

# BLT vs Hahn Banach

## APPM 5450 Spring 2018 Applied Analysis 2

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The following two theorems are quoted from the Hunter and Nachtergaele text:

**Theorem 5.19 (Bounded linear transformation)** Let  $X$  be a normed linear space and  $Y$  a Banach space. If  $M$  is a dense linear subspace of  $X$  and

$$T : M \subset X \rightarrow Y$$

is a bounded linear map, then there is a unique bounded linear map  $\overline{T} : X \rightarrow Y$  such that  $\overline{T}x = Tx$  for all  $x \in M$ . Moreover,  $\|\overline{T}\| = \|T\|$ .

**Theorem 5.58 (Hahn-Banach)** If  $Y$  is a linear subspace of a normed linear space  $X$  and  $\psi : Y \rightarrow \mathbb{R}$  is a bounded linear functional on  $Y$  with  $\|\psi\| = M$ , then there is a bounded linear functional  $\varphi : X \rightarrow \mathbb{R}$  on  $X$  such that  $\varphi$  restricted to  $Y$  is equal to  $\psi$  and  $\|\varphi\| = M$ .

What are the key differences?

1. BLT allows the output to be any Banach space, while HB restricts to  $\mathbb{R}$ , i.e., BLT is for general linear transformations, HB is only for linear functionals (a specific type of linear transformation).
2. BLT requires a dense subspace, but guarantees a unique extension. HB doesn't require a dense subspace, but also doesn't guarantee a unique extension.

Our book doesn't have the most general version of Hahn-Banach, so here is a more general version, but we don't prove it (proof relies on Zorn's lemma). Royden and Reed/Simon have proofs, for example.

**Theorem 1 (Hahn-Banach, general).** Let  $X$  be a linear space over a field  $\mathbb{F}$  ( $= \mathbb{R}$  or  $\mathbb{C}$ ). Let  $p : X \rightarrow \mathbb{R}$  be a real-valued functional on  $X$  satisfying

$$\begin{aligned} p(x+y) &\leq p(x) + p(y), \quad \forall x, y \in X && \text{"sub-linear"} \\ p(\alpha x) &= |\alpha| p(x), \quad \forall \alpha \in \mathbb{F}, x \in X && \text{"positive homogeneous"} \end{aligned}$$

Furthermore, let  $Y \subset X$  be a subspace of  $X$  and let  $\psi : Y \rightarrow \mathbb{F}$  be a linear functional on  $Z$  such that

$$|\psi(x)| \leq p(x), \quad \forall x \in Y.$$

Then  $\psi$  has a linear extension  $\varphi : X \rightarrow \mathbb{F}$  with

$$|\varphi(x)| \leq p(x), \quad \forall x \in X.$$

Note that sub-linearity implies  $p(x) \geq 0$ , and using this with the positive homogeneous property implies  $p(x) \geq 0$  for all  $x \in X$ .

If  $\psi$  is a bounded linear functional, then choosing  $p(x) = \|\psi\| \cdot \|x\|$  shows that the general version implies the Thm. 5.58 version.