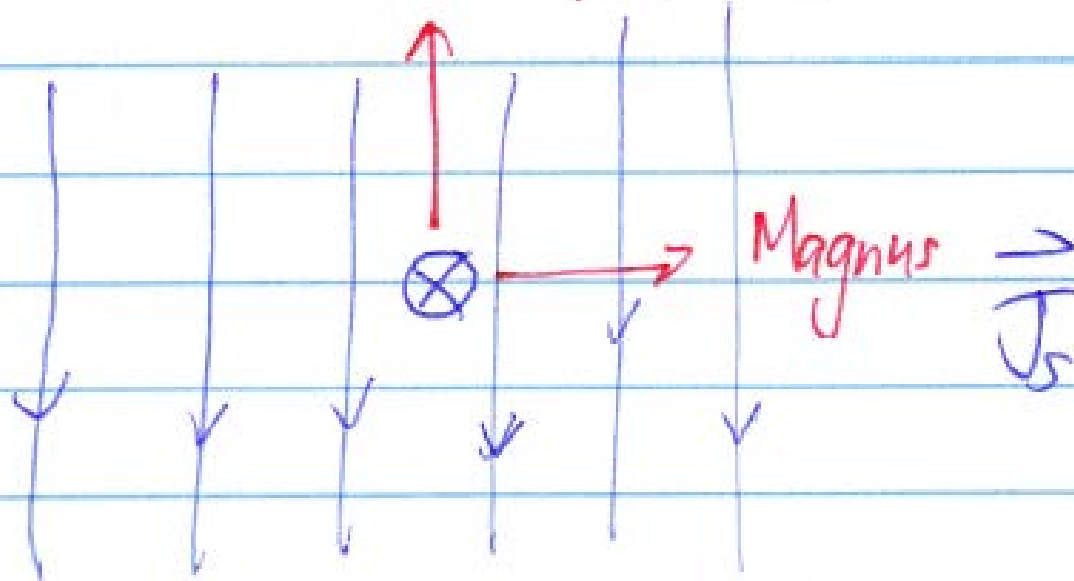
Forces on vortex

viscous damping $\vec{F}_\eta = -\eta \vec{v}_D$

pinning $\vec{F}_p = -K(\vec{r} - \vec{r}_{pm})$

Magnus force $\frac{n_s \hbar}{2} (\vec{v}_s - \vec{v}_D) \times \hat{z}$ depends on relative motion

Viscosity, pinning



Assume $J_s = J_s e^{-i\omega t}$. In steady state $\sum \vec{F} = 0$

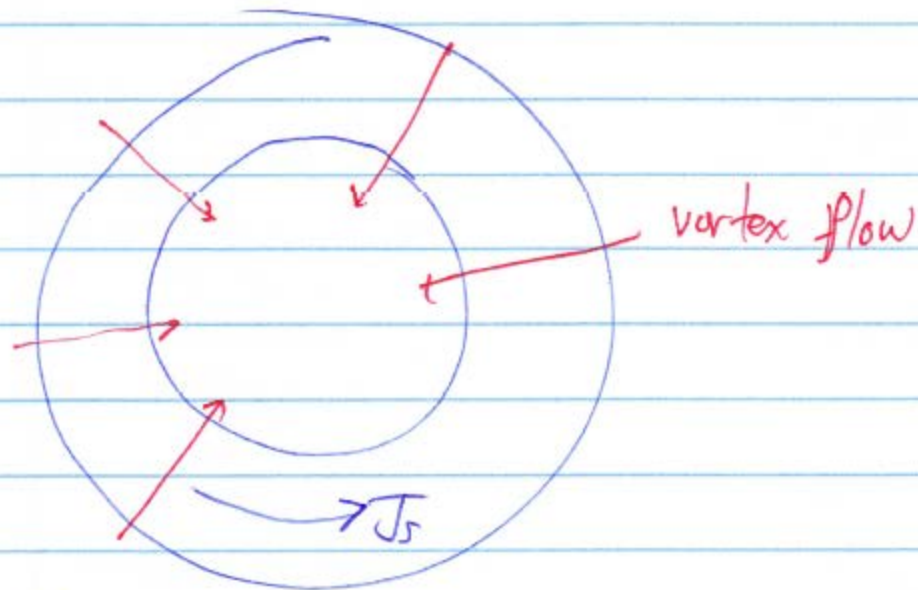
$$V_{Dy} \approx \frac{J_s \hbar / e}{\eta + K / i\omega}$$

$$V_{Dx} \approx - \frac{J_s \frac{\hbar}{e} \left(\frac{n_{sh}}{2} \right)}{(\eta + K / i\omega)^2}$$

Motion to
perpendicular to J_s

Motion parallel to J_s

Because of Magnus force v_b is $\perp$ to J_s
and yet its magnitude is governed by K, η



Recall
$$\vec{E} = -n_v \vec{v}_b \frac{\Phi_0}{c} \times \hat{z}$$

We can write as flux flow resistivity

$$\rho_{ff} = \frac{n_v (\Phi_0)^2}{\pi \eta + k/i\omega}$$

Note that this looks like the conductivity of electrons in Drude model

particle-vortex duality

in that $\rho_v \sim n_v (\Phi_0)^2$

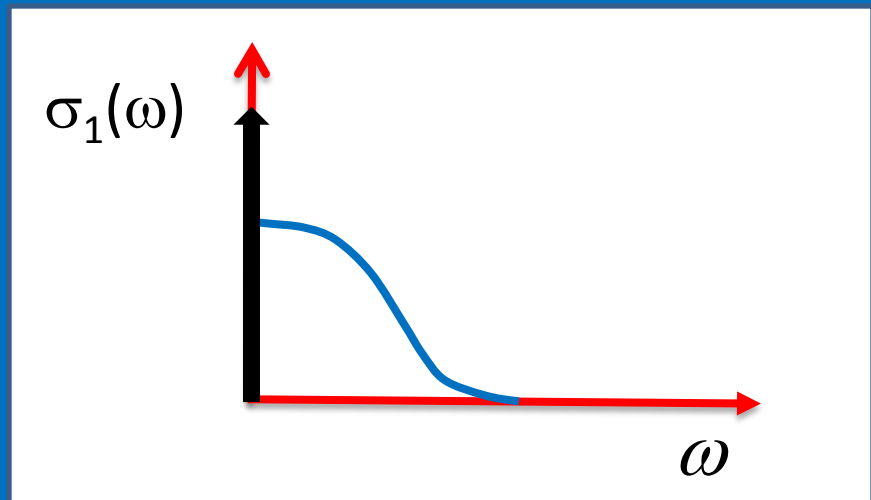
$$\sigma_e \sim n_e e^2$$

Predictions.

① For pinned vortices $K \neq 0$

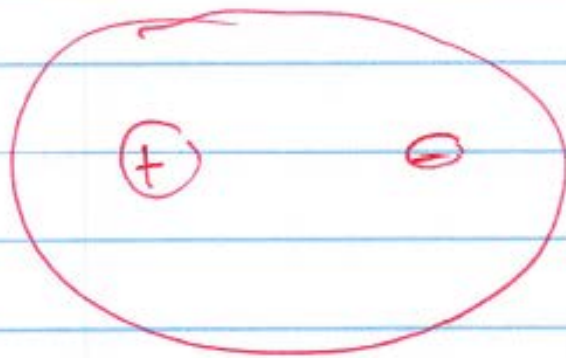
$$\rho_{ff} \rightarrow 0 \text{ as } \omega \rightarrow 0$$

Therefore pinned vortices do not destroy the superconducting state.



② Bound vortex-anti vortex pairs have no net vorticity. Do not experience Magnus force and will not drift under influence of J_s .

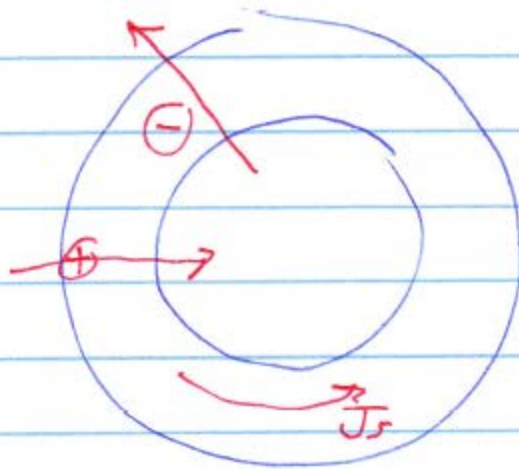
They do not destroy the SC state



Bound vortex-anti vortex pair.

③ Unbound, free vortices do destroy

zero resistance state



Same net change in flux
inside ring.

For free vortices we find

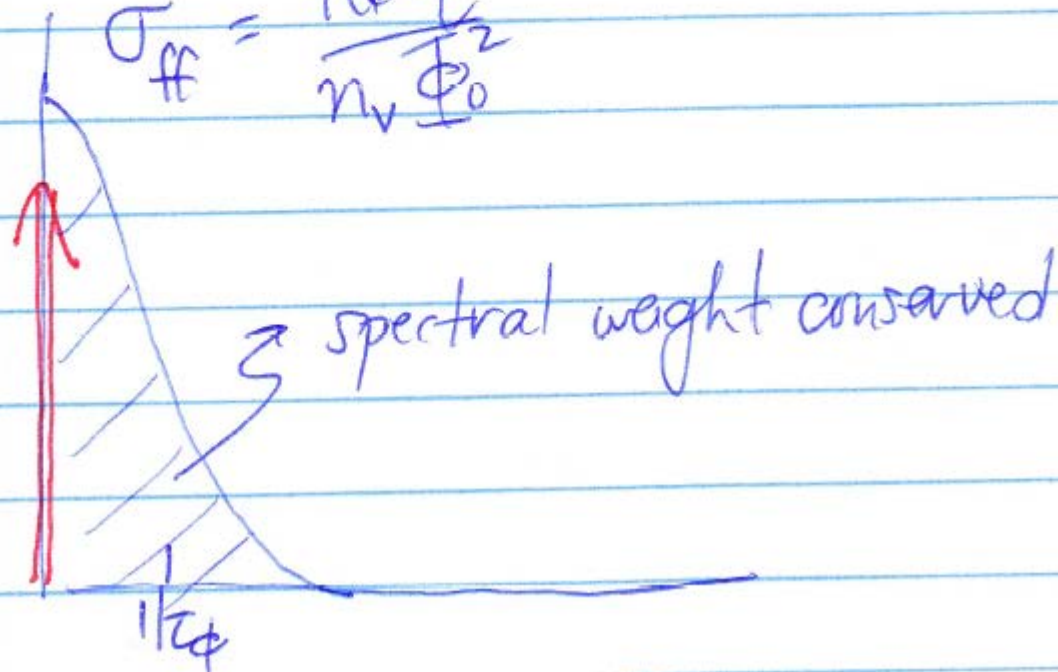
$$\rho_{ff} = \frac{n_v \Phi_0^2}{\pi \eta}$$

$$\text{or } \sigma_{ff} = \frac{\pi \eta}{n_v \Phi_0^2}$$

This leads to a "pseudo- δ -function" for

vortex plasma

$$\sigma_{ff} = \frac{\pi \eta}{n_v \Phi_0^2}$$



To find the width which is phase correlation time

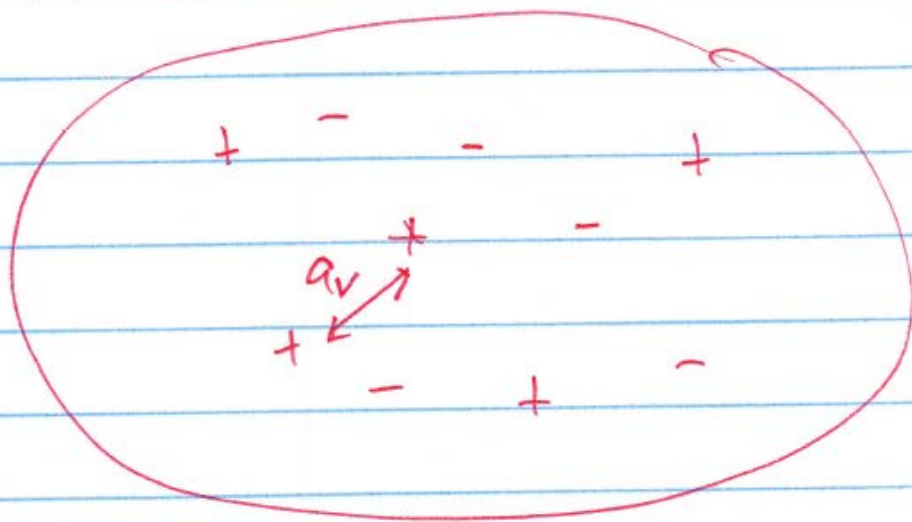
Use conservation of spectral weight

$$\sigma_{ff} \cdot \frac{1}{\tau_\phi} \approx \frac{n_s e^2}{m}$$

$$\frac{1}{\tau_\phi} \approx \pi n_v \left(\frac{\rho_s}{kT} \right) D$$

Note that $\rho_s \approx k_B T$ near vortex unbinding transition

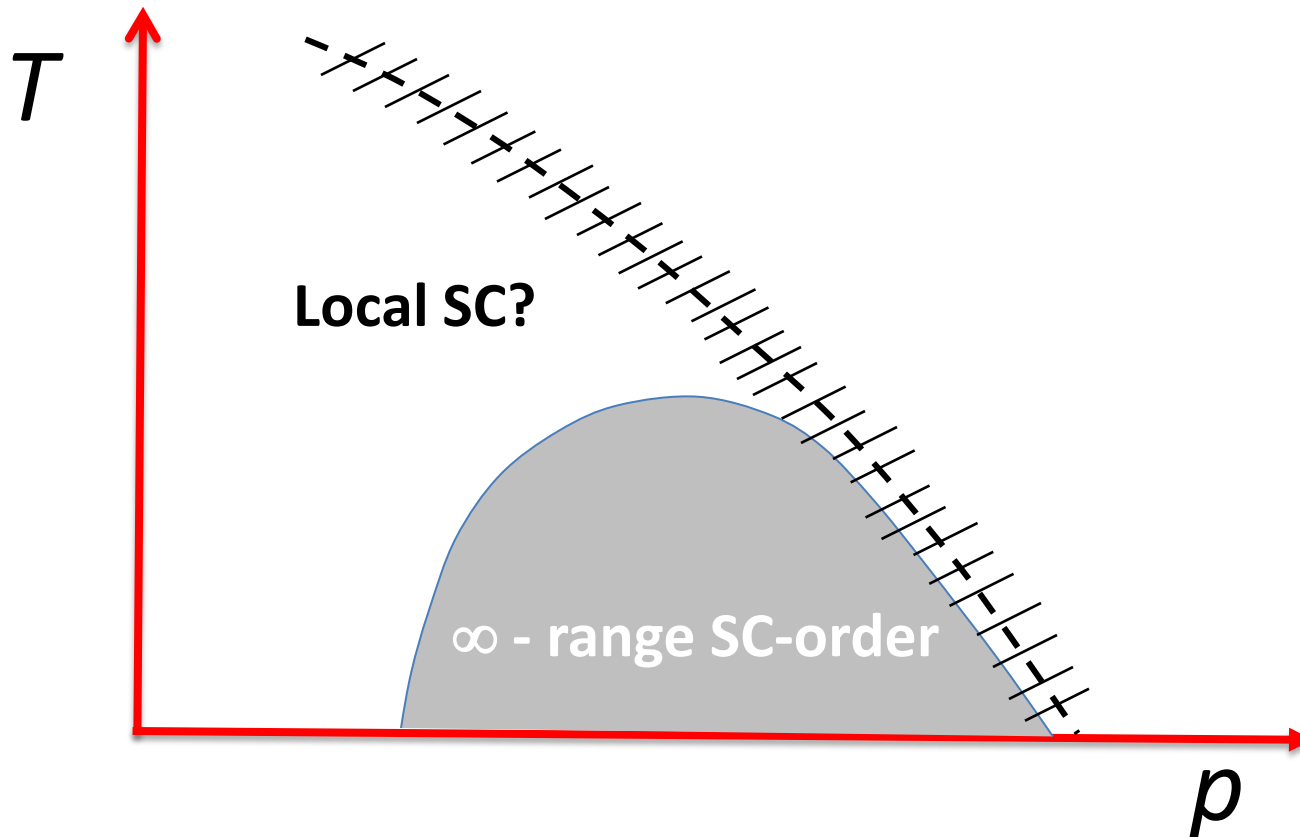
τ_ϕ is the time required for
vortices to diffuse the intervortex separation



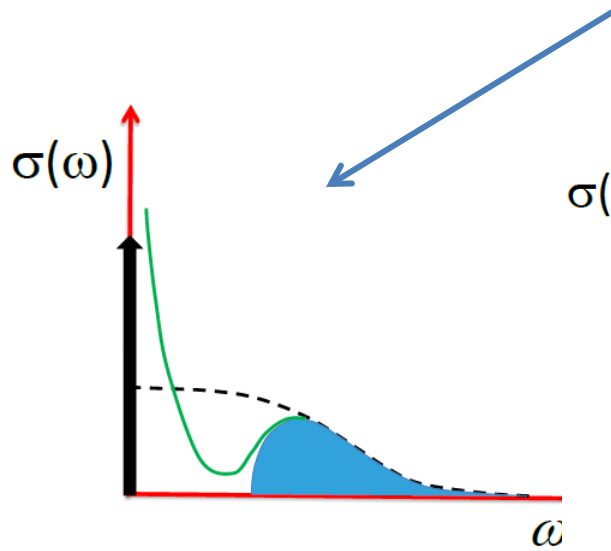
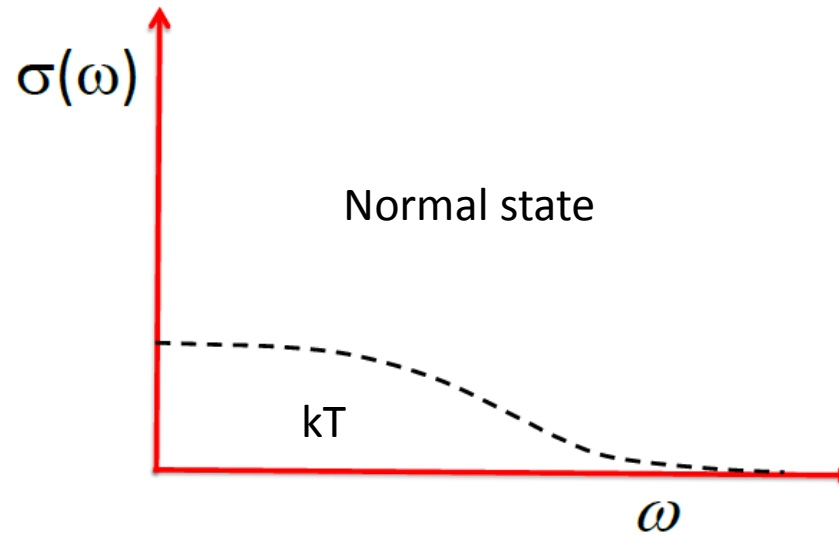
$$\frac{1}{\tau_\phi} \approx n_v D$$

Importance of phase fluctuations in superconductors with small superfluid density

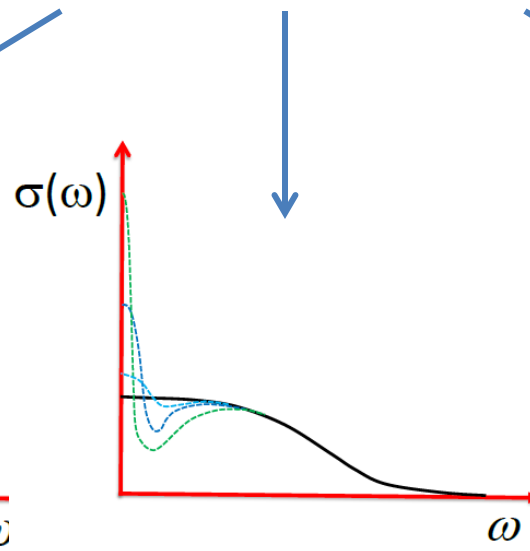
V. J. Emery* & S. A. Kivelson†



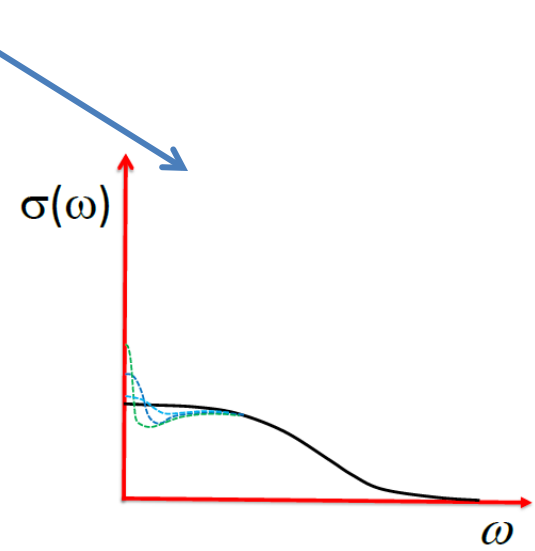
Overview of optical conductivity in a superconductor



Mean-field theory
(Mattis-Bardeen)

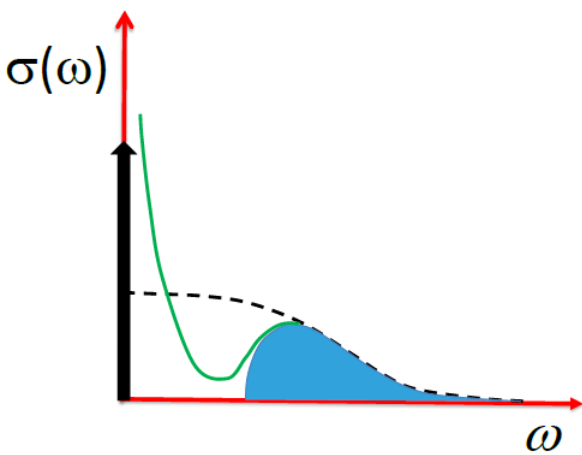
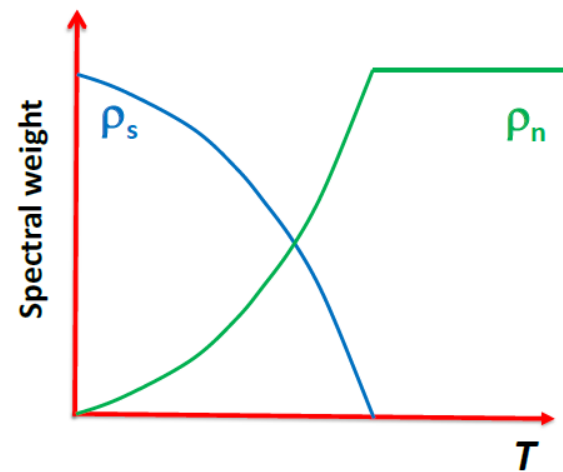


Fluctuations/homogeneous
(KTB); Halperin-Nelson

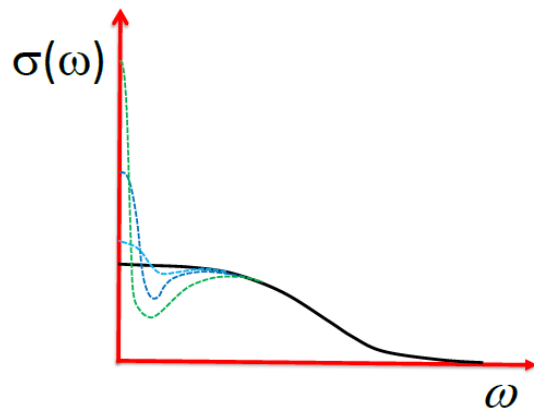
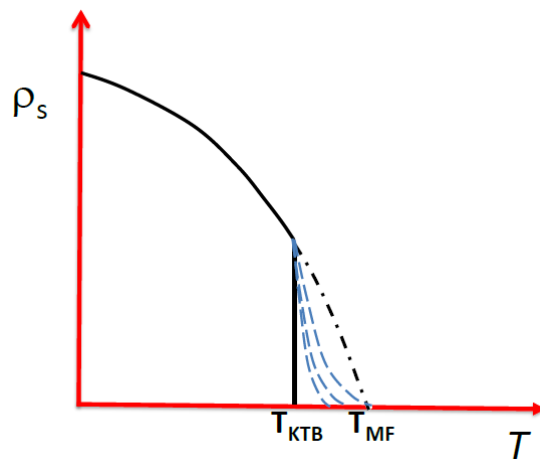


Fluctuations/"granular"

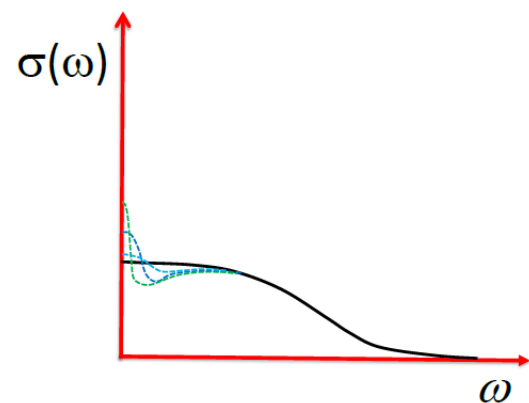
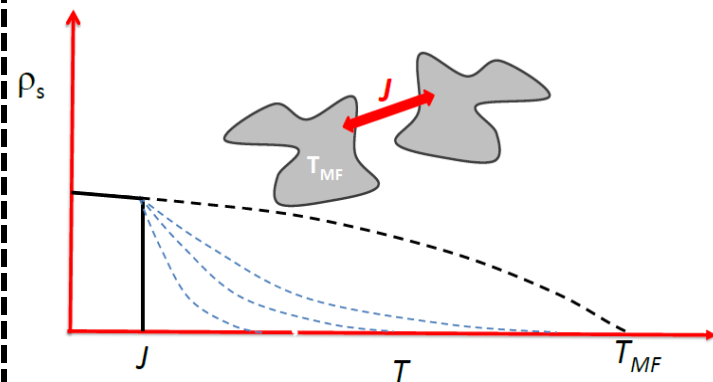
Mean-field theory
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(KTB); Halperin-Nelson

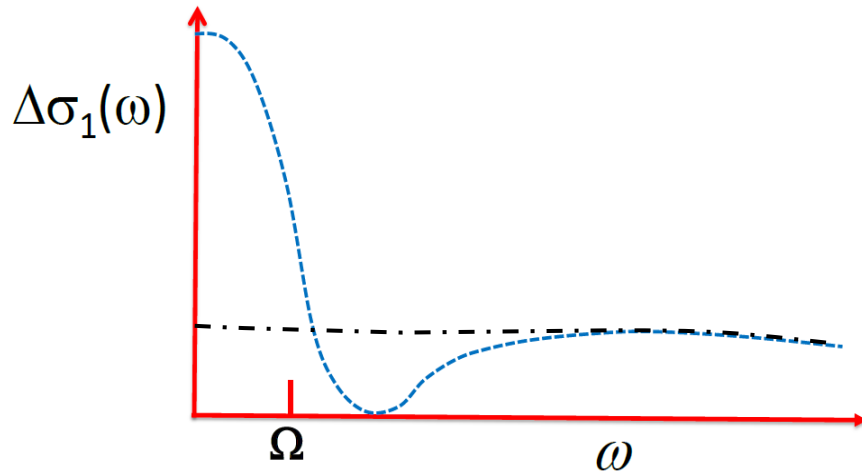


Fluctuations/"granular"



Terahertz spectroscopy probes pseudo- δ conductivity

Pseudo- δ conductivity



Bare superfluid density

$$\rho_{s0}(T) \equiv \int \Delta\sigma_1(\omega) d\omega = \lim_{\omega \gg \Omega} \omega \sigma_2(\omega)$$

$$\chi \sim \rho_{s0} \xi^2$$

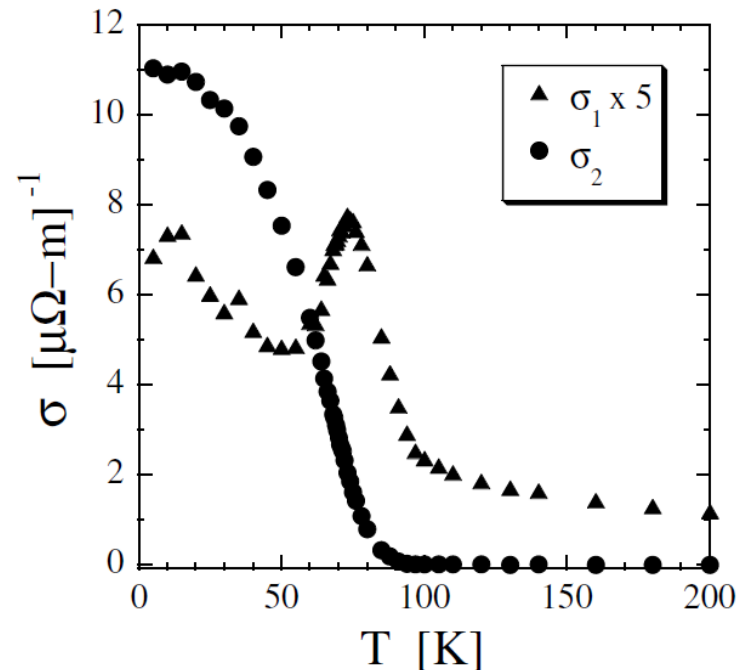
Renormalized superfluid density

$$\rho_s(\omega, T) = \omega \sigma_2(\omega, T)$$

Phase fluctuation frequency $\Omega(T)$

THz conductivity measured on
BSCCO $T_c = 70$ K

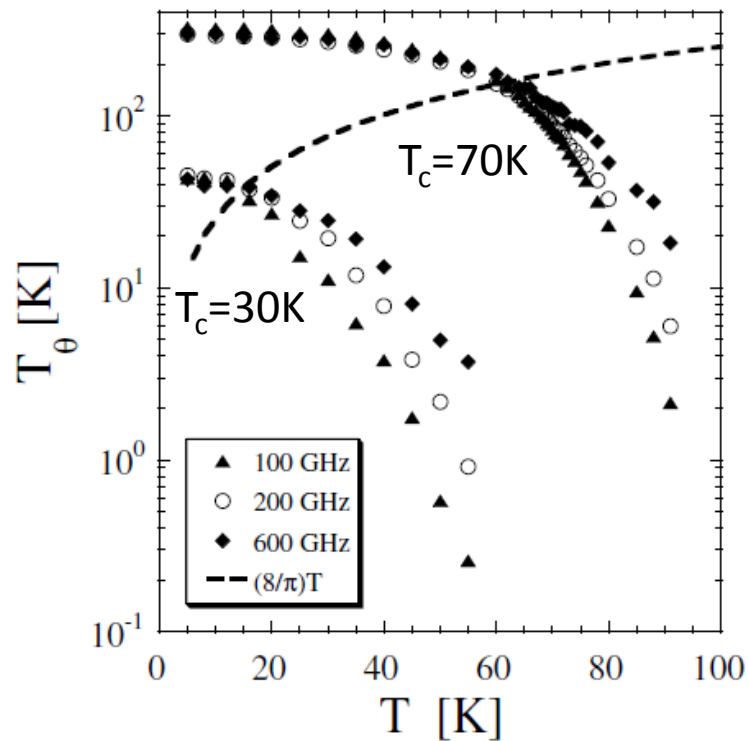
Frequency is 100 GHz = $\Delta/100$



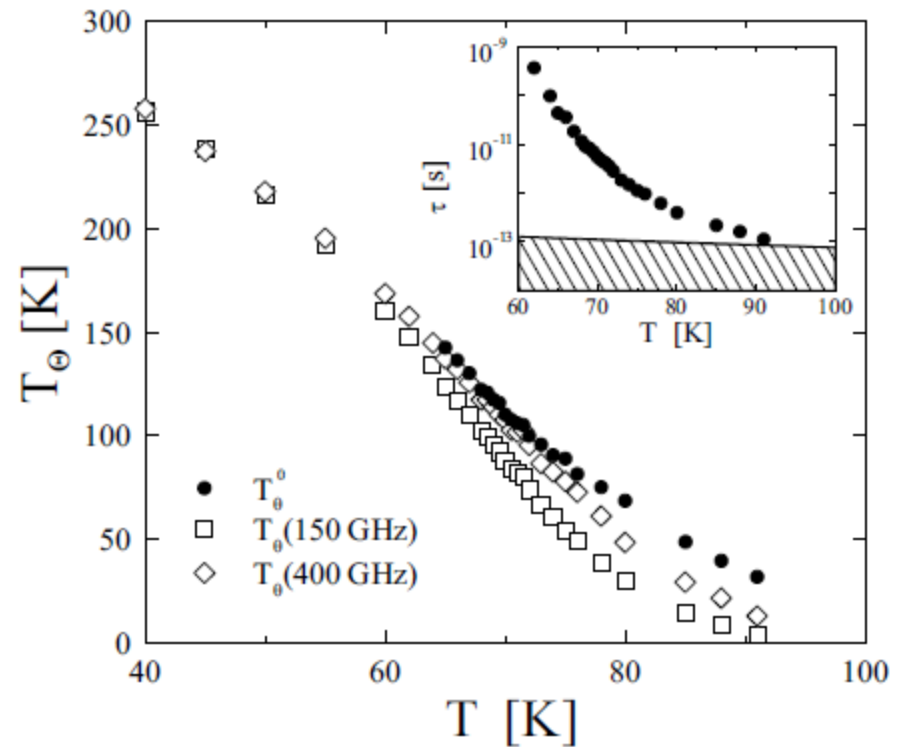
Can see renormalized superfluid density and
fluctuation frequency directly in the data

Superfluid density becomes
frequency dependent when it
decreases it equals T

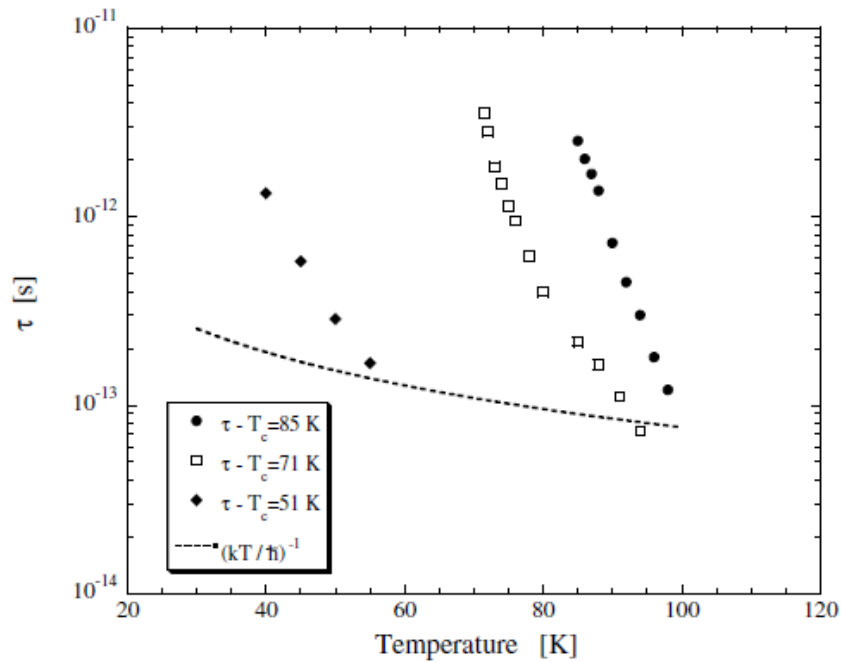
Relevance of KTB phase
fluctuations



Phase fluctuation time reaches inverse
of $k_B T$ at 15 K above T_c

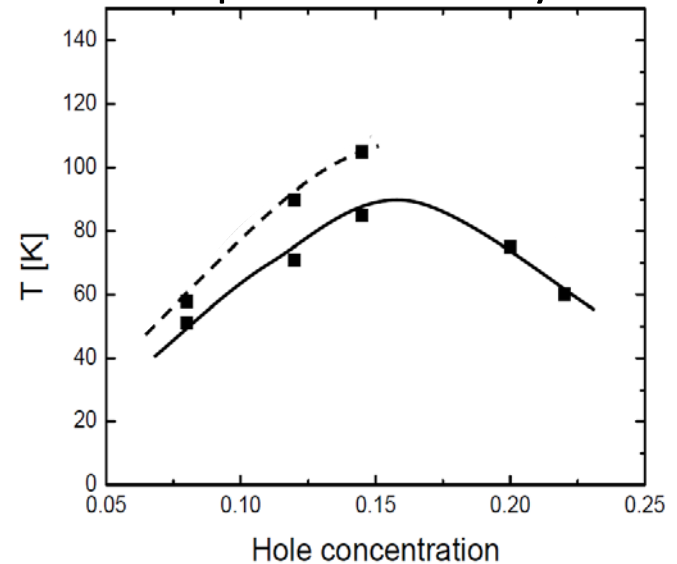


Phase fluctuation time for underdoped samples



T_{MF} tracks T_c

Single energy scale describes onset of superconductivity



The materials under consideration are rather complex, and there are many subtleties involved

