**Overview**

The goal of ASTR5780 / ASEN5440 is to expose both science and engineering students to the process by which space missions are conceived, developed, designed, and proposed. The course will bring science and engineering students into the same classroom environment to develop the multi-disciplinary skills required to design the science and instrument concepts for a NASA-funded small space mission in astrophysics, heliophysics, or planetary science, and to create a successful proposal for this mission.

The students enrolled in this course will have three primary goals:

1. Develop the **proposal science objectives** based on scientific community priorities, inherent interest, and practical considerations imposed by the NASA Announcement of Opportunity;
2. Learn how the **mission science requirements** lead to the design of the proposed instrumentation package; and
3. Develop an understanding of practical considerations when designing a **scientific instrument, spacecraft, and mission** that can accomplish these science goals.

The process begins with a science question – what is it we wish to learn, and why do we care? It is far from trivial to formulate and craft the right science questions. It requires an understanding of the (a) current state of scientific knowledge; (b) the most timely problems to tackle next; and (c) the specific goals of NASA and the scientific community, as spelled out in a variety of relevant documents. Early in the semester, we will discuss these documents and how they drive our choice of science problems to investigate.
From the science questions posed for the mission, the design process flows through a number of critical design steps:

1. **Measurement**: determine the necessary measurement that will address the science questions. This could be the brightness of a star in some wavelength band, the flux of electrons in the radiation belts, or the detection of particles in the vicinity of a celestial object. The measurement will have specific fidelity requirements: wavelength, flux, dynamic range, time resolution, and so forth, which directly tie to the science questions. Finally, do the measurements alone close the science question? If not, what supporting modeling and analysis/interpretation required?

2. **Instrument**: determine the instrument(s) necessary to make the measurements above. Quantitatively demonstrate that the selected instruments can make the measurements with the required fidelity. The instrument performance is tied back to the science questions, through the measurement requirements, in an important table known as the Science Traceability Matrix.

3. **Spacecraft**: The spacecraft is designed to ensure the required measurements, made with the instrument(s), can be taken from an appropriate time and place in space. The spacecraft must accommodate the instruments; ensure the appropriate orbit and spacecraft attitude (i.e. pointing) requirements; provide power to the instrument(s) and to itself; and relay the required data to the ground for analysis. The spacecraft is therefore comprised of many subsystems, the design of each of which is critical to mission success.

4. **Mission**: The selection of the appropriate orbit, mission duration, and operations plan are critical to success. The mission design is deeply coupled to the spacecraft design.

5. **Management**: When proposing a mission to a federal sponsor (e.g., NASA), we have to show that we have the expertise to carry out the proposed science investigation, that we can build the instrument(s) and spacecraft in a reasonable amount of time; that we have a plan to build, test, calibrate, and verify the spacecraft before launch; we have a plan to operate the spacecraft and carry out the required analysis to meet the mission science goals; and that we can do it in a reasonable (and limited) budget.

This course focuses on the design of a specific instrument to make a specific measurement, as well as critical aspects of spacecraft and mission design, and the art of crafting a competitive proposal. All of the aspects listed above are coupled to each other, and we will discuss how to make tradeoffs that ensure a feasible mission proposal under each of these aspects.

This course establishes a working relationship between scientists and engineers that is essential for development of a comprehensive and successful mission proposal. The course will focus on science and engineering applications for a small space mission, driven by recent NASA proposal opportunities in astronomy, heliophysics, earth science, and planetary science. By design, this
course is a cross-disciplinary effort and is therefore being cross-listed and co-taught by the Aerospace Engineering and Astrophysics and Planetary Sciences departments.

**Prerequisites & Eligibility**

ASTR5780 / ASEN5440 is open to senior undergraduate and graduate students in Astronomy, Engineering, Physics, Atmospheric Sciences, Applied Math, and related fields. Students are expected to have strong problem solving and organizational skills, a strong background in mathematics and classical physics, as well as good oral and written communications skills. A background in basic modern physics is strongly encouraged.

Specific APS undergraduate student prerequisites include: Permission of instructor.

Specific AES undergraduate student prerequisites include: Permission of instructor. Recommended background: senior undergraduate level orbital mechanics, electronics, and/or mission design.

**Reading**

All suggested reading for the course is available on the Canvas course website. While none of this reading is strictly required, we strongly recommend you familiarize yourself with these documents and highlight important sections (which we will help identify). The important documents include:

- **NASA, Research Opportunities in Space and Earth Sciences (ROSES 2019).** ROSES is not a funding opportunity on its own, but rather a compendium of opportunities. The ROSES documentation is released once per year, usually in February, to outline what opportunities will be available to proposers in the next year.

- **Astrophysics Small Explorer Announcement of Opportunity 2019.** The Small Explorer class, or SMEX mission, is the entry level into NASA missions. These are $145 million missions involving multiple institutions. The AO will give students an idea of what goes into one of these larger proposals.

- **Decadal Surveys (2010-2020).** Every 10 years, each of the four science areas (Astrophysics, Heliophysics, Planetary Science, and Earth Science) releases a “Decadal Survey” of the state of the science community, the critical science that needs to be addressed in the coming decade, and the missions that are recommended. The astrophysics decadal survey will be running concurrently with this class and we will use real-time examples of the decadal survey process to reinforce classroom discussions.

- **NASA Science Plan (2014).** The Decadal Surveys are commissioned by the National Academies of Science (NAS); they are not strictly NASA-related. In the Science Plan document, NASA pulls directly from the Decadal Survey to outline the goals for the administration.
• **NASA Strategic Plan (2014).** While the Science Plan relates to science goals for the Science Mission Directorate (SMD) of NASA, the Strategic Plan is a higher-level document for all of NASA, including technology development, human space flight, and education.

• **NASA Technology Roadmaps (2015-2019).** The Technology Roadmaps come from the Space Technology Mission Directorate (STMD), which focuses on the development of new technologies for NASA. The Roadmaps outline priorities for the coming decade; small satellite missions can often target these priorities to enhance their relevance to NASA.


• **Scientific and Instrumentation Journal Articles** will be assigned based on science and instrument development concepts developed in class.

**Subject Outline**

1. Introduction to space missions and NASA proposal opportunities
2. Community science priorities, introductory and detailed science investigations
3. Introduction to science topics in Heliophysics, Astrophysics, and Planetary Science
4. Proposal science topic definition for semester mission/proposal projects
5. Science Traceability Matrix
6. Requirements definition, measurements and instrumentation
7. Science instrument selection and design
8. Spacecraft design and subsystems
9. Space environment considerations
10. Spacecraft data reduction and analysis
11. Mission schedule and budget development
12. Team roles; proposal layout, responsibilities, and writing
13. Proposal review

**Schedule:** See Handout #2 for lecture / assignment schedule.

**Logistics**

**Assignments** – The end product in this course is a **complete mission proposal** that could be submitted to NASA. These proposals will be developed in teams of ~5 students. To get to the final proposal, students will build pieces through a series of assignments, to be completed individually.

- First, students will each submit a two-page **concept proposal**, outlining their idea for a mission concept. These concept papers constitute the first graded assignment. Students are expected to conduct literature research to demonstrate the scientific need, timeliness, and feasibility of their mission concept. The instructors will then downselect the adequate number of these concepts to go forward to the proposal stage, based on science quality, feasibility, and novelty.
• Students will participate in the peer review of the concept papers and provide anonymous feedback to each other, in the form of identified Strengths and Weaknesses. Peer review is an important part of the proposal process – it allows students to learn directly from each other as well as develop critical analysis skills.

• A series of homework assignments throughout the semester will be used to build pieces of the final proposal. First is the science traceability matrix (STM), followed by assignments on the instrument design, spacecraft design, mission design, and so forth. These will be graded, and feedback will be given to allow students to improve them for the final proposal. Although students are working on the final proposal as a team, homework assignments shall be completed individually.

• Roughly half-way through the semester, student teams will give short ~20 minute in-class presentations on their mission proposal, outlining the science concept; the STM; instrument and spacecraft design. This will be an opportunity to get feedback from the instructors and their peers on issues with the current mission design.

• The final proposal will also involve a presentation, constructed as a “red-team review”, where reviewers pick apart the proposal concept for major issues. These reviews will be conducted both in class time and outside class time to give each team more time. Teams will then have a few weeks to finalize their proposals before submission.

• Students will also be asked to provide peer review and feedback to other mission teams during the mid-semester and final presentations.

**Deadlines** – Each assignment will have a marked deadline. Late assignments are not accepted except under extenuating circumstances; 24-hour notice is required for work to be considered after the due date. If such an event occurs, you are expected to contact the instructors immediately by phone, email, or carrier pigeon. If you know in advance that you will not be on campus for a due date, you may submit your assignment to the instructors any time prior to the due date or through e-mail.

**Grading**

Grades on individual assignments and for the overall course are set based on the following criteria:

<table>
<thead>
<tr>
<th>Activities</th>
<th>%</th>
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<tbody>
<tr>
<td>Concept Paper</td>
<td>10%</td>
</tr>
<tr>
<td>Homework Assignments</td>
<td>35%</td>
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<tr>
<td>Presentations</td>
<td>25%</td>
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<tr>
<td>Mid-semester slides</td>
<td>5%</td>
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<tr>
<td>Mid-semester oral</td>
<td>5%</td>
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<tr>
<td>Final presentation slides</td>
<td>5%</td>
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<tr>
<td>Final presentation oral</td>
<td>10%</td>
</tr>
<tr>
<td>Final Proposal Document</td>
<td>20%</td>
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<tr>
<td>Active class participation + peer reviews*</td>
<td>10%</td>
</tr>
</tbody>
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*for asynchronous students, this will be based on peer reviews and engagement during presentations

The final proposal document will be graded in five sections: i) Science Motivation and Background; ii) STM and Instrument Design; iii) Spacecraft Design; iv) Mission Design; and v) Project Management. Each student on the team should lead one of these sections. The final grade for the document will then be split: **50% from the overall document grade, and 50% from the individual’s section.** This split ensures that all team members are motivated to complete their section, while also contributing to the rest of the document.

**University Policies**

**Classroom Behavior**
Both students and faculty are responsible for maintaining an appropriate learning environment in all instructional settings, whether in person, remote or online. Those who fail to adhere to such behavioral standards may be subject to discipline. Professional courtesy and sensitivity are especially important with respect to individuals and topics dealing with race, color, national origin, sex, pregnancy, age, disability, creed, religion, sexual orientation, gender identity, gender expression, veteran status, political affiliation or political philosophy. For more information, see the policies on classroom behavior and the Student Conduct & Conflict Resolution policies.

**Requirements for COVID-19**
As a matter of public health and safety due to the pandemic, all members of the CU Boulder community and all visitors to campus must follow university, department and building requirements and all public health orders in place to reduce the risk of spreading infectious disease. Students who fail to adhere to these requirements will be asked to leave class, and students who do not leave class when asked or who refuse to comply with these requirements will be referred to Student Conduct and Conflict Resolution. For more information, see the policy on classroom behavior and the Student Code of Conduct. If you require accommodation because a disability prevents you from fulfilling these safety measures, please follow the steps in the “Accommodation for Disabilities” statement on this syllabus.

As of Aug. 13, 2021, CU Boulder has returned to requiring masks in classrooms and laboratories regardless of vaccination status. This requirement is a temporary precaution during the delta surge to supplement CU Boulder’s COVID-19 vaccine requirement. Exemptions include individuals who cannot medically tolerate a face covering, as well as those who are hearing-impaired or otherwise disabled or who are communicating with someone who is hearing-impaired or otherwise disabled and where the ability to see the mouth is essential to communication. If you qualify for a mask-related accommodation, please follow the steps in the “Accommodation for Disabilities” statement on this syllabus. In addition, vaccinated instructional faculty who are engaged in an indoor instructional activity and are separated by at least 6 feet from the nearest person are exempt from wearing masks if they so choose.
Students who have tested positive for COVID-19, have symptoms of COVID-19, or have had close contact with someone who has tested positive for or had symptoms of COVID-19 must stay home. In this class, if you are sick or quarantined, please notify Profs Blum and Sternovsky in advance that you will not be able to attend class in person, and follow along via zoom connection instead.

Accommodation for Disabilities
If you qualify for accommodations because of a disability, please submit your accommodation letter from Disability Services to your faculty member in a timely manner so that your needs can be addressed. Disability Services determines accommodations based on documented disabilities in the academic environment. Information on requesting accommodations is located on the Disability Services website. Contact Disability Services at 303-492-8671 or dsinfo@colorado.edu for further assistance. If you have a temporary medical condition, see Temporary Medical Conditions on the Disability Services website.

Preferred Student Names and Pronouns
CU Boulder recognizes that students' legal information doesn't always align with how they identify. Students may update their preferred names and pronouns via the student portal; those preferred names and pronouns are listed on instructors' class rosters. In the absence of such updates, the name that appears on the class roster is the student's legal name.

Honor Code
All students enrolled in a University of Colorado Boulder course are responsible for knowing and adhering to the Honor Code academic integrity policy. Violations of the Honor Code may include, but are not limited to: plagiarism, cheating, fabrication, lying, bribery, threat, unauthorized access to academic materials, clicker fraud, submitting the same or similar work in more than one course without permission from all course instructors involved, and aiding academic dishonesty. All incidents of academic misconduct will be reported to the Honor Code (honor@colorado.edu; 303-492-5550). Students found responsible for violating the academic integrity policy will be subject to nonacademic sanctions from the Honor Code as well as academic sanctions from the faculty member. Additional information regarding the Honor Code academic integrity policy can be found on the Honor Code website.

Sexual Misconduct, Discrimination, Harassment and/or Related Retaliation
The University of Colorado Boulder (CU Boulder) is committed to fostering an inclusive and welcoming learning, working, and living environment. CU Boulder will not tolerate acts of sexual misconduct (harassment, exploitation, and assault), intimate partner violence (dating or domestic violence), stalking, or protected-class discrimination or harassment by or against members of our community. Individuals who believe they have been subject to misconduct or retaliatory actions for reporting a concern should contact the Office of Institutional Equity and Compliance (OIEC) at 303-492-2127 or email cureport@colorado.edu. Information about OIEC,
university policies, [reporting options](#), and the campus resources can be found on the [OIEC website](#).

Please know that faculty and graduate instructors have a responsibility to inform OIEC when made aware of incidents of sexual misconduct, dating and domestic violence, stalking, discrimination, harassment and/or related retaliation, to ensure that individuals impacted receive information about their rights, support resources, and reporting options.

**Religious Holidays**

Campus policy regarding religious observances requires that faculty make every effort to deal reasonably and fairly with all students who, because of religious obligations, have conflicts with scheduled exams, assignments or required attendance. In this class notify the instructors PRIOR to any potential conflicts to properly accommodate your schedule. See the [campus policy regarding religious observances](#) for full details.