



The Nuclear Winter

Carl Sagan

Carl Sagan, a modern-day Renaissance man of science, was born in 1934 in New York. After graduating with both a B.A. and a B.S. degree from the University of Chicago, Sagan completed his M.S. in physics and earned a Ph.D. in astronomy and astro-physics in 1960. Sagan was nominated to join the Smithsonian Astrophysical Observatory in 1962. At the same time, he also worked with the Nobel-prize winner Joshua Lederberg, investigating the origins of life on earth, and taught genetics at Stanford. Sagan then taught astronomy at Harvard until 1968, when he became professor of astronomy and space sciences at Cornell University. He was then appointed director of the laboratory for Planetary Studies. Sagan was awarded the NASA medal for exceptional scientific achievement in 1972, after his hypotheses about Mars were validated by data obtained from the 1971 Mars Mariner expedition. Beginning in 1968, Sagan was editor of *Icarus*, the international journal of astronomy, and wrote many distinguished books. His works include *The Cosmic Connection* (1973), which received the Campbell Award for best science book; the Pulitzer-prize winning *Dragons of Eden* (1977); *Broca's Brain* (1979), on developments in neurophysiology; and *Cosmos* (1980), which accompanied his widely-acclaimed television series. In "The Nuclear Winter" (1983), Sagan explored the unforeseen and devastating physical and chemical effects of even a small-scale nuclear war on the earth's biosphere and life on earth.

Except for fools and madmen, everyone knows that nuclear war would be an unprecedented human catastrophe. A more or less typical strategic warhead has a yield of 2 megatons, the explosive equivalent of 2 million tons of TNT. But 2 million tons of TNT is about the same as all the bombs exploded in World War II -- a single bomb with the explosive power of the entire Second World War but compressed into a few seconds of time and an area 30 or 40 miles across

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In a 2-megaton explosion over a fairly large city, buildings would be vaporized, people reduced to atoms and shadows, outlying structures blown down like matchsticks and raging fires ignited. And if the bomb were exploded on the ground, an enormous crater, like those that can be seen through a telescope on the surface of the Moon, would be all that remained where midtown once had been. There are now more than 50,000 nuclear weapons, more than 13,000 megatons of yield, deployed in the arsenals of the United States and the Soviet Union -- enough to obliterate a million Hiroshimas.

But there are fewer than 3000 cities on the Earth with populations of 100,000 or more. You cannot find anything like a million Hiroshimas to obliterate. Prime military and industrial targets that are far from cities are comparatively rare. Thus, there are vastly more nuclear weapons than are needed for any plausible deterrence of a potential adversary.

Nobody knows, of course, how many megatons would be exploded in a real nuclear war. There are some who think that a nuclear war can be "contained," bottled up before it runs away to involve much of the world's arsenals. But a number of detailed analyses, war games run by the U.S. Department of Defense, and official Soviet pronouncements all indicate that this containment may be too much to hope for: Once the bombs begin exploding, communications failures, disorganization, fear, the necessity of making in minutes decisions affecting the fates of millions, and the immense psychological burden of knowing that your own loved ones may already have been destroyed are likely to result in a nuclear paroxysm. Many investigations, including a number of studies for the U.S. government, envision the explosion of 5,000 to 10,000 megatons -- the detonation of tens of thousands of nuclear weapons that now sit quietly, inconspicuously, in missile silos, submarines and long-range bombers, faithful servants awaiting orders.

The World Health Organization, in a recent detailed study chaired by Sune K. Bergstrom (the 1982 Nobel laureate in physiology and medicine), concludes that 1.1 billion people would be killed outright in such a nuclear war, mainly in the United States, the Soviet Union, Europe, China and Japan. An additional 1.1 billion people would suffer serious injuries and radiation sickness, for which medical help would be unavailable. It thus seems possible that more than 2 billion people -- almost half of all the humans on Earth -- would be destroyed in the immediate aftermath of a global thermonuclear war. This would represent by far the greatest disaster in the history of the human species and, with no other adverse effects, would probably be enough to reduce at least the Northern Hemisphere to a state of prolonged agony and barbarism. Unfortunately, the real situation would be much worse. In technical studies of the consequences of nuclear weapons explosions, there has been a dangerous tendency to underestimate the results. This is partly due to a tradition of conservatism which generally works well in science but which is of more dubious applicability when the lives of billions of people are at stake. In the Bravo test of March 1, 1954, a 15-megaton thermonuclear bomb was exploded on Bikini Atoll. It had about double the yield expected, and there was an unanticipated last-minute shift in the wind direction. As a result, deadly radioactive fallout came down on Rongelap in the Marshall Islands, more than 200 kilometers away. Most all the children on Rongelap subsequently developed thyroid nodules and lesions, and other long-term medical problems, due to the radioactive fallout.

Likewise, in 1973, it was discovered that high-yield airbursts will chemically burn the nitrogen in the upper air, converting it into oxides of nitrogen; these, in turn, combine with and destroy the protective ozone in the Earth's stratosphere. The surface of the Earth is shielded from deadly solar ultraviolet radiation by a layer of ozone so tenuous that, were it brought down to sea level, it would be only 3 millimeters thick. Partial destruction of this ozone layer can have serious consequences for the biology of the entire planet.

These discoveries, and others like them, were made by chance. They were largely unexpected. And now another consequence -- by far the most dire -- has been uncovered, again more or less by accident.

The U.S. Mariner 9 spacecraft, the first vehicle to orbit another planet, arrived at Mars in late 1971. The planet was enveloped in a global dust storm. As the fine particles slowly fell out, we were able to measure temperature changes in the atmosphere and on the surface. Soon it became clear what had happened:

The dust, lofted by high winds off the desert into the upper Martian atmosphere, had absorbed the incoming sunlight and prevented much of it from reaching the ground. Heated by the sunlight, the dust warmed the adjacent air. But the surface, enveloped in partial darkness, became much chillier than usual. Months later, after the dust fell out of the atmosphere, the upper air cooled and the surface warmed, both returning to their normal conditions. We were able to calculate accurately, from how much dust there was in the atmosphere, how cool the Martian surface ought to have been.

Afterwards, I and my colleagues, James B. Pollack and Brian Toon of NASA's Ames Research Center, were eager to apply these insights to the Earth. In a volcanic explosion, dust aerosols are lofted into the high atmosphere. We calculated by how much the Earth's global temperature should decline after a major volcanic explosion and found that our results (generally a fraction of a degree) were in good accord with actual measurements. Joining forces with Richard Turco, who has studied the effects of nuclear weapons for many years, we then began to turn our attention to the climatic effects of nuclear war. [The scientific paper, "Global Atmospheric Consequences of Nuclear War," was written by R. P. Turco, O. B. Toon, T. P. Ackerman, J. B. Pollack and Carl Sagan. From the last names of the authors, this work is generally referred to as "TTAPS."]

We knew that nuclear explosions, particularly groundbursts, would lift an enormous quantity of fine soil particles into the atmosphere (more than 100,000 tons of fine dust for every megaton exploded in a surface burst). Our work was further spurred by Paul Crutzen of the Max Planck Institute for Chemistry in Mainz, West Germany, and by John Birks of the University of Colorado, who pointed out that huge quantities of smoke would be generated in the burning of cities and forests following a nuclear war.

Groundburst -- at hardened missile silos, for example -- generate fine dust. Airbursts -- over cities and unhardened military installations -- make fires and therefore smoke. The amount of dust and soot generated depends on the conduct of the war, the yields of the weapons employed and the ratio of groundbursts to airbursts. So we ran computer models for several dozen different nuclear war scenarios. Our baseline case, as in many other studies, was a 5000-megaton war with only a modest fraction of the yield (20 percent) expended on urban or industrial targets. Our job, for each case, was to follow the dust and smoke generated, see how much sunlight was absorbed and by how much the temperatures changed, figure out how the particles spread in longitude and latitude, and calculate how long before it all fell out in the air back onto the surface. Since the radioactivity would be attached to these same fine particles, our calculations also revealed the extent and timing of the subsequent radioactive fallout.

Some of what I am about to describe is horrifying. I know, because it horrifies me. There is a tendency -- psychiatrists call it "denial" -- to put it out of our minds, not to think about it. But if we are to deal intelligently, wisely, with the nuclear arms race, then we must steel ourselves to contemplate the horrors of nuclear war.

The results of our calculations astonished us. In the baseline case, the amount of sunlight at the ground was reduced to a few percent of normal--much darker, in daylight, than in a heavy overcast and too dark for plants to make a living from photosynthesis. At least in the Northern Hemisphere, where the great preponderance of strategic targets lies, an unbroken and deadly gloom would persist for weeks.

Even more unexpected were the temperatures calculated. In the baseline case, land temperatures, except for narrow strips of coastline, dropped to minus 250 Celsius (minus 13 degrees Fahrenheit)

and stayed below freezing for months -- even for a summer war. (Because the atmospheric structure becomes much more stable as the upper atmosphere is heated and the low air is cooled, we may have severely underestimated how long the cold and the dark would last.) The oceans, a significant heat reservoir, would not freeze, however, and a major ice age would probably not be triggered. But because the temperatures would drop so catastrophically, virtually all crops and farm animals, at least in the Northern Hemisphere, would be destroyed, as would most varieties of uncultivated or domesticated food supplies. Most of the human survivors would starve.

In addition, the amount of radioactive fallout is much more than expected. Many previous calculations simply ignored the intermediate time-scale fallout. That is, calculations were made for the prompt fallout -- the plumes of radioactive debris blown downwind from each target--and for the long-term fallout, the fine radioactive particles lofted into the stratosphere that would descend about a year later, after most of the radioactivity had decayed. However, the radioactivity carried into the upper atmosphere (but not as high as the stratosphere) seems to have been largely forgotten. We found for the baseline case that roughly 30 percent of the land at northern midlatitudes could receive a radioactive dose greater than 250 rads, and that about 50 percent of northern midlatitudes could receive a dose greater than 100 rads. A 100-rad dose is the equivalent of about 1000 medical X-rays. A 400-rad dose will, more likely than not, kill you.

The cold, the dark and the intense radioactivity, together lasting for months, represent a severe assault on our civilization and our species. Civil and sanitary services would be wiped out. Medical facilities, drugs, the most rudimentary means for relieving the vast human suffering, would be unavailable. Any but the most elaborate shelters would be useless, quite apart from the question of what good it might be to emerge a few months later. Synthetics burned in the destruction of the cities would produce a wide variety of toxic gases, including carbon monoxide, cyanides, dioxins and furans. After the dust and soot settled out, the solar ultraviolet flux would be much larger than its present value. Immunity to disease would decline. Epidemics and pandemics would be rampant, especially after the billion or so unburied bodies began to thaw. Moreover, the combined influence of these severe and simultaneous stresses on life are likely to produce even more adverse consequences -- biologists call them synergisms -- that we are not yet wise enough to foresee.

So far, we have talked only of the Northern Hemisphere. But it now seems - unlike the case of a single nuclear weapons test -- that in a real nuclear war, the heating of the vast quantities of atmospheric dust and soot in northern midlatitudes will transport these fine particles toward and across the Equator. We see just this happening in Martian dust storms. The Southern Hemisphere would experience effects that, while less severe than in the Northern Hemisphere, are nevertheless extremely ominous. The illusion with which some people in the Northern Hemisphere reassure themselves -- catching an Air New Zealand flight in a time of serious international crisis, or the like -- is now much less tenable, even on the narrow issue of personal survival for those with the price of a ticket.

But what if nuclear wars *can* be contained, and much less than 5000 megatons is detonated? Perhaps the greatest surprise in our work was that even small nuclear wars can have devastating climatic effects. We considered a war in which a mere 100 megatons were exploded, less than one percent of the world arsenals, and only in low-yield airbursts over cities. This scenario, we found, would ignite thousands of fires, and the smoke from these fires alone would be enough to generate an epoch of cold and dark almost as severe as in the 5000 megaton case. The threshold for what Richard Turco has called The Nuclear Winter is very low.

Could we have overlooked some important effect? The carrying of dust and soot from the

Northern to the Southern Hemisphere (as well as more local atmospheric circulation) will certainly thin the clouds out over the Northern Hemisphere. But, in many cases, this thinning would be insufficient to render the climatic consequences tolerable -- and every time it got better in the Northern Hemisphere, it would get worse in the Southern.

Our results have been carefully scrutinized by more than 100 scientists in the United States, Europe and the Soviet Union. There are still arguments on points of detail. But the overall conclusion seems to be agreed upon: There are severe and previously unanticipated global consequences of nuclear war--subfreezing temperatures in a twilit radioactive gloom lasting for months or longer.

Scientists initially underestimated the effects of fallout, were amazed that nuclear explosions in space disabled distant satellites, had no idea that the fireballs from high-yield thermonuclear explosions could deplete the ozone layer and missed altogether the possible climatic effects of nuclear dust and smoke. What else have we overlooked?

Nuclear war is a problem that can be treated only theoretically. It is not amenable to experimentation. Conceivably, we have left something important out of our analysis, and the effects are more modest than we calculate. On the other hand, it is also possible--and, from previous experience, even likely--that there are further adverse effects that no one has yet been wise enough to recognize. With billions of lives at stake, where does conservatism lie--in assuming that the results will be better than we calculate, or worse?

Many biologists, considering the nuclear winter that these calculations describe, believe they carry somber implications for life on Earth. Many species of plants and animals would become extinct. Vast numbers of surviving humans would starve to death. The delicate ecological relations that bind together organisms on Earth in a fabric of mutual dependency would be torn, perhaps irreparably. There is little question that our global civilization would be destroyed. The human population would be reduced to prehistoric levels, or less. Life for any survivors would be extremely hard. And there seems to be a real possibility of the extinction of the human species.

It is now almost 40 years since the invention of nuclear weapons. We have not yet experienced a global thermonuclear war -- although on more than one occasion we have come tremulously close. I do not think our luck can hold forever. Men and machines are fallible, as recent events remind us. Fools and madmen do exist, and sometimes rise to power. Concentrating always on the near future, we have ignored the long-term consequences of our actions. We have placed our civilization and our species in jeopardy.

Fortunately, it is not yet too late. We can safeguard the planetary civilization and the human family if we so choose. There is no more important or more urgent issue.

