

Title:

PI:

Proposal No:

OFFICE OF CONTRACTS AND GRANTS PROPOSAL PROCESSING FORM

PRINCIPAL INVESTIGATOR(S) STATEMENT REGARDING COMPLIANCE WITH GOVERNMENT REGULATIONS AND UNIVERSITY POLICIES: MISCONDUCT IN RESEARCH AND AUTHORSHIP, CONFLICTS OF INTEREST AND COMMITMENT

I acknowledge that I am aware of, understand, and will conform to all applicable sponsoring agency and University policies regarding misconduct in research and authorship. (<http://www.colorado.edu/VCResearch/ORI/scrm.html>)

I acknowledge that I am aware of, understand, and will conform to all campus and University policies and procedures governing conflicts of interest and commitment. (<http://www.colorado.edu/VCResearch/ORI/coic.html>)

I acknowledge that, as Principal Investigator, I am responsible for ensuring that anyone "responsible for the design, conduct, or reporting of research or educational activities funded or proposed for funding" (NSF, July 1995) has disclosed any financial or other potential conflicts of interest or commitment with the activities encompassed by this proposal.

Specifically, with respect to any inventions made or discovered or copyrightable material produced in the course of the proposed activities, I agree that my rights and those of any Investigators working on the project shall be controlled by the terms of any resulting award document. I further agree that I am subject to and will comply with the University Patent Policy, and will make such assignment of rights as is required in the award document or University policy. (<https://www.cusys.edu/policies/Academic/IP-Discoveries.pdf>) (<https://www.cusys.edu/policies/Academic/IP-Educational-Materials.pdf>)

Each investigator must indicate whether or not he/she has a current Disclosure of External Professional Activities (DEPA) on file, and whether such financial disclosure(s) or other personal or professional commitments may constitute a potential conflict of interest with this proposal. Please note: if this is a proposal to NSF or NIH, then it cannot be submitted until all investigators have a current DEPA on file; if this is a proposal to any other prospective sponsor, then no account can be established until all Investigators have a current DEPA on file. In all cases, OCG will require confirmation that any identified conflict has been managed prior to disbursement of any funds awarded.

Please have the PI and any Co-PI's sign below:

Principal Investigator: _____ DEPA Y___ No___ Date _____ Conflict? Y___ No___

Co-Principal Investigator: _____ DEPA Y___ No___ Date _____ Conflict? Y___ No___

Co-Principal Investigator: _____ DEPA Y___ No___ Date _____ Conflict? Y___ No___

Please list any other project personnel responsible for the design, conduct, or reporting of research or educational activities:

_____ DEPA Y___ No___ Date _____ Conflict? Y___ No___

_____ DEPA Y___ No___ Date _____ Conflict? Y___ No___

_____ DEPA Y___ No___ Date _____ Conflict? Y___ No___

_____ DEPA Y___ No___ Date _____ Conflict? Y___ No___